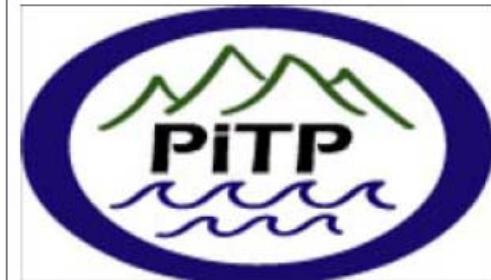


# Introduction to LDA+DMFT

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RQMP

 UNIVERSITÉ DE  
SHERBROOKE

CIFAR-PITP International Summer School on Numerical  
Methods for Correlated Systems in Condensed Matter  
June 1-2 2008

# Plan of the lectures

- Motivate the development of a mean field theory of correlated electron materials.
- General formulations of mean field theory: density functional theory, DMFT, spectral density functional, LDA+DMFT.
- Applications to the Mott transition.
- Some applications of LDA+DMFT
- More foundations, extensions, issues of implementation, applications.

Electronic structure calculations with dynamical mean-field theory: *G. Kotliar, S. Savrasov, K. Haule, V. Oudovenko, O. Parcollet, and C. Marianetti, Rev. Mod. Phys. 78, 000865 (2006)*.

Electronic structure calculations with dynamical mean-field theory: *G. Kotliar, S. Savrasov, K. Haule, V. Oudovenko, O. Parcollet, and C. Marianetti, Rev. Mod. Phys. 78, 000865 (2006)*



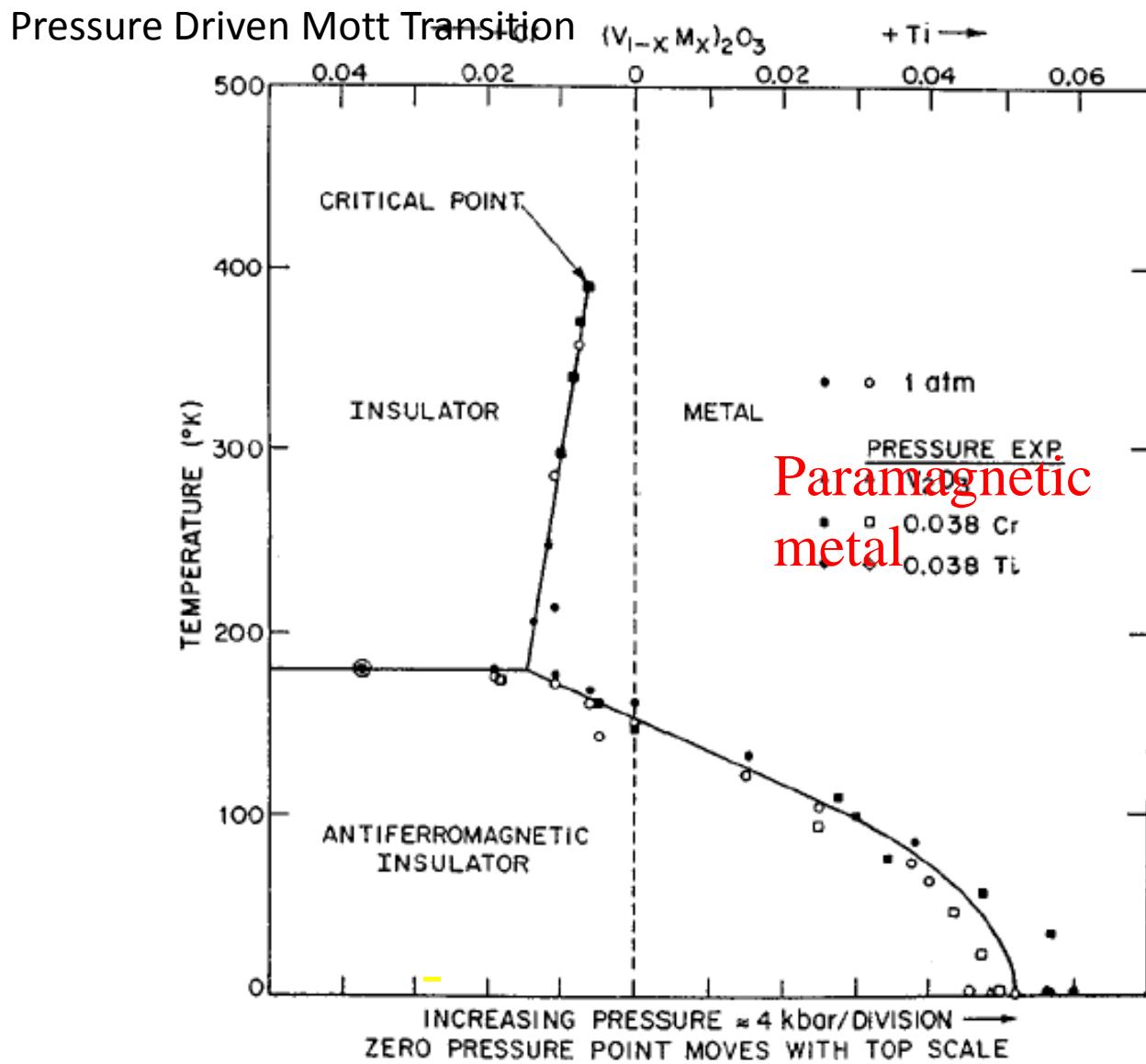
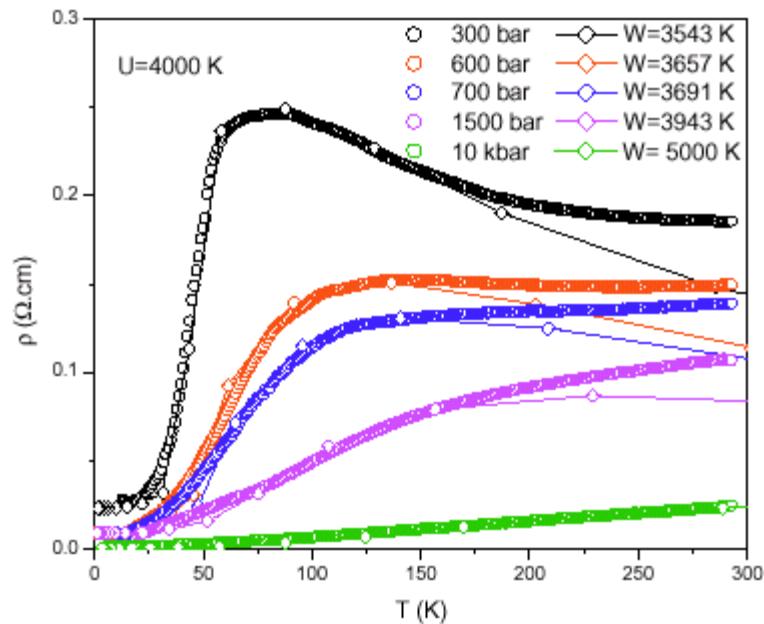
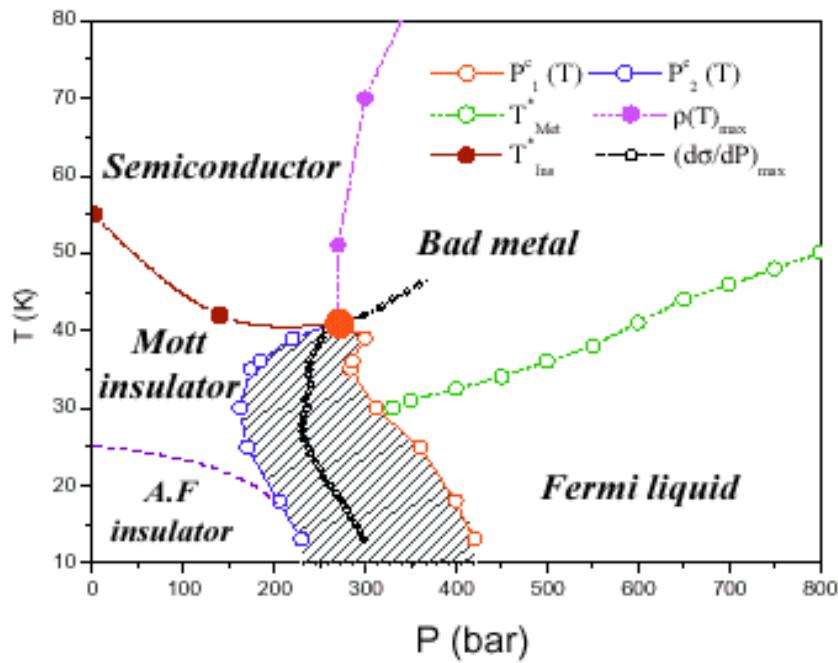


FIG. 70. Phase diagram for doped  $\text{V}_2\text{O}_3$  systems,  $(\text{V}_{1-x} \text{Cr}_x)_2\text{O}_3$  and  $(\text{V}_{1-x} \text{Ti}_x)_2\text{O}_3$ . From McWhan *et al.*, 1971, 1973.

# Mott transition and transport crossovers in the organic compound $\kappa-(\text{BEDT-TTF})_2\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$



P. Limelette et al., PRL 91 (2003) 016401

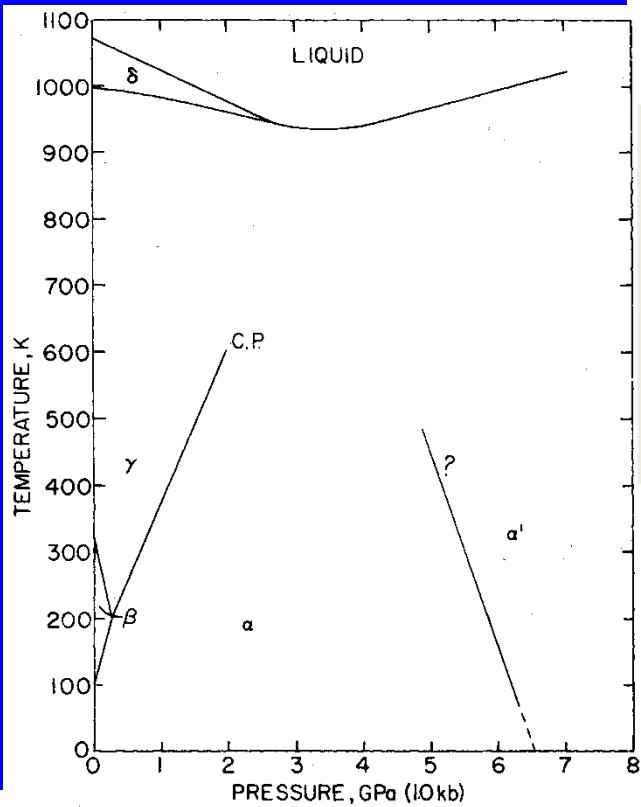
See also:

Kagawa et al. cond-mat/0307304

FIG. 6: Temperature-dependence of the resistivity at different pressures. The data (circles) are compared to a DMFT-NRG calculation (diamonds), with a pressure dependence of the bandwidth as indicated. The measured residual resistivity  $\rho_0$  has been added to the theoretical curves.

