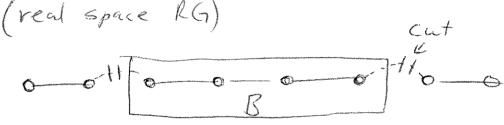
#### Wilson Numerical RG

Goal of method: Find ground and low-lying excited states.

First applied to Kondo impurity problem (K.G. Wilson, Rev. Mod. Phys. V47, 773 (1975)). In that context it is complicated by various changes of basis to map the system onto a 1D half-lattice



We consider here the numerical method applied to a full 1D lattice:



#### **Procedure**

- 1. Diagonalize block B.
- 2. Form partial matrix of eigenvectors O, containing m lowest energy states  $v_i$ .

3. Change basis and truncate all operators describing B, getting new block B'.

$$H' = Q^T HO; \qquad S_i^{z\prime} = O^T S_i^z O, \quad \text{etc.}$$

$$\underset{N \times m}{\text{M} \times N} \bigwedge_{N \times m}$$

(Leave original site basis behind.) In some sense  $B' \approx B$ .

4. Combine two adjacent B''s.

$$B'' = B' \otimes B'; \qquad |i_1 i_2\rangle = |i_1\rangle |i_2\rangle$$

 $H'' = H' \otimes \mathbf{1} + \mathbf{1} \otimes H' + \text{connecting terms}$ 

$$[S_i^z S_{i+1}^z]'' = S_i^{z'} \otimes S_{i+1}^{z} '$$

$$+ rans / ction$$

$$[S_i^z S_{i+1}^z]''_{j_1 j_2, j_1' j_2'} = [S_i^{z'}]_{j_1 j_1'} [S_{i+1}^{z}]_{j_2 j_2'}$$

5. Replace B by B'' and iterate.

B B After 1st iter, N=m²

B' m²

# What justifies the truncation?

- 1. We want the ground state and we are throwing out high energy states (of small blocks).
- 2. In limit "connecting terms" are small, perturbation theory justifies it.
- 3. Detailed analysis of structure of H for impurity problems.

#### Where has it been used?

#### Successes

• Impurity problems (Kondo, Anderson impurity, two Kondo impurities).

### Test case-1D particle in a box



Continuum version:  $H = -\frac{\partial^2}{\partial x^2}$ ;

$$\psi(0) = \psi(L) = 0.$$

Lattice version:

$$H = \begin{pmatrix} 2 & -1 & & & & \\ -1 & 2 & -1 & & & \\ & -1 & 2 & -1 & & \\ & & -1 & 2 & -1 \\ & & & & \ddots \end{pmatrix} \qquad \begin{array}{c} \text{Exercise:} \\ \text{fish exact } \text{E-vals.} \\ \text{fish exact } \text{E-vals.} \end{array}$$

This problem was studied as a test case for why RG fails by Wilson in 1986 (unpublished).

In this 1 particle problem, instead of adding blocks using direct products

 $\otimes$ , we use direct sums  $\oplus$ . Number of states = L, not  $2^L$  or  $4^L$ .

Procedure

$$H_{
m system} = egin{pmatrix} H & T & & & 0 \ T^\dagger & H & T & & \ & T^\dagger & H & T & \ & & T^\dagger & H & T & \ 0 & & & \ddots & \end{pmatrix}$$

Initially H = (2) and T = (-1).

Combine two blocks:

$$H' = \begin{pmatrix} H & T \\ T^{\dagger} & H \end{pmatrix} \qquad T' = \begin{pmatrix} 0 & 0 \\ T & 0 \end{pmatrix}$$

- Diagonalize H', getting eigenvectors  $V_{\ell}$
- 3. Form matrix O

$$O = \begin{pmatrix} \vdots & \vdots & & \vdots \\ V_1 & V_2 & \dots & V_m \\ \vdots & \vdots & & \vdots \end{pmatrix}$$

$$O = \begin{pmatrix} \vdots & \vdots & \vdots \\ V_1 & V_2 & \dots & V_m \\ \vdots & \vdots & \vdots \end{pmatrix}$$

$$V_{m+1} + o \quad V_N$$

$$(After 1 \stackrel{5+}{=} comple \\ of iters, N=2m.)$$

4. Change basis and truncate:

$$H'' = O^T H O \qquad T'' = O^T T O$$
 mam 
$$\max_{M \neq N} N_{Xm}$$

5. Replace H and T by H'' and T'' and iterate.

How does it do?

