

# Particles and Fields in Quantum Field Theory

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# Apologia

Most of what I say follows papers by David Malament, Rob Clifton, Hans Halvorson, and Michael Redhead.



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Most of what I say follows papers by David Malament, Rob Clifton, Hans Halvorson, and Michael Redhead.

Their work builds on a long history of results, going back to the early 1960s, by Schlieder, Reeh, Hegerfeldt, Fleming, Ruijsenaars, Jancewicz, Skagerstam, Jauch, and others.



# Talk Overview

- 1 Quantum Mechanics and “Ontology”
- 2 One particle in Galilean spacetime
- 3 One particle in Minkowski spacetime
- 4 Localizable “quanta” in Minkowski spacetime
- 5 An invitation



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# Quantum Systems

Consider a physical system  $S$ .



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# Quantum Systems

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- ① A Hilbert space  $\mathcal{H}$ , rays of which represent possible states of  $S$ ;  
and
- ② A collection  $\mathcal{E}$  of projection operators  $P$  on  $\mathcal{H}$ , representing propositions (or “eventualities”) concerning  $S$ .

In general,  $\mathcal{E}$  will have non-trivial algebraic structure related to the physical structure of  $S$ .



# Quantum Systems

For now, a **quantum mechanical system** will be a pair  $(\mathcal{H}, \mathcal{E})$ .



# Ontology of a Quantum System

What determines the “ontology” of a quantum mechanical system?



# Ontology of a Quantum System

In other words...



# Ontology of a Quantum System

In other words...

What makes  $(\mathcal{H}, \mathcal{E})$  a representation of system  $S$ ?



# Ontology of a Quantum System

Trick question!



# Ontology of a Quantum System

What **can** we do?





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# Ontology of a Quantum System

What **can** we do?

- Study what physical systems admit a quantum mechanical description at all.
- Characterize a physical system by the algebraic structure of the associated propositions.
- Reason metaphorically about systems characterized by the same algebraic structures.



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# Affine spaces

An **affine space** is a structure  $(A, \mathbf{V}, +)$ , where  $A$  is a collection of points;  $\mathbf{V}$  is a vector space; and  $+$  is a map from  $A \times \mathbf{V}$  to  $A$  such that:



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**AS 1** For all  $p, q \in A$ , there is a unique  $\mathbf{u} \in \mathbf{V}$  such that  $q = p + \mathbf{u}$ ; and



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**AS 1** For all  $p, q \in A$ , there is a unique  $\mathbf{u} \in \mathbf{V}$  such that  $q = p + \mathbf{u}$ ; and

**AS 2** For all  $p \in A$  and all  $\mathbf{u}, \mathbf{v} \in \mathbf{V}$ ,  $(p + \mathbf{u}) + \mathbf{v} = p + (\mathbf{u} + \mathbf{v})$ .



# Affine spaces

Let  $(A, \mathbf{V}, +)$  and  $(A', \mathbf{V}, +')$  be affine spaces.





# Affine spaces

Let  $(A, \mathbf{V}, +)$  and  $(A', \mathbf{V}', +')$  be affine spaces.

An **affine space isomorphism** is a bijection  $\phi : A \rightarrow A'$  and a vector space isomorphism  $\Phi : \mathbf{V} \rightarrow \mathbf{V}'$  such that for all points  $p, q \in A$ ,  $p = q + \mathbf{u}$  if and only if  $\phi(p) = \phi(q) + \Phi(\mathbf{u})$ .



# Affine spaces

Let  $(A, \mathbf{V}, +)$  be an affine space.



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Every vector  $\mathbf{u} \in \mathbf{V}$  determines an affine space isomorphism  $\phi : A \rightarrow A$  defined by  $\phi : p \mapsto p + \mathbf{u}$ .



# Affine spaces

Let  $(A, \mathbf{V}, +)$  be an affine space.

Every vector  $\mathbf{u} \in \mathbf{V}$  determines an affine space isomorphism  $\phi : A \rightarrow A$  defined by  $\phi : p \mapsto p + \mathbf{u}$ .

The collection of such isomorphisms forms a group  $T$  under composition, known as the **translation group** of  $A$ .



# Affine spaces

The **dimension** of an affine space  $(A, \mathbf{V}, +)$  is the dimension of its associated vector space,  $\mathbf{V}$ .