# Cerium

- Various phases :  
isostructural phase transition ( $T=298K$ ,  $P=0.7GPa$ )  
 $\gamma$  (fcc) phase  
[ magnetic moment (Curie-Wiess law) ]  
 $\rightarrow \alpha$  (fcc) phase  
[ loss of magnetic moment (Pauli-para) ]
- with large volume collapse  
 $\Delta v/v \approx 15\%$   
( $\gamma$ -phase  $a \approx 5.16 \text{ \AA}$   
 $\alpha$ -phase  $a \approx 4.8 \text{ \AA}$ )



volumes	exp.	LDA	LDA+U
$\alpha$	$28 \text{ \AA}^3$	$24.7 \text{ \AA}^3$	
$\gamma$	$34.4 \text{ \AA}^3$		$35.2 \text{ \AA}^3$

- $\gamma$  -phase (localized):  
**High T phase**
  - Curie-Weiss law (localized magnetic moment),
  - Large lattice constant
  - Tk around 60-80K
- $\alpha$ -phase (delocalized:Kondo-physics):  
**Low T phase**
  - Loss of Magnetism (Fermi liquid Pauli susceptibility) - completely screened magnetic moment
  - smaller lattice constant
  - Tk around 1000-2000K

# Localization Delocalization in Actinides

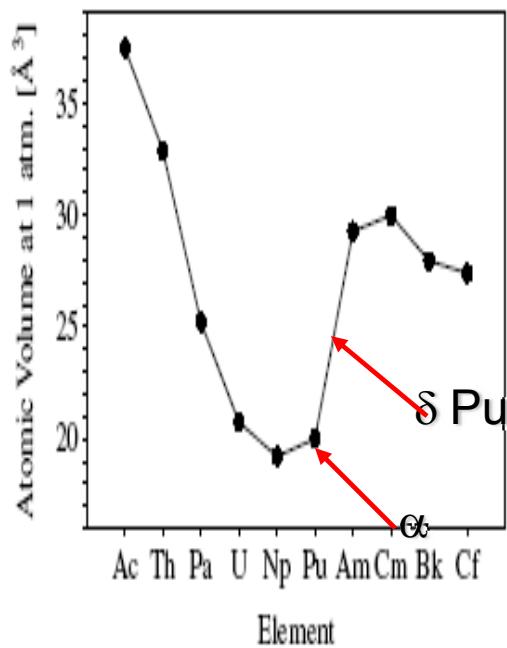
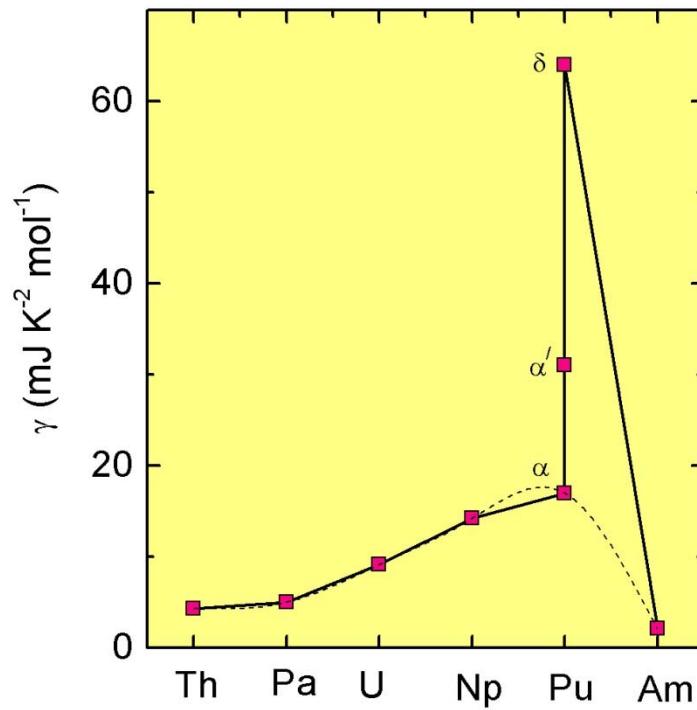


Figure 1. Atomic volumes of actinide metals at atmospheric pressure and 25°C.



Modern understanding of this phenomena using functional approach to DMFT. K Haule S.Savrasov J Shim

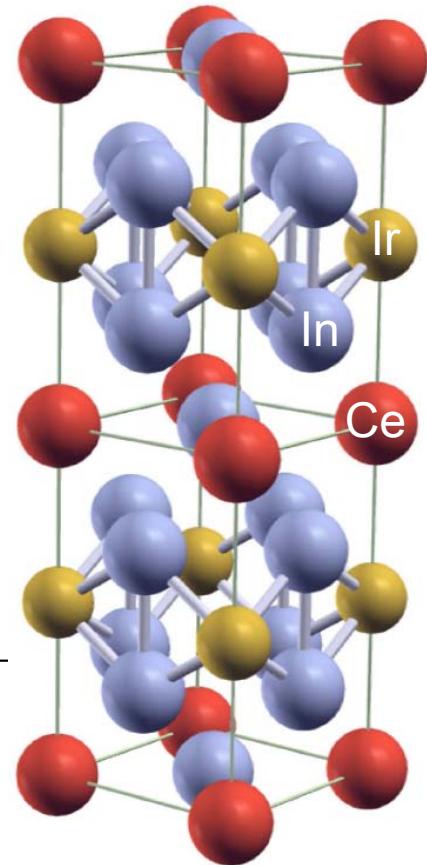
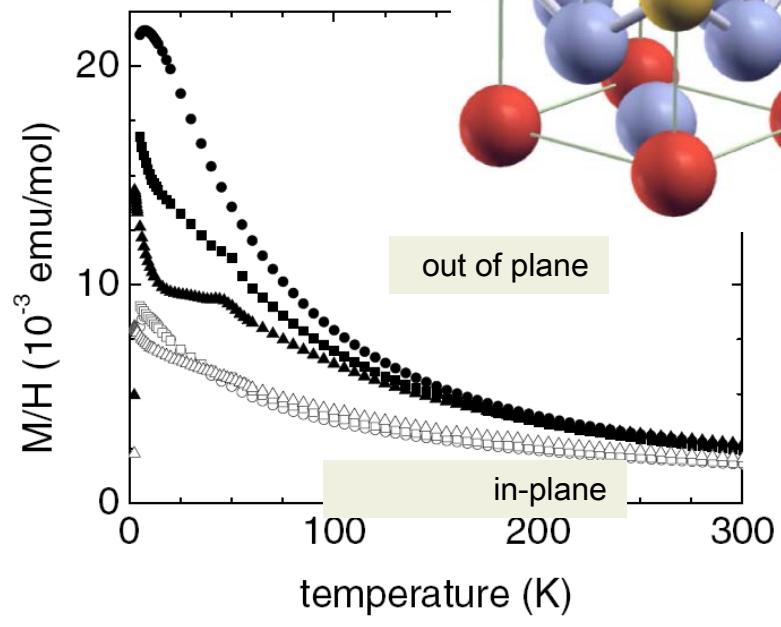
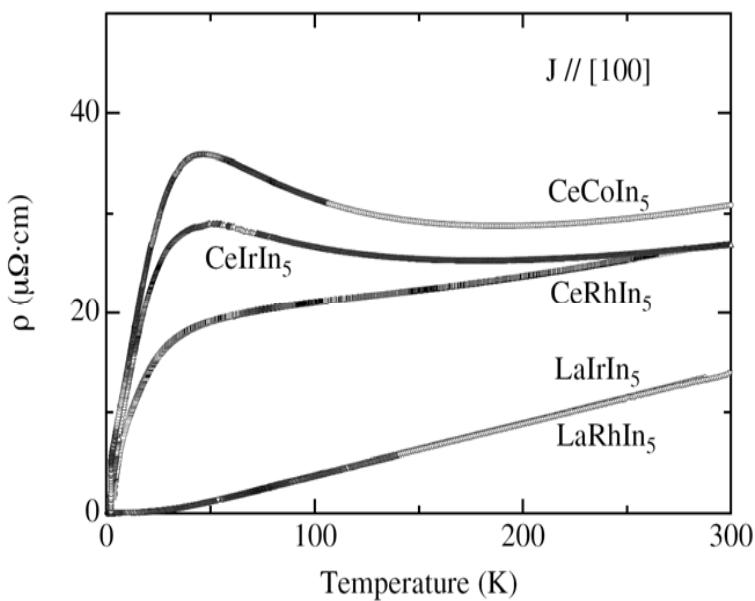
# Strongly correlated materials do “big” things

## Competition of localization and itineracy.

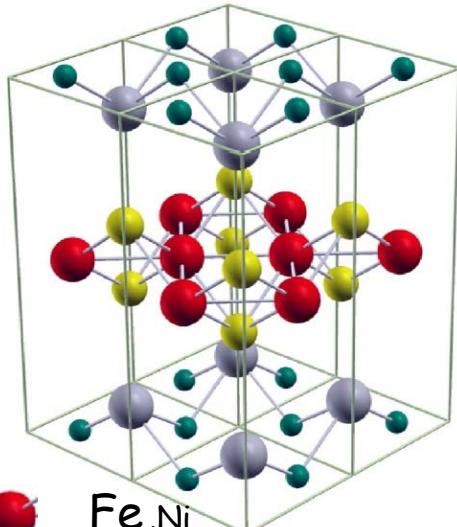
- Huge volume collapses in lanthanides and actinides, eg. Ce, Pu, .....
- Metal insulator transitions as a function of pressure and composition in transition metal oxides, VO<sub>2</sub> V<sub>2</sub>O<sub>3</sub>
- Quasiparticles with large masses  $m^* = 1000$  meV in Ce and U based heavy fermions.
- Colossal Magnetoresistance in La<sub>1-x</sub>Sr<sub>x</sub>MnO<sub>3</sub>
- High Temperature Superconductivity 150 K Ca<sub>2</sub>Ba<sub>2</sub>Cu<sub>3</sub>HgO<sub>8</sub>
- .
- Large thermoelectric response in Na<sub>x</sub>Co<sub>2</sub>O<sub>4</sub>
- 50K superconductivity in SmO<sub>1-x</sub>F<sub>x</sub>FeAs
- Many others.....

# CeMIn<sub>5</sub> M=Co, Ir, Rh

- CeRhIn<sub>5</sub>: TN=3.8 K;  $\gamma \approx 450 \text{ mJ/molK}^2$
- CeCoIn<sub>5</sub>: Tc=2.3 K;  $\gamma \approx 1000 \text{ mJ/molK}^2$ ; • CeIrIn<sub>5</sub>: Tc=0.4 K;  $\gamma \approx 750 \text{ mJ/molK}^2$



# Iron based high-T<sub>c</sub> superconductors



● Fe,Ni  
● As,P  
● La,Sm,Ce  
● O

- a) Y. Kamihara et.al., Tokyo, JACS
- b) X.H. Chen, et.al., Beijing, cm/0803.3790
- c) G.F. Chen et.al., Beijing, cm/0803.3603
- d) Z.A. Ren et.al, Beijing, unpublished

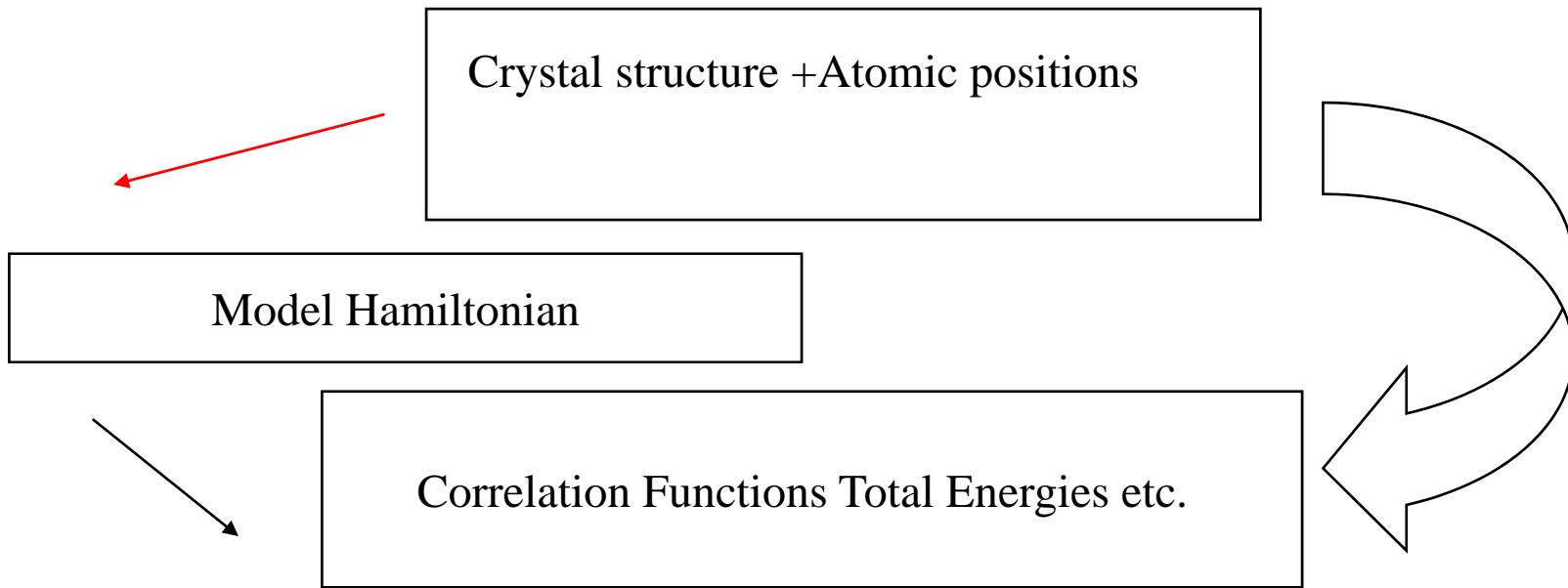
- 2D square lattice of Fe
- Fe - magnetic moment