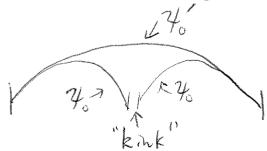
Test calculation: 10 blockings, keeping m=8 states:

	$\underline{\mathrm{Exact}}$	RG	Slope
$E_0$	$2.351 \times 10^{-6}$	$1.9207 \times 10^{-2}$	not on
$E_1$	$9.403 \times 10^{-6}$	$1.9209 \times 10^{-2}$	diag.
$E_2$	$2.116 \times 10^{-5}$	$1.9214 \times 10^{-2}$	
$E_3$	$3.761 \times 10^{-5}$	$1.9217 \times 10^{-2}$	

It performs terribly. Why? Look at continuum states.

Isolating a block sets  $\psi$  to 0 at the edges (fixed BCs).

 $\rightarrow$  Particle-in-a-box eigenstates.



Any state formed by low-lying states has a "kink" in the middle. To remove kink, need to keep almost all states.

Wilson suggested part, thy, to fix it.

0 assumed real

Really we have

01.9 = (000

# 6

How to fix it (White and Noack, PRL 68, 3487 (1992).)

One approach involves different boundary conditions.

Periodic BCs? Only slightly better. Get "staircases" in excited states.



Free BCs? (Slope vanishes at edges.) Again, only slightly better (flat spots).

$$\begin{pmatrix} 2 & -1 & & & \\ -1 & 2 & -1 & & \\ & -1 & 2 & & \\ & & & \ddots \end{pmatrix} \longrightarrow \begin{pmatrix} 1 & -1 & & & \\ -1 & 2 & -1 & & \\ & & -1 & 2 & & \\ & & & \ddots \end{pmatrix}$$



One solution: Combine states from different BCs.

• States must be orthogonalized.

Example: Fixed-Free combination.

Use m/4 states from each of Free-Free, Fixed-Free, Free-Fixed, Fixed-Fixed BC's. (4 diagonalizations each iteration)

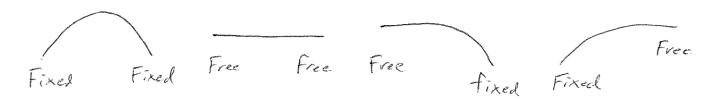
$$\tilde{O} = \begin{pmatrix} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ V_1^{ff} & \dots & V_{m/4}^{ff} & V_1^{fo} & \dots & V_{m/4}^{fo} & V_1^{of} & \dots & V_{m/4}^{of} & V_1^{oo} & \dots & V_{m/4}^{oo} \\ \vdots & \vdots \end{pmatrix}$$

$$O = \operatorname{Gram-Schmidt}(\tilde{O})$$
 (Otherwise procedure is identical)

Test case: m = 8 states, 10 blockings:

	$\underline{\text{Exact}}$	Standard RG	Fixed-Free
$E_0$	$2.3508 \times 10^{-6}$	$1.9207 \times 10^{-2}$	$2.3508 \times 10^{-6}$
$E_1$	$9.4032 \times 10^{-6}$	$1.9209 \times 10^{-2}$	$9.4032 \times 10^{-6}$
$E_2$	$2.1157 \times 10^{-5}$	$1.9214 \times 10^{-2}$	$2.1157 \times 10^{-5}$
$E_3$	$3.7613 \times 10^{-5}$	$1.9217 \times 10^{-2}$	$3.7613\times10^{-5}$
Results	correct	to 10 or 12	places.

Ground states:



Other variations: periodic-antiperiodic works almost as well.

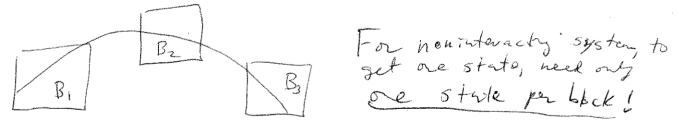
#### Why does varying the boundary conditions work?

When you isolate a block, that applies a particular BC to the block. The rest of the lattice, if it were there, would apply different BCs, so the states you keep aren't appropriate. You have two ways to rectify this.

All the Jobal fectures of the whose end of graphs to the block.

1. Make each block able to represent a variety of BCs. This is what we just did with the fixed-free method.

1'Complete Set" of BC (5 2. Design each block to represent the exact BC it needs.



In method 2, block must know where it goes. Clearly method 2 must be iterative.