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The **dimension** of an affine space  $(A, \mathbf{V}, +)$  is the dimension of its associated vector space,  $\mathbf{V}$ .

For any  $n \in \mathbb{N}$ , is a unique  $n$ -dimensional affine space (up to isomorphism).



# Galilean spacetime

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- 1 There exists a distinguished 3-dimensional subspace  $\mathbf{S} \subseteq \mathbf{V}$ ;
- 2 There exists a positive definite inner product  $\langle \cdot, \cdot \rangle$  on  $\mathbf{S}$ ; and
- 3 There exists a linear functional  $t : \mathbf{V} \rightarrow \mathbb{R}$  such that  $t(\mathbf{u}) \neq 0$  iff  $\mathbf{u} \notin \mathbf{S}$ .



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A vector  $\mathbf{u} \in \mathbf{V}$  is called **spacelike** if  $\mathbf{u} \in \mathbf{S}$ . Otherwise, it is called **timelike**.



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The **temporal length** of a timelike vector  $\mathbf{u}$  is given by  $t(\mathbf{u})$ .



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Points  $p, q \in A$  are said to be **spacelike related** if the vector connecting them is spacelike. Otherwise they are **timelike related**.



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The **spatial (resp. temporal) distance** between spacelike (resp. timelike) related points  $p$  and  $q$  is the spatial (resp. temporal) length of the vector  $\mathbf{u}$  from  $p$  to  $q$ .



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Spacelike related points represent **simultaneous** events.

The collection of all points simultaneous with a point  $p$ , the **simultaneity slice**  $\Sigma(t)$ , represents space at a time  $t$ .



# Galilean spacetime

The spatial distance between spacelike related points represents that distance between simultaneous events.



# Galilean spacetime

The spatial distance between spacelike related points represents that distance between simultaneous events.

The timelike distance between timelike related points represents the duration between non-simultaneous events.



# Galilean spacetime

We will call a Borel subset  $\Delta$  of a simultaneity slice  $\Sigma(t)$  a **spatial set**.



# Example: One particle in Galilean spacetime

Consider a single particle in Galilean spacetime.



# A single particle in Galilean spacetime

Classically, we represent a particle by its worldline, a curve  $\gamma : \mathbb{R} \rightarrow \mathcal{A}$  that intersects each simultaneity slice exactly once.



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Classically, we represent a particle by its worldline, a curve  $\gamma : \mathbb{R} \rightarrow \mathcal{A}$  that intersects each simultaneity slice exactly once.

Given any spatial set  $\Delta$ , there is an associated proposition:

$$E_{\Delta} = \text{“The particle is in region } \Delta \text{ (at time } t\text{).”}$$





# A single particle in Galilean spacetime

Quantum mechanically, we first fix a Hilbert space  $\mathcal{H}$  of states of the particle.



# A single particle in Galilean spacetime

Quantum mechanically, we first fix a Hilbert space  $\mathcal{H}$  of states of the particle.

We associate with each spatial set  $\Delta$  a projection operator  $P_\Delta$  on  $\mathcal{H}$  corresponding to the proposition  $E_\Delta$ .



# A single particle in Galilean spacetime

The collection  $\mathcal{E}$  of all such projection operators is required to have additional structure.



# A single particle in Galilean spacetime

The projection operators associated with a single simultaneity slice  $\Sigma(t)$  are required to commute, and to satisfy:

$$P_{\Delta_1 \cap \Delta_2} = P_{\Delta_1} P_{\Delta_2}$$

$$P_{\Delta_1 \cup \Delta_2} = P_{\Delta_1} + P_{\Delta_2} - P_{\Delta_1} P_{\Delta_2}$$

$$P_{\Sigma(t)/\Delta} = I - P_{\Delta}$$



# A single particle in Galilean spacetime

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Note that if  $\Delta_1$  and  $\Delta_2$  are disjoint, then  $P_{\Delta_1} P_{\Delta_2} = P_{\Delta_2} P_{\Delta_1} = 0$ .



# A single particle in Galilean spacetime

There exists a (strongly continuous) unitary representation  $\mathbf{a} \mapsto U(\mathbf{a})$  of the translation group on Galilean spacetime.