$x \sim 5\text{-}20\%$

Smaller  $\text{c}$   
Higher  $T_c$

$\text{LaOF}_{\text{FeP}}$	<b>3.2K</b> , JACS-2006 $a=3.964\text{\AA}$ , $\text{c}=8.512\text{\AA}$
$\text{SmF}_x\text{O}_{1-x}\text{FeAs}$ c)	<b>55K</b> , cm/0803.3603 $a=3.940\text{\AA}$ , $\text{c}=8.496\text{\AA}$
$\text{PrF}_x\text{O}_{1-x}\text{FeAs}$ d)	<b>52K</b> , unpublished $a=3.985\text{\AA}$ , $\text{c}=8.595\text{\AA}$
$\text{CeF}_x\text{O}_{1-x}\text{FeAs}$ b)	<b>41 K</b> , cm/0803.3790 $a=3.996\text{\AA}$ , $\text{c}=8.648\text{\AA}$
$\text{LaF}_x\text{O}_{1-x}\text{FeAs}$ a)	<b>26 K</b> , JACS-2008 $a=4.036\text{\AA}$ , $\text{c}=8.739\text{\AA}$
$\text{La}_{1-x}\text{Sr}_x\text{OF}_{\text{FeAs}}$	<b>25K</b> , cm/0803.3021, $a=4.035\text{\AA}$ , $\text{c} = 8.771\text{\AA}$
$\text{LaCa}_x\text{O}_{1+x}\text{FeAs}$	<b>0 K</b>
$\text{LaF}_x\text{O}_{1-x}\text{NiAs}$	<b>2.75K</b> , cm/0803.2572a $=4.119\text{\AA}$ , $\text{c}=8.180\text{\AA}$
$\text{La}_{1-x}\text{Sr}_x\text{ONiAs}$	<b>3.7K</b> , cm/0803.3978 $a=4.045\text{\AA}$ , $\text{c}=8.747\text{\AA}$

# Two paths for ab-initio calculation of electronic structure of strongly correlated materials



Mean field ideas can be used in both cases.

# Mean Field Theory : general construction principles

Spectral density functional. Effective action construction.e.g Fukuda et.al

$$Z = e^{-F[J]} = \int d\psi d\psi^+ e^{-[S(\psi^+\psi) + JA]}$$

$$\frac{\delta F}{\delta J}[J] = \langle A \rangle = a$$

$$\Gamma[a] = F[J[a]] - aJ[a]$$

$$S=S_0+\lambda S_{\rm int}$$

$$J=J_0+\lambda J_1+\cdots$$

$$\Gamma[a]=F_0[D_0]-aJ_0+\Delta\Gamma[a].$$

$$\Delta \Gamma = \Gamma_{hartree} + \Gamma_{xc}$$

$$\Delta\Gamma[a] = \int_0^1 d\lambda \langle S_{\text{int}} \rangle(\lambda, J(\lambda, a))$$

In practice we need good approximations to the exchange correlation, in DFT LDA. In spectral density functional theory, DMFT. Review: Kotliar et.al. Rev. Mod. Phys. **78**, 865 (2006)

$$\frac{\delta F}{\delta J_0}[J_0] = a$$

$$\frac{\delta \Delta\Gamma}{\delta a} = J_0[a]$$

Kohn Sham equations

# Remarks:

- Exact functionals of an observable  $A$ ,  $\Gamma_{exact}[a]$
- In practice approx are needed  $\Gamma_{mft}[a] \sim \Gamma_{exact}[a]$
- Many  $a$ 's many theories.
- Introduction of a reference system. Separation into “free part” and exchange+ correlation.
- Formal expression for the correlation part of the exact functional as a coupling constant integration.
- Good approximate functionals obtained by approximating the xc part. [ small parameter  $d$  helps!]
- While the construction aims to calculate  $\langle A \rangle = a$ , other quantities, e.g. correlation functions, emerge as a byproduct.

# Crucial Role of the constraining field

$J_0[a]$

Different reference systems [ e.g.  
band limit or atomic limit ]  
define different constraining  
fields.

- Different functionals (self energy functional,  
BK functional, Harris Foulkes functional, etc )

$\Gamma[a], \Gamma[a, J], \Gamma[a, J_0], \Gamma[J_0]$

# Analogy with spin systems.

# Density functional and Kohn Sham reference system

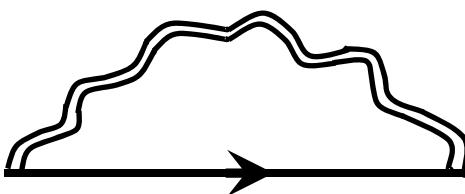
$$-\nabla^2 / 2 + V_{KS}(r) \psi_{kj} = \varepsilon_{kj} \psi_{kj}$$

$$\rho(r) = \sum_{kj} f(\varepsilon_{kj}) |\psi_{kj}(r)|^2$$

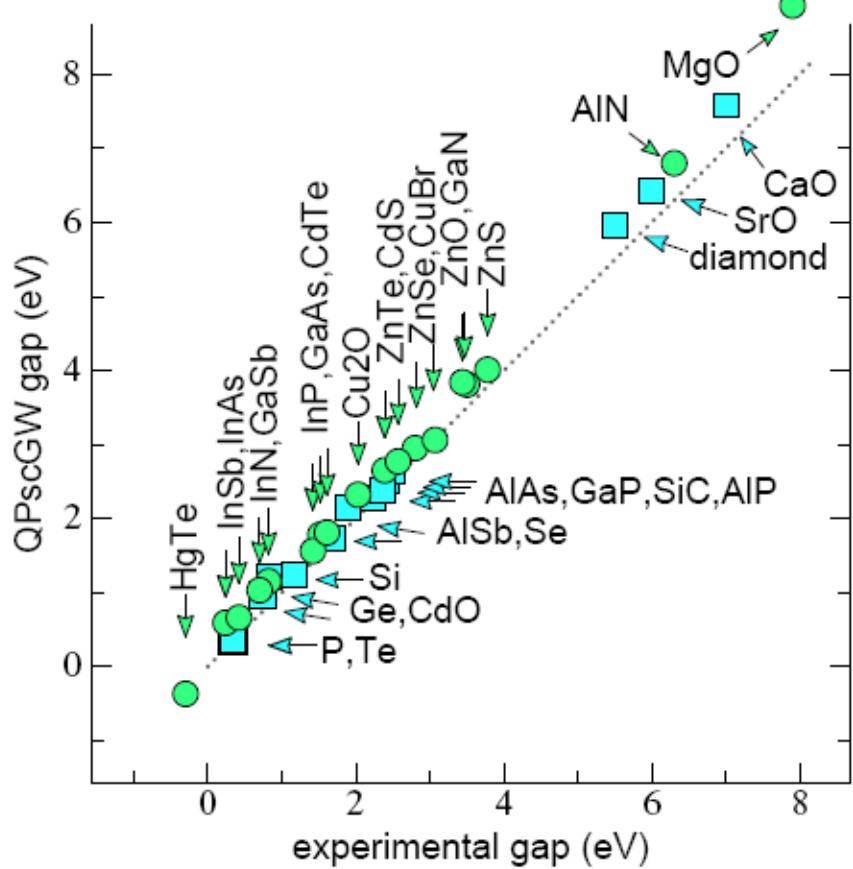
$$V_{KS}(r)[\rho(r)] = V_{ext}(r) + \int \frac{\rho(r')}{|r - r'|} dr' + \frac{\delta E_{xc}}{\delta \rho(r)}[\rho]$$

- Kohn Sham spectra, proved to be an excellent starting point for doing perturbation theory in screened Coulomb interactions GW.

Kohn Sham Eigenvalues and Eigensates: Excellent starting point for perturbation theory in the screened interactions (Hedin 1965)



Self Energy



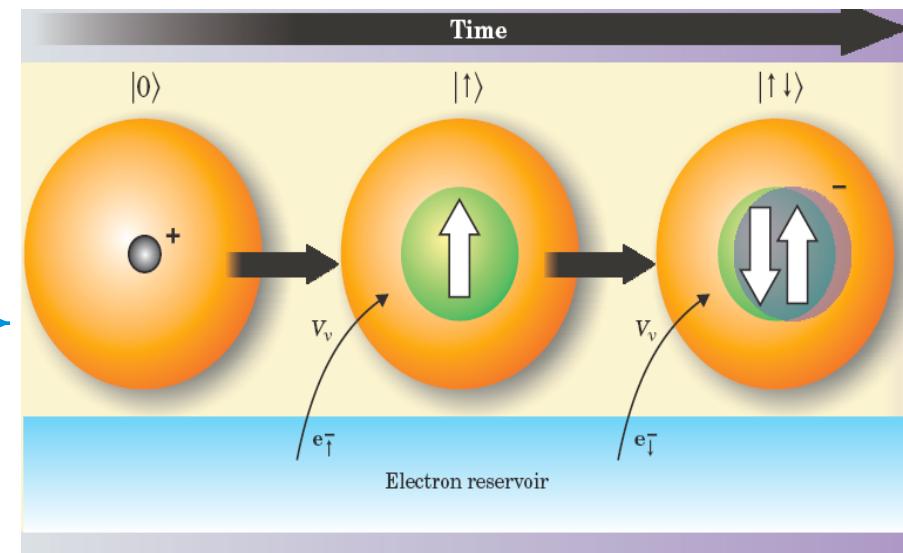
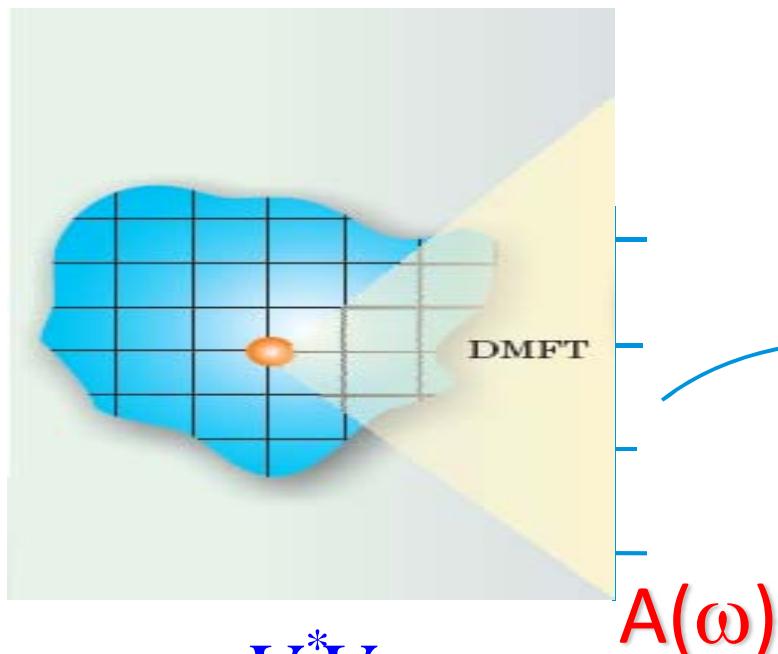
VanShilfgaarde (2005)

# Model Hamiltonians and DMFT

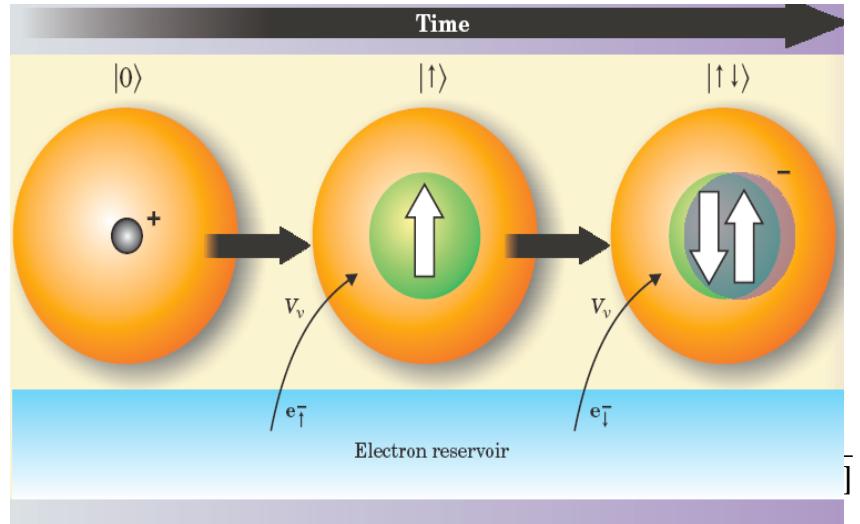
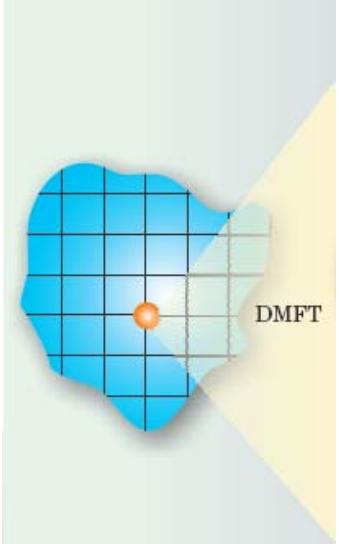
# Dynamical Mean Field Theory. Cavity Construction.