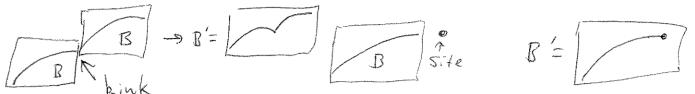
Method 1 doesn't work well for interacting systems—need too many states to represent response to lots of possible BCs. Also, it's not clear how to choose to vary the BC's in interacting systems. I tried several methods for Heisenberg chains—none worked.

Method 2 can be some in principle by dias whole lattice, project out part of state in B, for B, to keep.



#### How do we implement method 2?

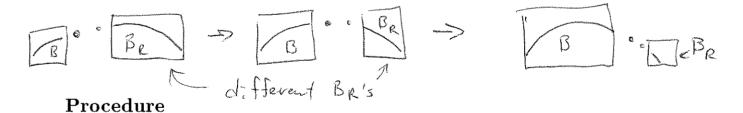
Can't add two blocks together—the blocks are appropriate for one location only. Must add a site onto a block: sites go anywhere.



Need representation of rest of system. Use one block for left half of system, another for right half, with a few sites in between.



How do we choose the m states to keep? Diagonalize full system, and project out the left part of the m lowest energy eigenstates. Just like when we vary the BCs, we need to orthogonalize these left parts of the eigenstates.



Start with a set of  $\ell=1...L$  approximate blocks representing the right-hand set of sites from  $\ell$  to L.

Progressively add sites to left block. The left block grows, and we use progressively smaller right-hand blocks. (There is no way to "shrink" the right-hand block.) Store each left block as it is formed.

When you get to the right side, turn around and use the stored left blocks, adding sites to the right block.

Iterate until converged.



## DMRG for 1D particle in a box

#### **Procedure**

Initially H = (2) and T = (-1) = column vector. We have a set of blocks  $H^R$  of all sizes up to L.

Form $H$ for the wi	hole lattice	•	T-100000	M
	$igg(H_\ell$	$igg _{T_\ell}$		0
$ar{H}=$	$T_\ell^T$	2	-1	TB
	· · · · · · · · · · · · · · · · · · ·	<u> -1</u>	2	$I_{\ell+3}^{\tau_{\ell}}$
	0	REVOLUTIVE AND	$\left T_{\ell+3}^{RT} ight $	$H_{\ell+3}^R$

- 2. Diagonalize  $\bar{H}$ , getting eigenvectors  $V^{\ell}$ ,  $\ell = 1, ..., m$ . Discard  $V^{\ell}$ ,  $\ell = m + 1, ..., N$ .
- 3. Form matrix  $O \subset M \to \emptyset$   $\tilde{O} = \begin{pmatrix} V_1^1 & \dots & V_1^m \\ \vdots & & \vdots \\ V_{m+1}^1 & \dots & V_{m+1}^m \end{pmatrix} \stackrel{\uparrow}{\downarrow} O = \text{Gram-Schmidt}(\tilde{O})$
- 4. Change basis and truncate:

$$\tilde{H} = \begin{pmatrix} H_{\ell} & T_{\ell} \\ T_{\ell}^T & 2 \end{pmatrix} \stackrel{\uparrow}{\downarrow} \tilde{T} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ -1 \end{pmatrix} \stackrel{\uparrow}{\downarrow} \stackrel{\uparrow}{\downarrow} \stackrel{\uparrow}{\downarrow}$$

$$H_{\ell+1} = O^T \tilde{H} O \qquad T_{\ell+1} = O^T \tilde{T}$$

5. Iterate  $\ell = 1$  to  $\ell = L - 3$ .

- 6. Reverse directions and go right to left.
- 7. Repeat until converged.

How do we get an initial set of approximate blocks to use at first?

At the beginning, when the left block is small, the best approximation we have for big right block is the biggest left block we have so far, but flipped around.  $\beta^{R} = reverse(\beta)$ 



So in the first pass through the lattice, when  $H^R$  is called for in constructing  $\bar{H}$ , we just reverse the rows and columns of H to get it.

#### Interacting Systems

Now we want to generalize to interacting systems. This primarily consists of adding sites with an  $\otimes$ , not an  $\oplus$ .

Most of the DMRG procedure outlined before needs little change. The main question:

How do we project out a state for a block from a state of the entire lattice? Problem: the projection is many-valued.