# A single particle in Galilean spacetime

By Stone's theorem, for any vector  $\mathbf{a}$ , there exists a unique self-adjoint operator  $P(\mathbf{a})$  such that

$$U(\alpha\mathbf{a}) = e^{i\alpha P(\mathbf{a})}.$$



# A single particle in Galilean spacetime

By Stone's theorem, for any vector  $\mathbf{a}$ , there exists a unique self-adjoint operator  $P(\mathbf{a})$  such that

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If  $\mathbf{a}$  is timelike, we require that  $P(a)$  is bounded from below.





# A single particle in Galilean spacetime

We require that for all spatial sets  $\Delta$  and all vectors  $\mathbf{a}$ ,

$$P_{\Delta+\mathbf{a}} = U(\mathbf{a})P_{\Delta}U(-\mathbf{a})$$

where  $\Delta + \mathbf{a} = \{q : q = p + \mathbf{a} \text{ for some } p \in \Delta\}$ .



# A single particle in Galilean spacetime

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# A single particle in Galilean spacetime

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yada yada yada



# A single particle in Galilean spacetime

Upshot: There exists a representation of the operators described, satisfying the required properties, on  $\mathcal{H}$ .



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# Example: One particle in Minkowski spacetime

Consider a single particle in Minkowski spacetime.



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There is a non-degenerate inner product  $\langle \cdot, \cdot \rangle$  on  $\mathbf{V}$  s.t. given any orthogonal basis  $\mathbf{u}_1 \cdots \mathbf{u}_4$ , one element  $\mathbf{u}_1$  satisfies

$$\langle \mathbf{u}_1, \mathbf{u}_1 \rangle > 0$$

while the others satisfy

$$\langle \mathbf{u}_j, \mathbf{u}_j \rangle < 0.$$



# Minkowski spacetime

A vector  $\mathbf{u} \in \mathbf{V}$  is called **spacelike** if  $\langle \mathbf{u}, \mathbf{u} \rangle < 0$ ; **timelike** if  $\langle \mathbf{u}, \mathbf{u} \rangle > 0$ ; and **null** if  $\langle \mathbf{u}, \mathbf{u} \rangle = 0$ .



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The **length** of a vector  $\mathbf{u}$  is given by  $|\langle \mathbf{u}, \mathbf{u} \rangle|^{1/2}$ .



# Minkowski spacetime

Points  $p, q \in A$  are said to be **spacelike related** (resp. **timelike**, **null**) if the vector relating them is spacelike (resp., timelike, null).



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The **distance** between points  $p$  and  $q$  is the length of the vector relating them.



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The points of Minkowski spacetime are taken to represent locations of events in space and time.

A timelike vector  $\mathbf{u}$  determines a **reference frame**, corresponding to a family of co-moving observers.



# Minkowski spacetime

Points  $p, q$  are **simultaneous relative to  $\mathbf{u}$**  if the vector relating them is orthogonal to  $\mathbf{u}$ .





# Minkowski spacetime

Points  $p, q$  are **simultaneous relative to  $\mathbf{u}$**  if the vector relating them is orthogonal to  $\mathbf{u}$ .

The collection of all points simultaneous with a point  $p$ , the **simultaneity slice**  $\Sigma(\mathbf{u}, t)$ , represents space at a time as determined by the family of observers.



# Minkowski spacetime

Determinations of spatial distance, temporal duration, and simultaneity can be made only relative to a reference frame.



# A single particle in Minkowski spacetime

In what follows, suppose we fix a reference frame determined by some timelike vector  $\mathbf{u}$ .



# A single particle in Minkowski spacetime

In what follows, suppose we fix a reference frame determined by some timelike vector  $\mathbf{u}$ .

We will call a Borel subset of a simultaneity slice  $\Sigma(\mathbf{u}, t)$  a **spatial set**.



# A single particle in Minkowski spacetime

Classically, we represent a particle by its worldline, a curve  $\gamma : \mathbb{R} \rightarrow A$  that intersects each simultaneity slice (relative to **any** reference frame) exactly once.



# A single particle in Minkowski spacetime

Classically, we represent a particle by its worldline, a curve  $\gamma : \mathbb{R} \rightarrow A$  that intersects each simultaneity slice (relative to **any** reference frame) exactly once.

Given any spatial set  $\Delta$ , there is an associated proposition:

$$E_{\Delta} = \text{“The particle is in region } \Delta \text{ (at time } t\text{).”}$$



# A single particle in Minkowski spacetime

We expect a quantum mechanical description of a single particle in Minkowski spacetime to have the following ingredients.