A. Georges and G. Kotliar PRB 45, 6479 (1992).

$$\begin{aligned}
 & -\sum_{i,j} J_{ij} S_i S_j - h \sum_i S_i \\
 & - \sum_{<i,j>, \sigma} (t_{ij} + \mu \delta_{ij}) (c_{i\sigma}^\dagger c_{j\sigma}^H + c_{j\sigma}^\dagger c_{i\sigma}^H) = \sum_{\alpha, \sigma} (\frac{V_\alpha}{U} \sum_i A_{\alpha\sigma}^\dagger n_{i\uparrow} n_{i\downarrow} + \text{c.c.}) + \sum_{\alpha, \sigma} \varepsilon_\alpha A_{\alpha\sigma}^\dagger A_{\alpha\sigma} + \sum_{\alpha, \sigma} \mu c_{0\sigma}^\dagger c_{0\sigma} + U c_{0\uparrow}^\dagger c_{0\uparrow} c_{0\downarrow}^\dagger c_{0\downarrow}
 \end{aligned}$$



$$\int_0^\beta \int_0^\beta c_{o\sigma}^\dagger(\tau) [(\frac{\partial}{\partial \tau} + \mu) \delta(\tau - \tau') - \Delta(\tau - \tau')] c_{o\sigma}(\tau') + U \int_0^\beta n_{o\uparrow} n_{o\downarrow}$$



$$A(\omega) \quad \Delta(\omega)$$

$$m_i = th[\beta \sum_j J_{ij} m_j + h]$$

$$i\omega_n - \Sigma(i\omega_n)[\Delta] \doteq -\Delta(i\omega_n) + \frac{1}{G_{imp}(i\omega_n)[\Delta]}$$

$$i\omega_n - \Sigma(i\omega_n)[\Delta] - t(k) + \mu = G_{\text{latt}}(i\omega_n, k)^{-1}$$

$$G_{imp}(i\omega_n)[\Delta] = \sum_k G_{\text{latt}}(i\omega_n, k)[\Delta]$$

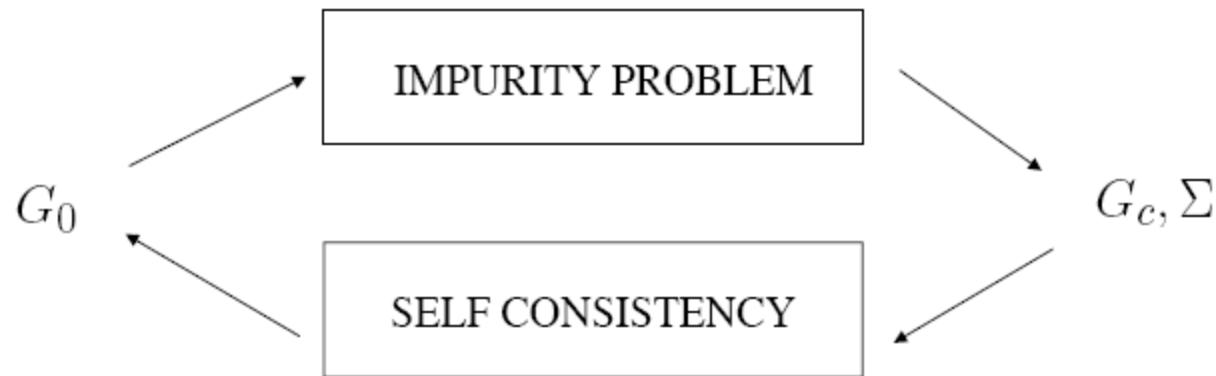
## DMFT

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U n_{i\uparrow} n_{i\downarrow}$$

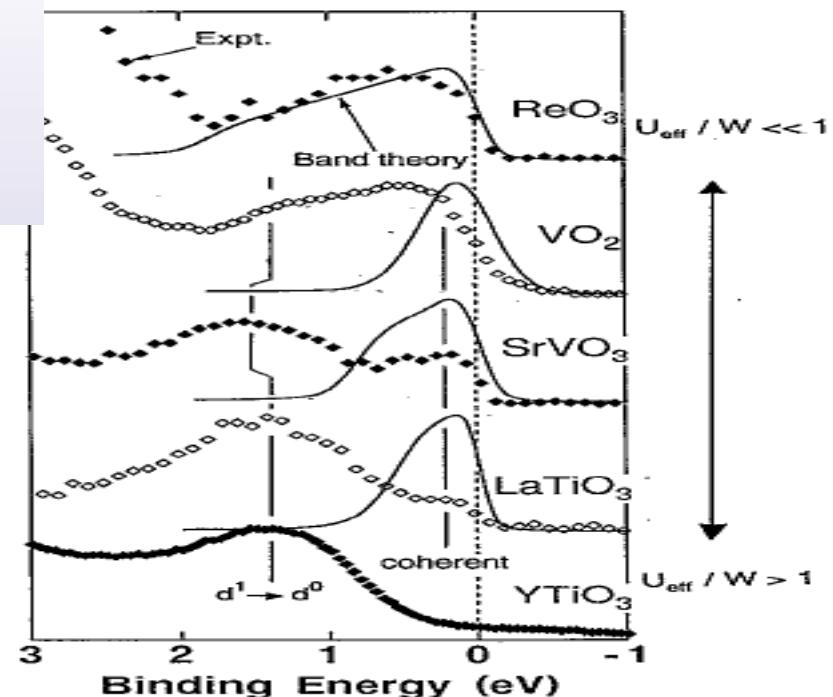
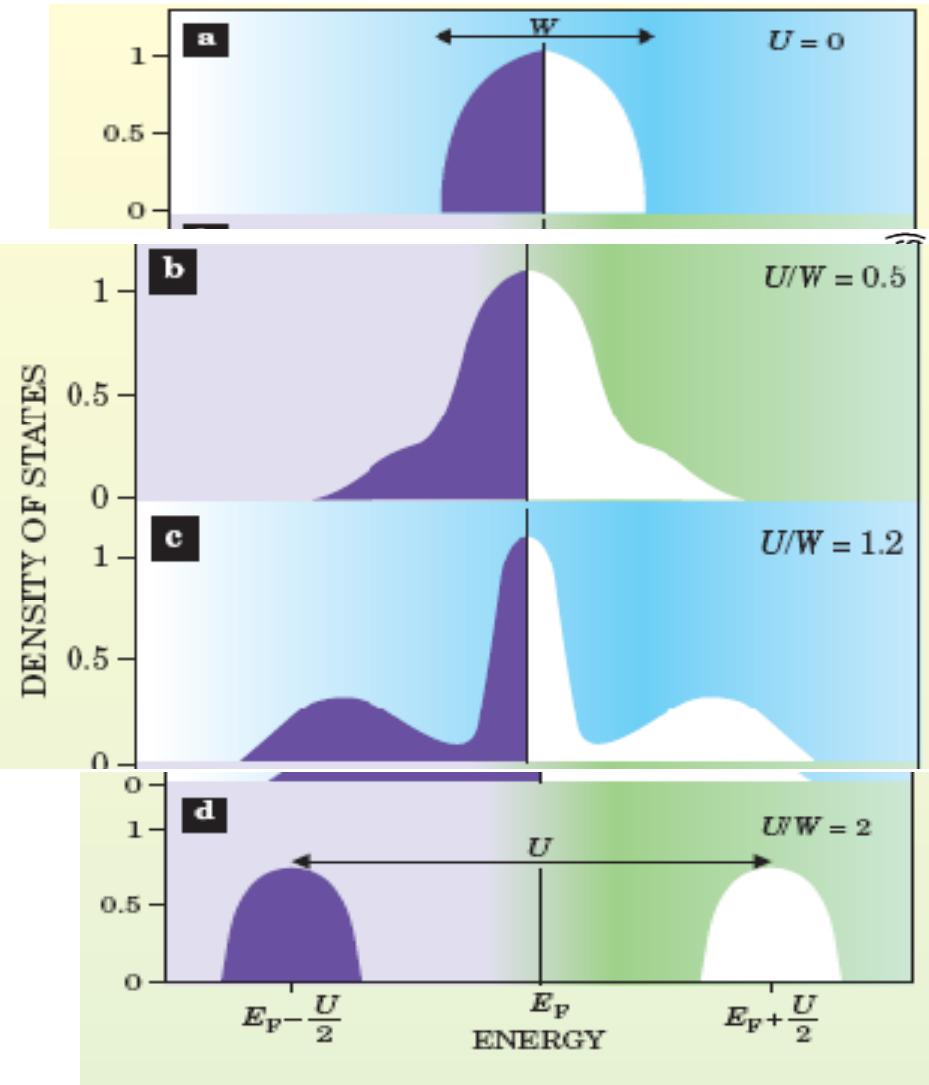
$$G_c(\tau) = -\langle T c(\tau) c^\dagger(0) \rangle_{S_{\text{eff}}}$$
$$S_{\text{eff}} = - \int_0^\beta c_\sigma^\dagger(\tau) G_0^{-1}(\tau - \tau') c_\sigma(\tau') + \int_0^\beta d\tau U n_\uparrow(\tau) n_\downarrow(\tau)$$

$$\Sigma = G_0^{-1} - G_c^{-1}$$

$$G_0^{-1}(i\omega_n) = \left( \sum_k \frac{1}{i\omega_n + \mu - t(k) - \Sigma(i\omega_n)} \right)^{-1} + \Sigma(i\omega_n)$$