Let  $|i\rangle$  be the states of the block, and  $|j\rangle$  be the states of the rest of the lattice. A state of the entire lattice can be written as

$$|\psi\rangle = \sum_{ij} \psi_{ij} |i\rangle |j\rangle$$

In general, there is no way to pick states  $|\tilde{i}\rangle$  and  $|\tilde{j}\rangle$  so that

$$|\psi\rangle = |\tilde{i}\rangle|\tilde{j}\rangle$$

Example: if the block has an average of N particles, it can still fluctuate into states with  $N \pm 1$ ,  $N \pm 2$ , particles. Need at least one state for each number of particles. (A state without a definite N, such as the BCS wavefunction, doesn't help, either.)

We will need an *approximate* projection. What is the best projection? It comes from the density matrix.

#### Density Matrices

Reference: R.P. Feynman, Statistical Mechanics: A Set of Lectures

Let  $|i\rangle$  be the states of the block (the system), and  $|j\rangle$  be the states of the rest of the lattice (the rest of the universe). If  $\psi$  is a state of the entire lattice,

$$|\psi\rangle = \sum_{ij} \psi_{ij} |i\rangle |j\rangle$$

The reduced density matrix for the system is

$$\rho_{ii'} = \sum_{i} \psi_{ij}^* \psi_{i'j}$$

An operator A which acts only on the system can be written as

$$A = \sum_{ii'j} A_{ii'} |\phi_j\rangle\langle \theta_j|\langle \phi_{i'}| = \sum_{ii'} A_{ii'} |\phi_i\rangle\langle \phi_{i'}| \otimes \mathbf{1}_j$$

The expectation value of A can be written in terms of the density matrix

$$\langle A \rangle = \sum_{ii'j} A_{ii'} \psi_{ij}^* \psi_{i'j} = \sum_{ii'} A_{ii'} \rho_{i'i} = \text{Tr} \rho A$$

A nice way of representing  $\rho$  is through its eigenstates  $|v_{\alpha}\rangle$  and eigen-A nice way of representing  $\rho$  is through its eigenstates  $|v_{\alpha}\rangle$  and  $|v_{\alpha}\rangle$  values  $|v_{\alpha}\rangle = 0$  ( $\sum_{\alpha} w_{\alpha} = 1$ )  $\rho = \sum_{\alpha} w_{\alpha} |v_{\alpha}\rangle \langle v_{\alpha}|$   $= \sum_{\alpha} |v_{\alpha}\rangle \langle v_{\alpha}|$ 

$$ho = \sum_{lpha} w_{lpha} |v_{lpha}
angle \langle v_{lpha}|$$

$$\langle A \rangle = \sum_{\alpha} w_{\alpha} \langle v_{\alpha} | A | v_{\alpha} \rangle$$

If for a particular  $\alpha$ ,  $w_{\alpha} \approx 0$ , we make no error in  $\langle A \rangle$  if we discard

Thus projection with donsity metrix; die p,

m most probable eigenvolue Wx

Entaylement

Entamplement is a property of a state of dividend onto 2 parts - how quarton - correlated are the two parts?

Example! Two 5=2's Q.Which 5tate is more entargled?

(a) 1112 + 1712 + 1112> + 117>

(6) B 111) + 111>

Answer: (b) Dis perfectly entayled.

(a) is unentargled

(11>+12>) & (1>+12>) Product 5+4e

~ 1x-1> 8 /x-1>

In general, how to you tell?

147= 57, 11>1j> 24. j 1.ke = metrox

Singleda Value De coposito - Matrix Fratroats

Numerical

works for any matrx

4 = UDV

MXN MXM MXN - vows are orthonoral

Dhas diggels,  $\geq 0$  - singular values

QI:  $\frac{14}{2}$  Schmidt - decomposition sumbars

Unentangled: only one size value  $\neq 0$ Normalizator:  $\leq \lambda_{x}^{2} = 1$   $\lambda_{x}^{2} = p_{x,0} \leq q_{x,0}$ 

Normalization:  $\sum_{\alpha} \lambda_{\alpha}^{2} = 1$   $\lambda_{\alpha}^{2} = prob q$  5 + 4e10i > 1ip > 4e