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- ① A Hilbert space  $\mathcal{H}$  of states of the particle;
- ② An assignment to each spatial set  $\Delta$  of a projection operator  $P_\Delta$ , corresponding to the proposition  $E_\Delta$ ; and



# A single particle in Minkowski spacetime

We expect a quantum mechanical description of a single particle in Minkowski spacetime to have the following ingredients.

- ① A Hilbert space  $\mathcal{H}$  of states of the particle;
- ② An assignment to each spatial set  $\Delta$  of a projection operator  $P_\Delta$ , corresponding to the proposition  $E_\Delta$ ; and
- ③ A (strongly continuous) unitary representation  $\mathbf{v} \mapsto U(\mathbf{v})$  of the translation group of Minkowski spacetime.



# A single particle in Minkowski spacetime

In addition, we suppose the following four conditions are met.



# A single particle in Minkowski spacetime

**Translation covariance:** For all vectors  $\mathbf{a}$  in  $\mathbf{V}$  and all subsets  $\Delta$  of all instants  $t$ ,

$$P_{\Delta+\mathbf{a}} = U(\mathbf{a})P_{\Delta}U(-\mathbf{a}).$$



# A single particle in Minkowski spacetime

**Semi-bounded energy:** For all timelike vectors  $\mathbf{a}$  satisfying  $\langle \mathbf{u}, \mathbf{a} \rangle > 0$ , the unique operator  $H(\mathbf{a})$  satisfying

$$U(t\mathbf{a}) = e^{-itH(\mathbf{a})}$$

has spectrum bounded from below.



# A single particle in Minkowski spacetime

**Localizability:** If  $\Delta_1$  and  $\Delta_2$  are disjoint subsets of a single instant  $t$ , then

$$P_{\Delta_1} P_{\Delta_2} = P_{\Delta_2} P_{\Delta_1} = \mathbf{0}.$$



# A single particle in Minkowski spacetime

**Locality:** If  $\Delta_1$  and  $\Delta_2$  are spacelike related subsets of instants  $t_1$  and  $t_2$ , then

$$P_{\Delta_1} P_{\Delta_2} = P_{\Delta_2} P_{\Delta_1}.$$



# A single particle in Minkowski spacetime

Note: **Translation covariance**, **Semi-bounded energy**, and **Localizability** are all satisfied in identical form by the Galilean example.





# A single particle in Minkowski spacetime

Note: **Translation covariance**, **Semi-bounded energy**, and **Localizability** are all satisfied in identical form by the Galilean example.

Only **Locality** has changed, because the definition of **spacelike** has changed. (In the Galilean case, **Locality** is subsumed by **Localizability**.)



# A single particle in Minkowski spacetime

Theorem (Malament (1996))

If the structure  $(\mathcal{H}, \Delta \mapsto P_\Delta, \mathbf{a} \mapsto U(a))$  satisfies **Translation covariance**, **Semi-bounded energy**, **Localizability**, and **Locality**, then  $P_\Delta = \mathbf{0}$  for all spatial sets  $\Delta$ .



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# Another tack

You might think:



# Another tack

You might think: Jim!



# Another tack

You might think: Jim! You're doing this wrong!



# Number operators

**Suggestion 1:** Instead of considering projections  $P_{\Delta}$ , consider (**local**) number operators  $N_{\Delta}$ .



# Number operators

**Suggestion 1:** Instead of considering projections  $P_\Delta$ , consider **(local) number operators**  $N_\Delta$ .

A local number operator  $N_\Delta$  is an observable whose eigenvalues give the “number of particles” in spatial region  $\Delta$ .





# Number operators

Suppose we have a Hilbert space, a (strongly continuous) representation  $\mathfrak{a} \mapsto U(\mathfrak{a})$ , and assignments  $\Delta \mapsto N_\Delta$  of number operators to spatial (Borel) sets.



# Number operators

Suppose we have a Hilbert space, a (strongly continuous) representation  $\mathbf{a} \mapsto U(\mathbf{a})$ , and assignments  $\Delta \mapsto N_\Delta$  of number operators to spatial (Borel) sets.

**Translation covariance, Semi-bounded energy, Localizability, and Locality** carry over intact to  $(\mathcal{H}, \Delta \mapsto N_\Delta, \mathbf{a} \mapsto U(\mathbf{a}))$ .