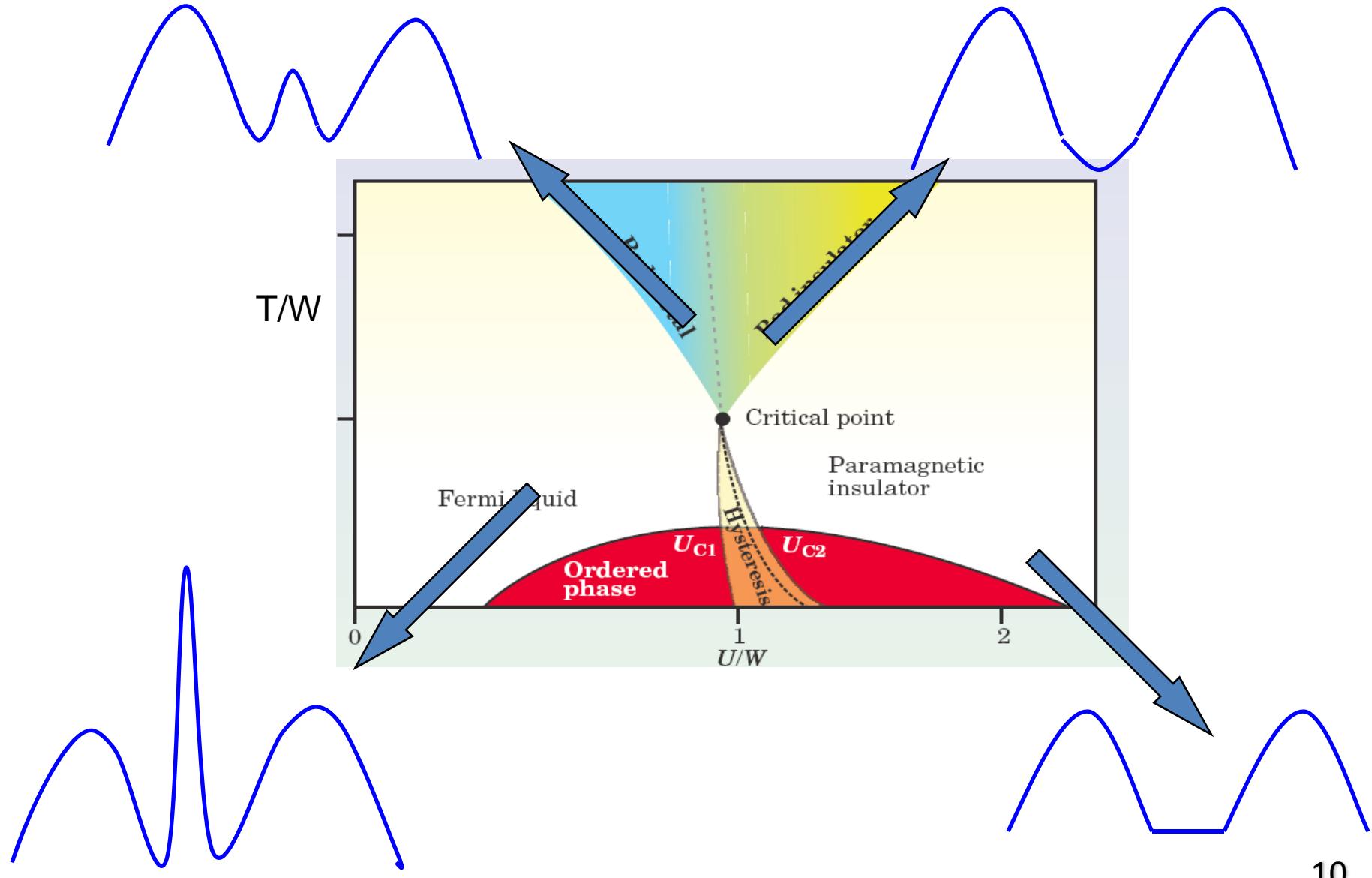


# Evolution of the DOS. Theory and experiments

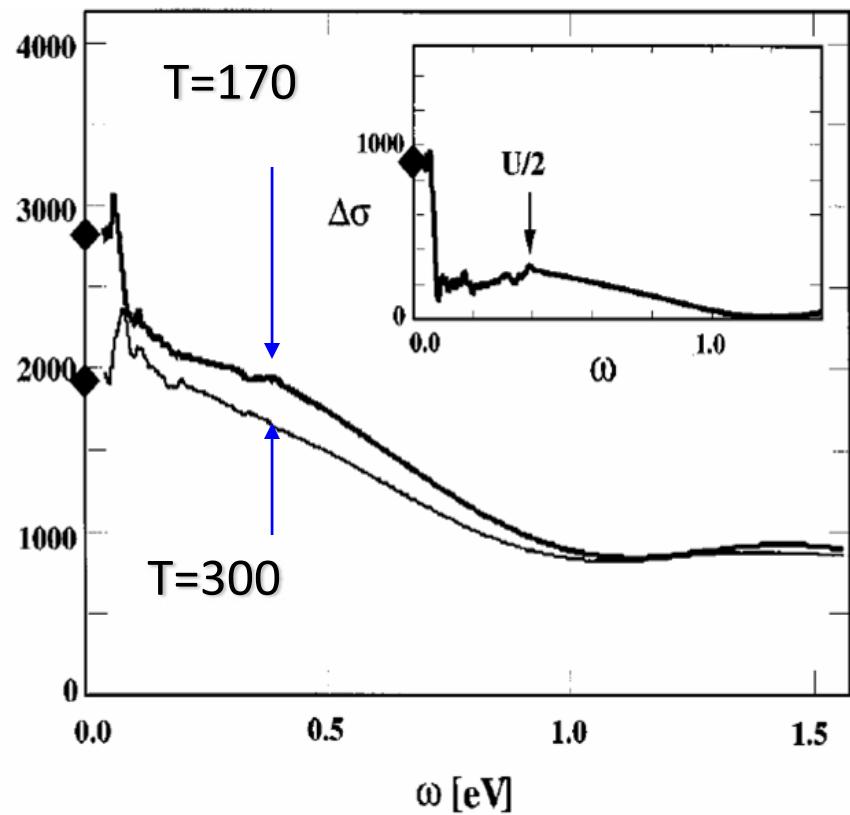
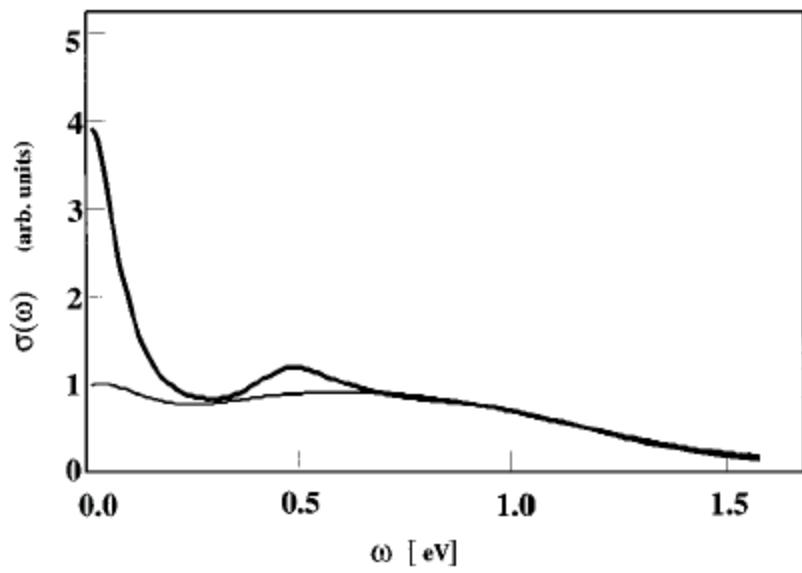


$A(\omega)$

# Qualitative Phase diagram :frustrated Hubbard model, integer filling *M. Rozenberg et.al.* 75, 105 (1995)



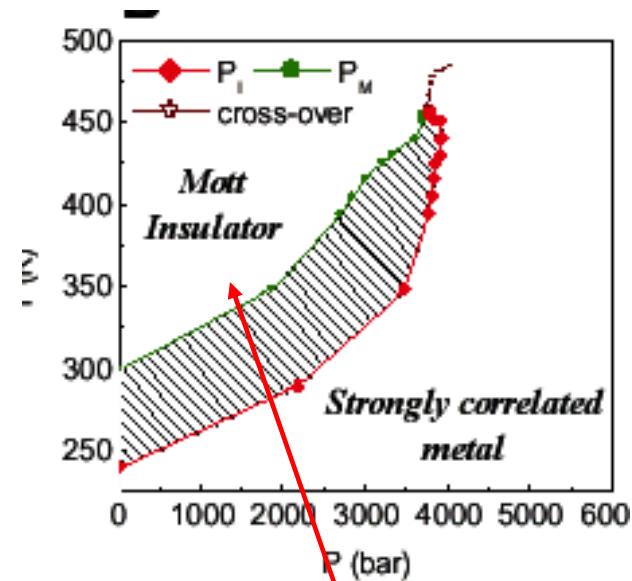
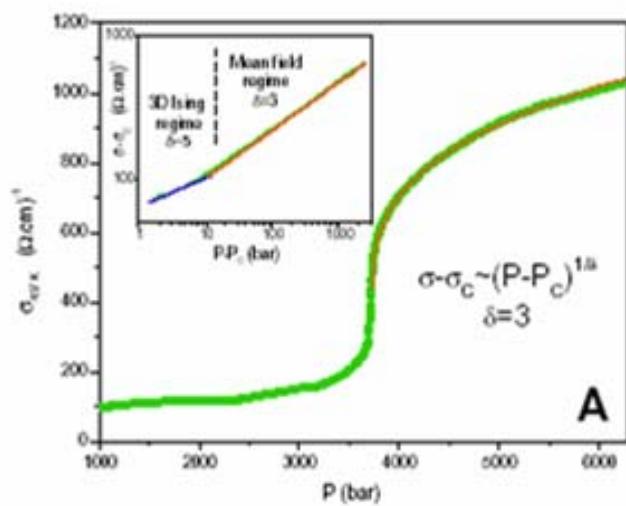
# Interaction with Experiments. V2O<sub>3</sub>:Anomalous transfer of spectral weight



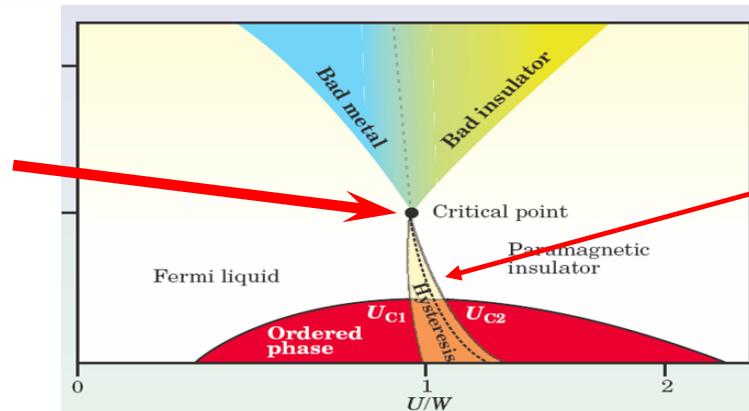
M. Rozenberg G. Kotliar H. Kajueter G Thomas D. Rapkine J Honig and P Metcalf Phys. Rev. Lett. 75, 105 (1995)

# Spinodals and Ising critical endpoint.

Observation in  $V_2O_3$ : *P. Limelette et.al. Science 302, 89 (2003)*



Critical endpoint



Spinodal  $U_{C2}$

# LDA+DMFT

**LDA+DMFT** V. Anisimov, A. Poteryaev, M. Korotin, A. Anokhin and G. Kotliar, J. Phys. Cond. Mat. 35, 7359 (1997). A Lichtenstein and M. Katsnelson PRB 57, 6884 (1988).

- The light, SP (or SPD) electrons are extended, well described by LDA .The heavy, D (or F) electrons are localized treat by DMFT.
- LDA Kohn Sham Hamiltonian already contains an average interaction of the heavy electrons, subtract this out by shifting the heavy level (double counting term)
- Kinetic energy is provided by the Kohn Sham Hamiltonian (sometimes after downfolding ). The U matrix can be estimated from first principles or viewed as parameters. Solve resulting model using DMFT.