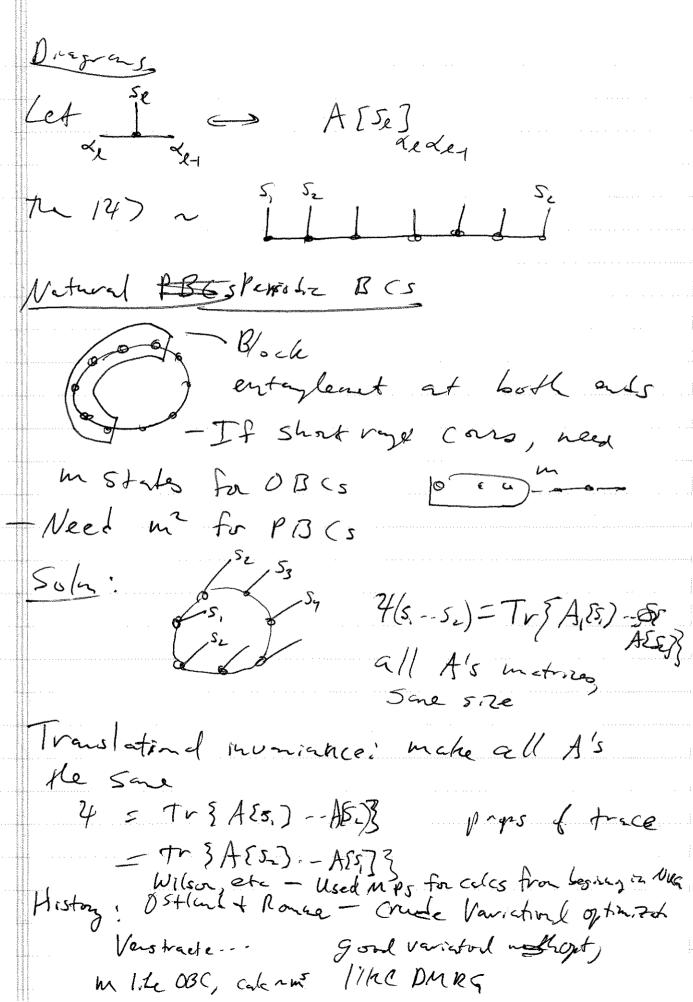
Density matrices:  $p = 77 = upv(v^{T}b^{T}u^{T})$   $= up^{2}u^{T} dig. fra$ 

So Wa = La Donsity mit. x iter some 25 Schnitt - Lecop.

- PMRG is very without from QI point

Matrix Product States 1st transformer | \( \alpha\_2 \rangle = \le Q\_{\in \in 2} \rangle\_{\alpha\_2 \le i} \ran 14,7=/5,> 143>= 503[53] 4342 153> 142) = 5 03[53] (342 02[52] 153> 152>15,) All the wy across ( at 5tg [ ]...) 17/7 = 5 OL, [SL] XLXLA - O[52] 15, -- S,> This is a metox product state: 4(5, -- 52) = A, [5, ] ... A, [5, ] met. e 1st + last A's = Vectors Osthuda Nest = m-k.ces 1995 Another form

4(s,--s\_) = Tr {A, Es, ) . - A [527]



Pipper, Whate, Even TE (2007) PBC with m3 Diegras for operators  $S_{1}^{2} \rightarrow S_{1}^{2} O_{1}S_{1}^{2} O_{1}S_{2} \rightarrow S_{2}^{2} O_{1}^{2}S_{3}^{2} O_{2}S_{3}^{2} O_{3}S_{3}^{2} O_{3}S_{3}^{2}$ | S2 | S4 (57) /dy dy Note: = Side i D.M. eigenstyle orthonord Cones from 5 0[5e] 0[5e] = 5 «en Se «exen «exen «exen Si at Step &

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18
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heed to do H4
$m'()(m^2)$ $m^4$ ? $m^4$ Storge Lette
Bed
$H = \sum_{y} A_{xx}, B_{pp},  e.s. A' = S_{x}^{2} A^{2} = S_{x}^{2}$ $B' = S_{x}^{2} A^{2} = S_{x}^{2}$
Hy > E Ax Bpp, Ta's,
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X ten Ke XB
Calc tre, storage n m3
total or Lm3
hilder: # of Lanctos Heratrons ~20-50

So Apply two O's or left, ryt very good guess for Lanczos Starty

=> 20-50 its -> 2-4

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2) How to optn: Ze tensors? Contractor to ovaluate 4(5, -54) partial contractor:
aly degrees of freedon! Solu File F.7 MPS to PEVS left block Do fit French of on else, + Heat

Calctre for fitty, etc: ss lattice Total calc trein Lx Ly m'o lots of iteration Versus (TV5cm) Lxl(ealy)3 or Lxly283aly Which is better? Asymptotically: Peps

> Now : TUSCA