# Number operators

**Number Additivity:** If  $\Delta_1$  and  $\Delta_2$  are disjoint subsets of the same simultaneity slice  $\Sigma(t)$ , then  $N_{\Delta_1 \cup \Delta_2} = N_{\Delta_1} + N_{\Delta_2}$ .



# Number operators

**Number conservation:** If  $\{\Delta_n : n \in \mathbb{N}\}$  is a disjoint covering of a simultaneity slice  $\Sigma(t)$ , then  $\sum_n N_{\Delta_n}$  converges to a densely defined, self-adjoint operator  $N$  on  $\mathcal{H}$  (independent of the covering), and for any timelike vector  $\mathbf{a}$ ,  $U(\mathbf{a})NU(-\mathbf{a}) = N$ .



# Number operators

Theorem (Halvorson & Clifton (2001))

*If the structure  $(\mathcal{H}, \Delta \mapsto N_\Delta, \mathbf{a} \mapsto U(a))$  satisfies **Translation covariance**, **Semi-bounded energy**, **Localizability**, **Locality**, **Additivity**, and **Number conservation** then  $N_\Delta = \mathbf{0}$  for all spatial sets  $\Delta$ .*



# Number operators, take 2

**Suggestion 2:** Instead of considering projections local number operators on **spatial sets**, consider local number operators on **spacetime regions**.



# Algebraic QFT

Fix a Hilbert space  $\mathcal{H}$  and a (strongly continuous) unitary representation  $\mathbf{a} \mapsto U(\mathbf{a})$  of the translation group on Minkowski spacetime.



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A **net of local observables** is an assignment  $O \mapsto \mathcal{R}(O)$  of (von Neumann) sub-algebras of  $B(\mathcal{H})$  to each bounded, open subset of Minkowski spacetime.





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A **net of local observables** is an assignment  $O \mapsto \mathcal{R}(O)$  of (von Neumann) sub-algebras of  $B(\mathcal{H})$  to each bounded, open subset of Minkowski spacetime.

The **global algebra**  $\mathcal{R}$  is the smallest (von Neumann) algebra containing all of the local algebras.



# Algebraic QFT

**Isotony:** For any two bounded open sets of Minkowski spacetime  $O_1$  and  $O_2$ , if  $O_1 \subseteq O_2$ , then  $\mathcal{R}(O_1) \subseteq \mathcal{R}(O_2)$ .



# Algebraic QFT

**Algebra Additivity:** Given any bounded open set  $O$  of Minkowski spacetime, the set  $\{\mathcal{R}(O + \mathbf{a}) : \mathbf{a} \in \mathbf{V}\}$  generates  $\mathcal{R}$  as a  $C^*$ -algebra.



# Algebraic QFT

**Locality (Microcausality):** Given any spacelike separated bounded open sets  $O_1, O_2$ , and any observables  $A \in \mathcal{R}(O_1)$  and  $B \in \mathcal{R}(O_2)$ ,  $[A, B] = \mathbf{0}$ .



# Algebraic QFT

**Vacuum:** There exists a vector  $\Omega \in \mathcal{H}$ , called the **vacuum**, such that for any vector  $\mathbf{a} \in \mathbf{V}$ ,  $U(\mathbf{a})\Omega = \Omega$ .



# Local Number operators in AQFT

A **local number operator** associated with spacetime region  $O$ ,  $N_O$ , is an element of  $\mathcal{R}(O)$ .



# Local Number operators in AQFT

**Necessary condition:** Given any bounded open subset  $O$  of Minkowski spacetime,  $N_O \in \mathcal{R}(O)$  can be a local number operator only if  $N_O \Omega = 0$ .



# Reeh-Schlieder Theorem

## Theorem (Reeh-Schlieder (1961))

If the structure  $(\mathcal{H}, O \mapsto \mathcal{R}(O), \mathbf{a} \mapsto U(\mathbf{a}))$  satisfies **Isotony**, **Additivity**, **Semi-bounded energy**, and **Locality**, then given any bounded open set  $O$ , an operator  $A \in \mathcal{R}(O)$  satisfies  $A\Omega = \mathbf{0}$  only if  $A = 0$ .





# Reeh-Schlieder Theorem

## Corollary

*There are no local number operators.*



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# The Unruh effect