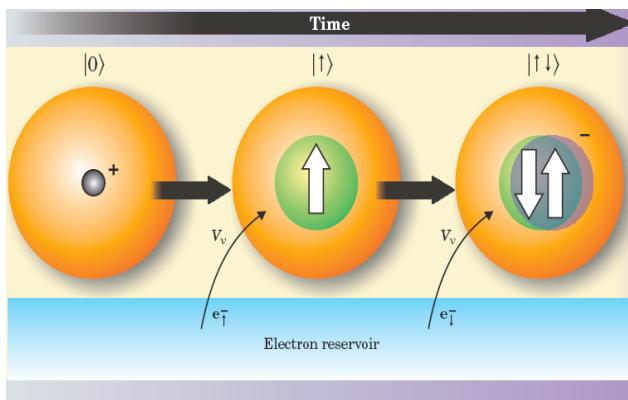
LDA+DMFT. V. Anisimov, A. Poteryaev, M. Korotin, A. Anokhin and G. Kotliar, J. Phys. Cond. Mat. 35, 7359 (1997).

$$G(k, i\omega) = \frac{1}{i\omega - t(k) - \Sigma(i\omega)}$$

Spectra= - Im G(k,ω)

$$U \longrightarrow U_{abcd}$$

$$\Sigma \rightarrow \begin{pmatrix} 0 & 0 \\ 0 & \Sigma_{ff} \end{pmatrix}$$



$$t(k) \rightarrow \begin{pmatrix} H[k]_{spd,sps} & H[k]_{spd,f} \\ H[k]_{f,spd} & H[k]_{ff} \end{pmatrix}$$

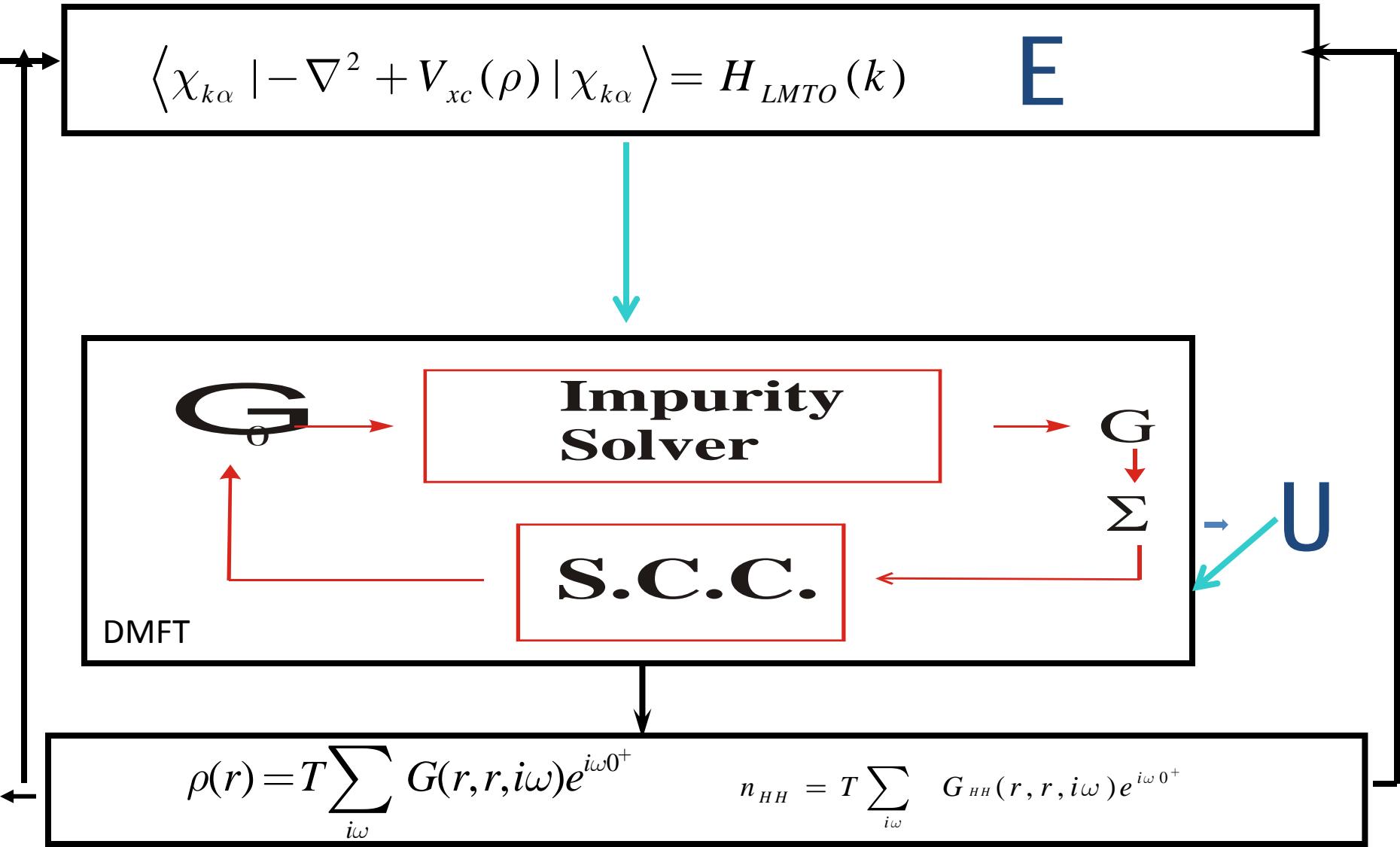
$$|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow\downarrow\rangle \rightarrow |LSJM_J\gamma\dots\rangle$$

Determine energy and  $\Gamma$  and  $\Sigma$  self consistently from extremizing a functional Chitra and Kotliar (2001). Savrasov and Kotliar (2001) Full self consistent implementation

$$\Gamma_{dft}[\rho] \longrightarrow \Gamma_{lda + dmft}[G_{loc}, \rho, U]$$



# LDA+DMFT Self-Consistency loop



# LDA+DMFT functional

$$\begin{aligned} & \Gamma_{LDA + DMFT} [ \rho(r) G_{ab} V_{KS}(r) \Sigma_{ab} ] \\ & - Tr \log [ i\omega_n + \nabla^2 / 2 - V_{KS} - \chi^{*\alpha R}(r) \Sigma_{\alpha\beta R} \chi_{\beta R}(r) ] - \\ & \int V_{KS}(r) \rho(r) dr - \sum_{i\omega_n} Tr \Sigma(i\omega_n) G(i\omega_n) + \\ & \int V_{ext}(r) \rho(r) dr + \frac{1}{2} \int \frac{\rho(r) \rho(r')}{|r - r'|} dr dr' + E_{xc}^{LDA}[\rho] + \\ & \sum_R \Phi[G_{\alpha\beta R}] - \Phi_{DC} \end{aligned}$$

$\Phi$  Sum of local 2PI graphs with local U matrix and local G

$$\Phi_{DC}[G] = Un(n-1)\frac{1}{2}$$

$$n = T \sum_{abi\omega} \left( G_{ab}(i\omega) e^{i0^+} \right)$$

# Embedding

$$\Sigma_{HH} \rightarrow \hat{\Sigma}$$

$$\Sigma_{HH} = \begin{bmatrix} \Sigma_{22} & 0 \\ 0 & \Sigma_{11} \end{bmatrix} \rightarrow \hat{\Sigma} = \begin{bmatrix} 0 & 0 \\ 0 & \Sigma_{HH} \end{bmatrix} \quad \hat{H} = \begin{bmatrix} H_{LL} & H_{LH} \\ H_{HL} & H_{HH} \end{bmatrix}$$

Inversion

$$i\omega_n \hat{O}_k - \hat{H}(k) - \hat{E} - \hat{\Sigma}(i\omega_n) \rightarrow \frac{1}{i\omega_n \hat{O}_k - \hat{H}(k) - \hat{E} - \hat{\Sigma}(i\omega_n)}$$

Integrating over BZ

$$\hat{G}_{loc}(i\omega_n) = \sum_k \frac{1}{i\omega_n \hat{O}_k - \hat{H}(k) - \hat{E} - \hat{\Sigma}(i\omega_n)}$$

Truncation

$$\hat{G}_{loc} = \begin{bmatrix} 0 & 0 \\ 0 & G_{HH} \end{bmatrix} \rightarrow G_{HH}$$

$$G_0^{-1}(i\omega_n) = G_{HH}^{-1} + \Sigma_{HH}(i\omega_n)$$

# Inversion in realistic DMFT

$$[(i\omega + \mu)\hat{O}(\mathbf{k}) - \hat{h}^{(0)}(\mathbf{k}) - \mathcal{M}_{\text{int}}(i\omega)]^{-1}$$

$$[h_{\alpha\beta}^{(0)}(\mathbf{k}) + \mathcal{M}_{\text{int},\alpha\beta}(i\omega) - \epsilon_{\mathbf{k}j\omega} O_{\alpha\beta}(\mathbf{k})] \psi_{\mathbf{k}j\omega,\beta}^R = 0$$

$$\mathcal{G}_{\alpha\beta}(\mathbf{k}, i\omega) = \sum_j \frac{\psi_{\mathbf{k}j\omega,\alpha}^R \psi_{\mathbf{k}j\omega,\beta}^L}{i\omega + \mu - \epsilon_{\mathbf{k}j\omega}}.$$

$$\rho(\mathbf{r}) = T \sum_{i\omega} \sum_{\mathbf{k}j} \frac{\psi_{\mathbf{k}j\omega}^R(\mathbf{r}) \psi_{\mathbf{k}j\omega}^L(\mathbf{r})}{i\omega + \mu - \epsilon_{\mathbf{k}j\omega}} e^{i\omega 0^+}.$$

# Generalized Kohn Sham eigenstates

$$\rho(\mathbf{r}) = T \sum_{i\omega} \sum_{\mathbf{k}j} \frac{\psi_{\mathbf{k}j\omega}^R(\mathbf{r}) \psi_{\mathbf{k}j\omega}^L(\mathbf{r})}{i\omega + \mu - \epsilon_{\mathbf{k}j\omega}} e^{i\omega 0^+}.$$

$$g_{\mathbf{k}j\omega} = \frac{1}{i\omega + \mu - \epsilon_{\mathbf{k}j\omega}}$$

$$\rho(r) = \sum_{kj} f(\varepsilon_{kj}) |\psi_{kj}(r)|^2$$

# Comments on LDA+DMFT

- Static limit of the LDA+DMFT functional , with  $\Phi= \Phi_{\text{HF}}$  reduces to LDA+U
- Removes inconsistencies and shortcomings of this approach. **DMFT retain correlations effects in the absence of orbital ordering.**
- Only in the orbitally ordered Hartree Fock limit, the Greens function of the heavy electrons is fully coherent
- **Gives the local spectra and the total energy simultaneously, treating QP and H bands on the same footing.**

# Localization Delocalization in Actinides

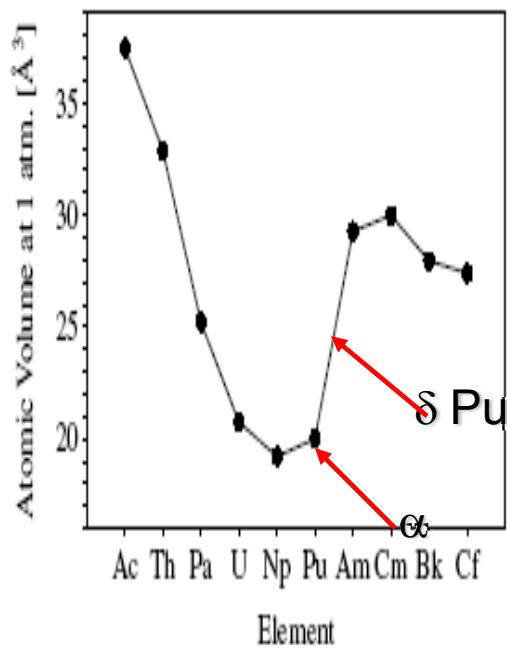
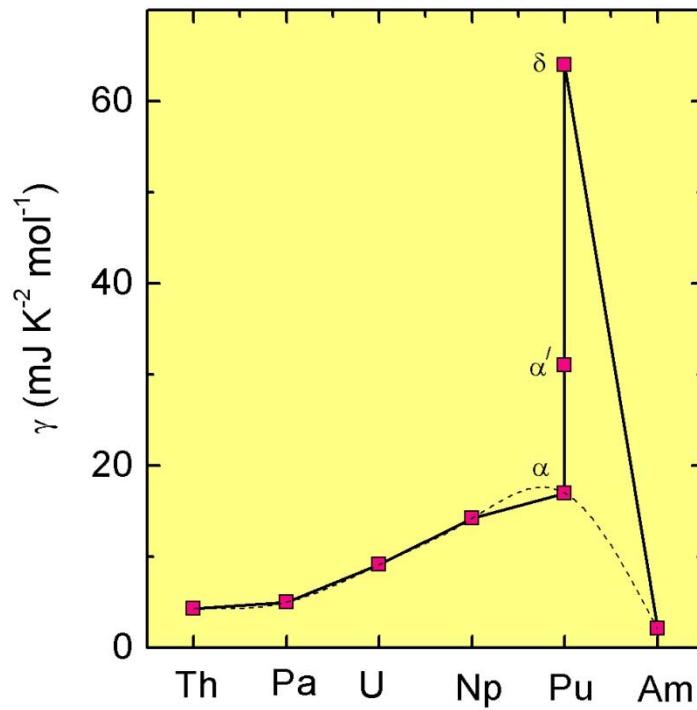
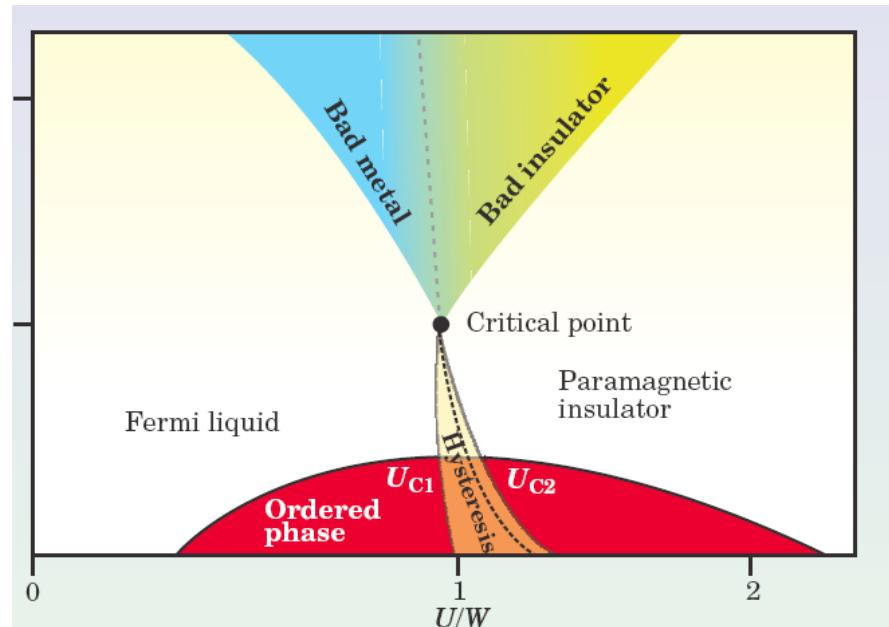
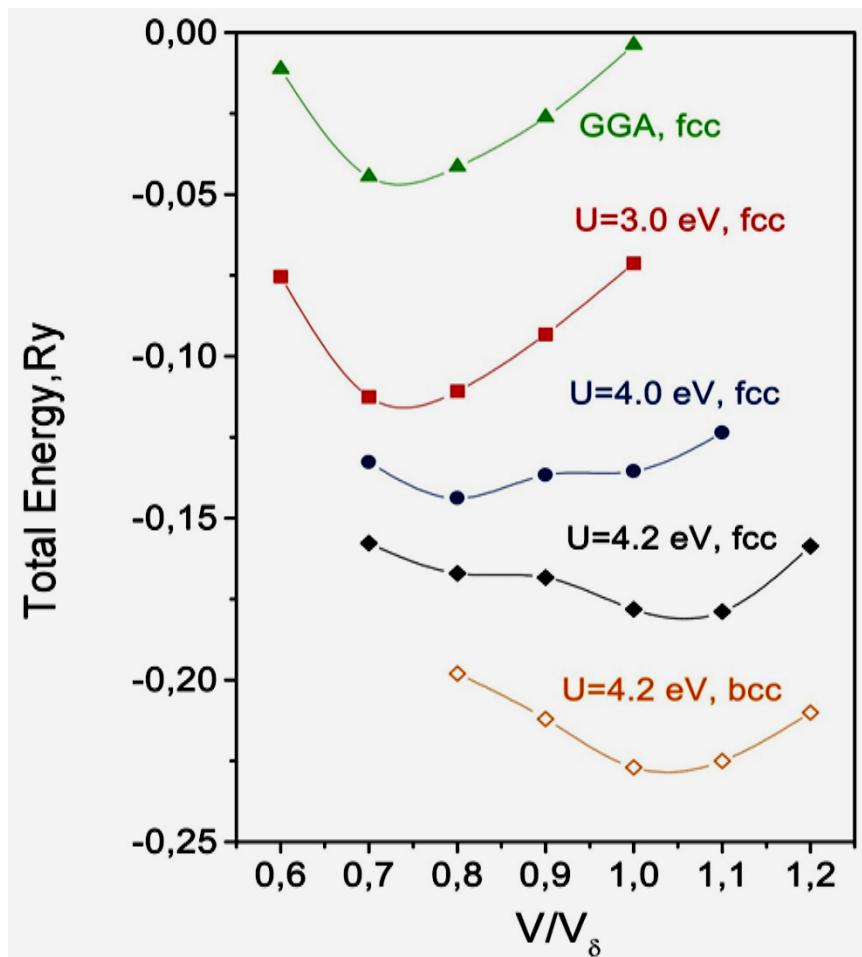


Figure 1. Atomic volumes of actinide metals at atmospheric pressure and 25°C.

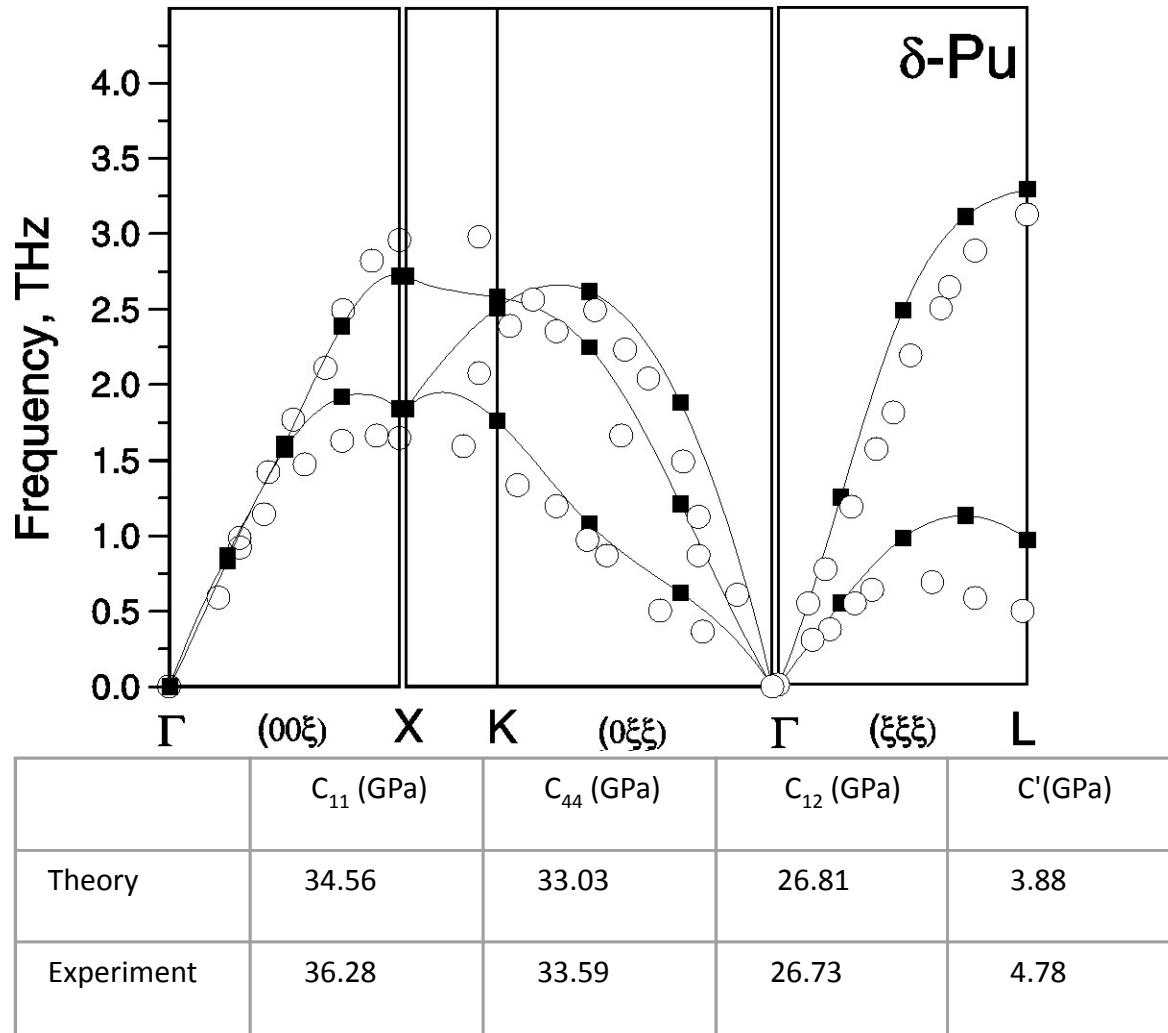


Modern understanding of this phenomena using functional approach to DMFT. K Haule S.Savrasov J Shim

# Volume Collapse Transitions: relaxing the lattice positions. Savrasov et. al.



# DMFT Phonons in fcc $\delta$ -Pu

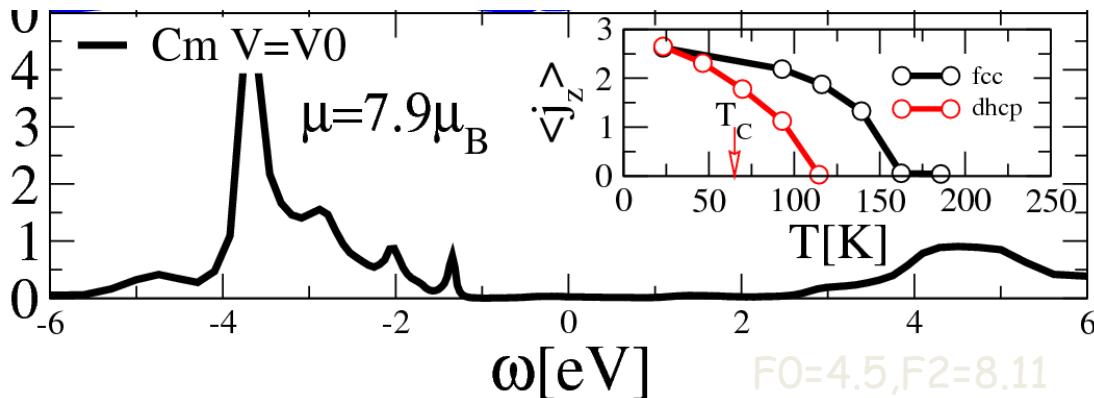
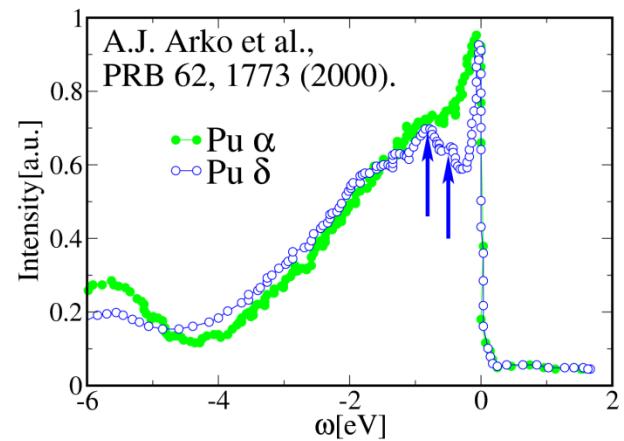
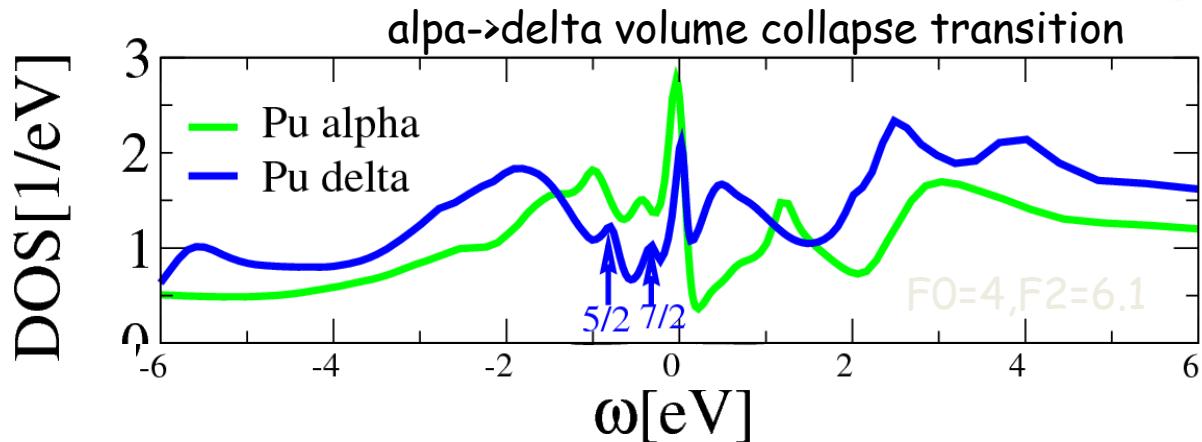


(Dai, Savrasov, Kotliar, Ledbetter, Migliori, Abrahams, Science, 9 May 2003)

(experiments from Wong et.al, Science, 22 August 2003)

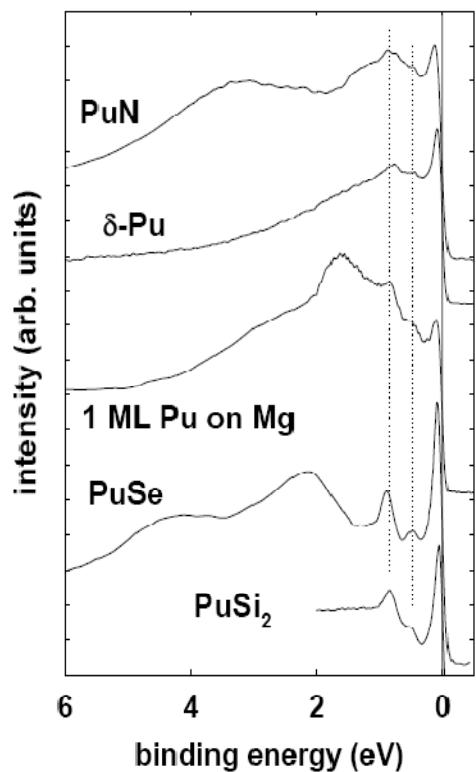
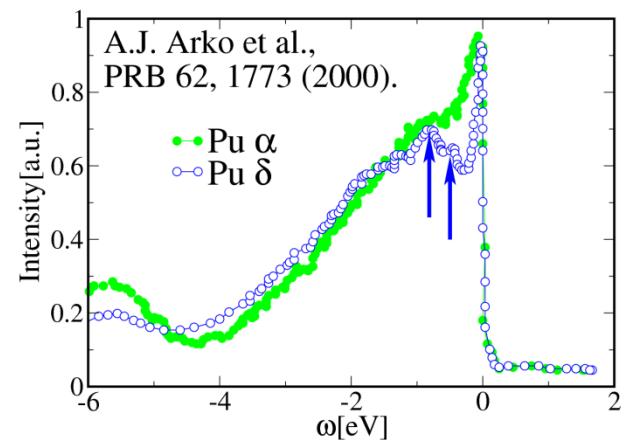
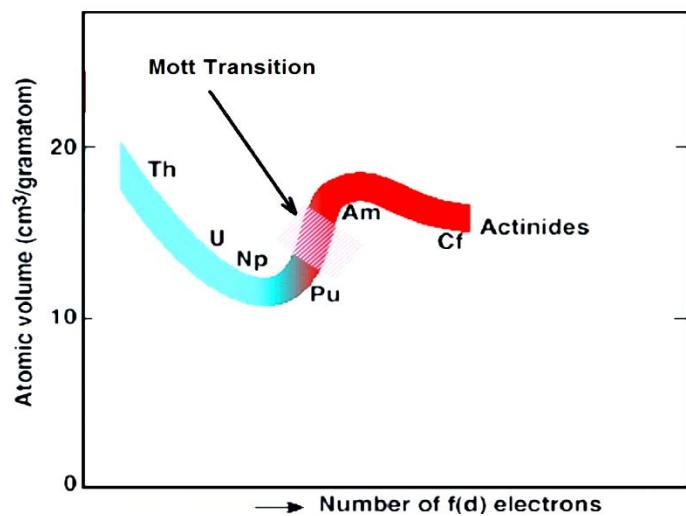
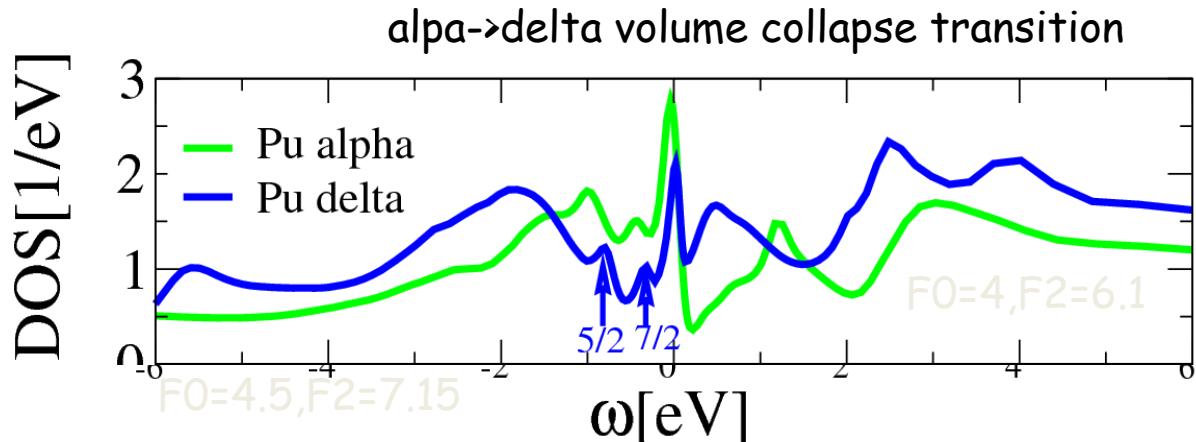
# New Generation of LDA+DMFT tools

## K.Haule Nature (2007)



Curium has large magnetic moment and orders antiferromagnetic while Pu does not.

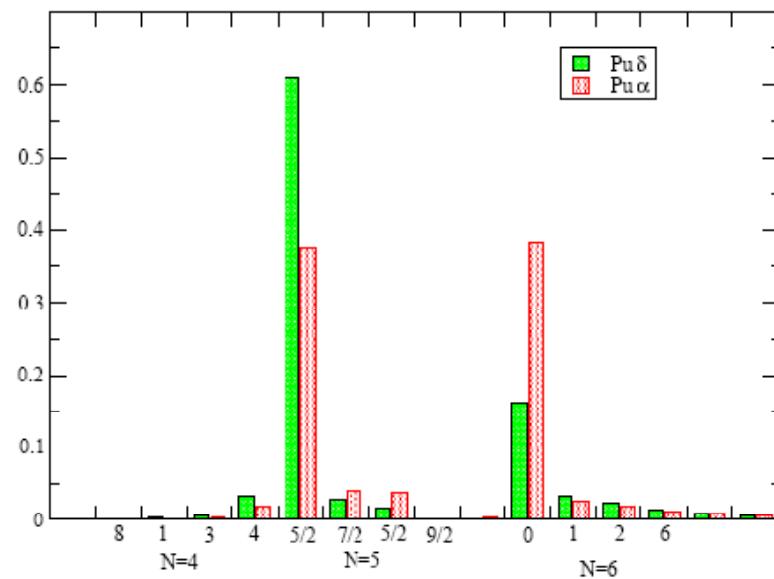
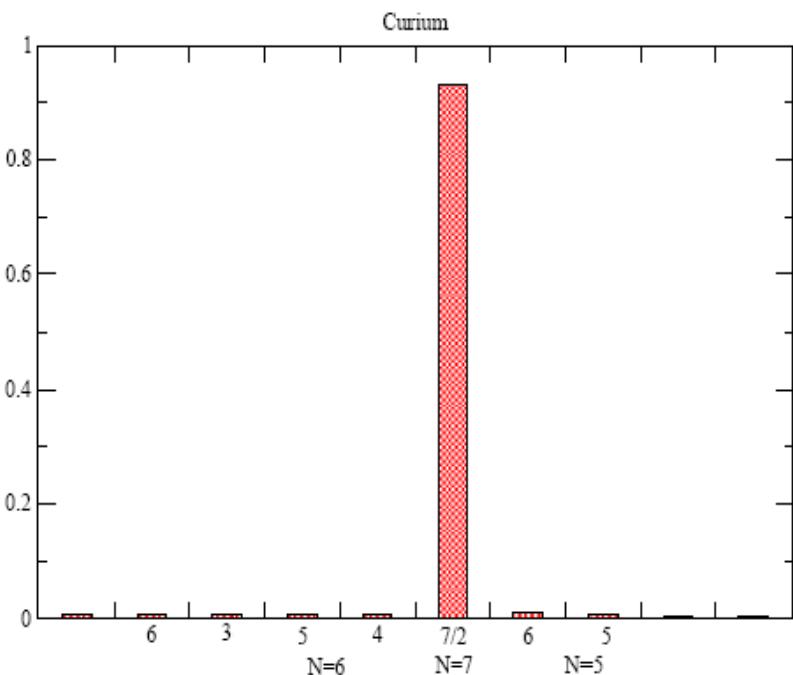
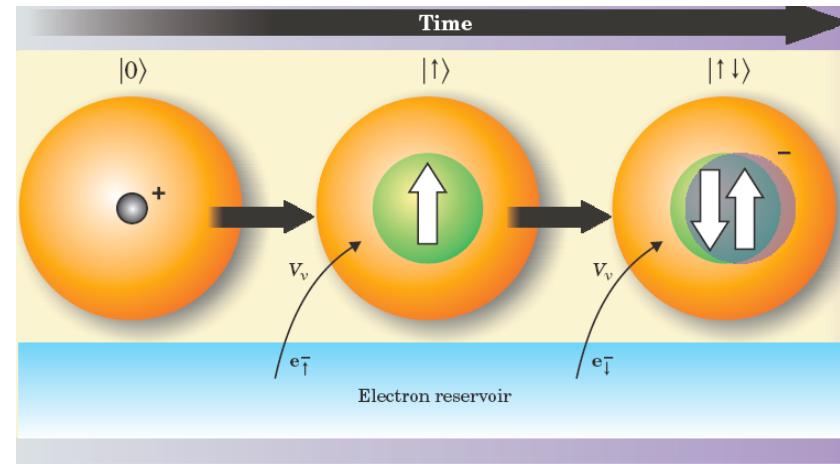
# Volume and Spectra



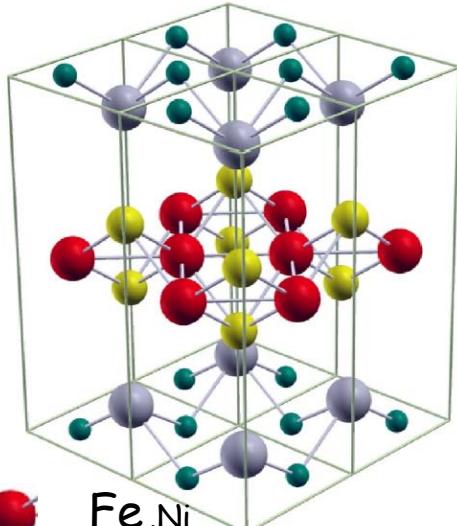
J. H. Shim, K. Haule, G. Kotliar, Nature 446, 513 (2007).

Gouder Havela Lander

# The “DMFT-valence” in the late actinides.



# Iron based high-T<sub>c</sub> superconductors



● Fe,Ni  
● As,P  
● La,Sm,Ce  
● O

- a) Y. Kamihara et.al., Tokyo, JACS
- b) X.H. Chen, et.al., Beijing, cm/0803.3790
- c) G.F. Chen et.al., Beijing, cm/0803.3603
- d) Z.A. Ren et.al, Beijing, unpublished

- 2D square lattice of Fe
- Fe - magnetic moment

$x \sim 5\text{-}20\%$

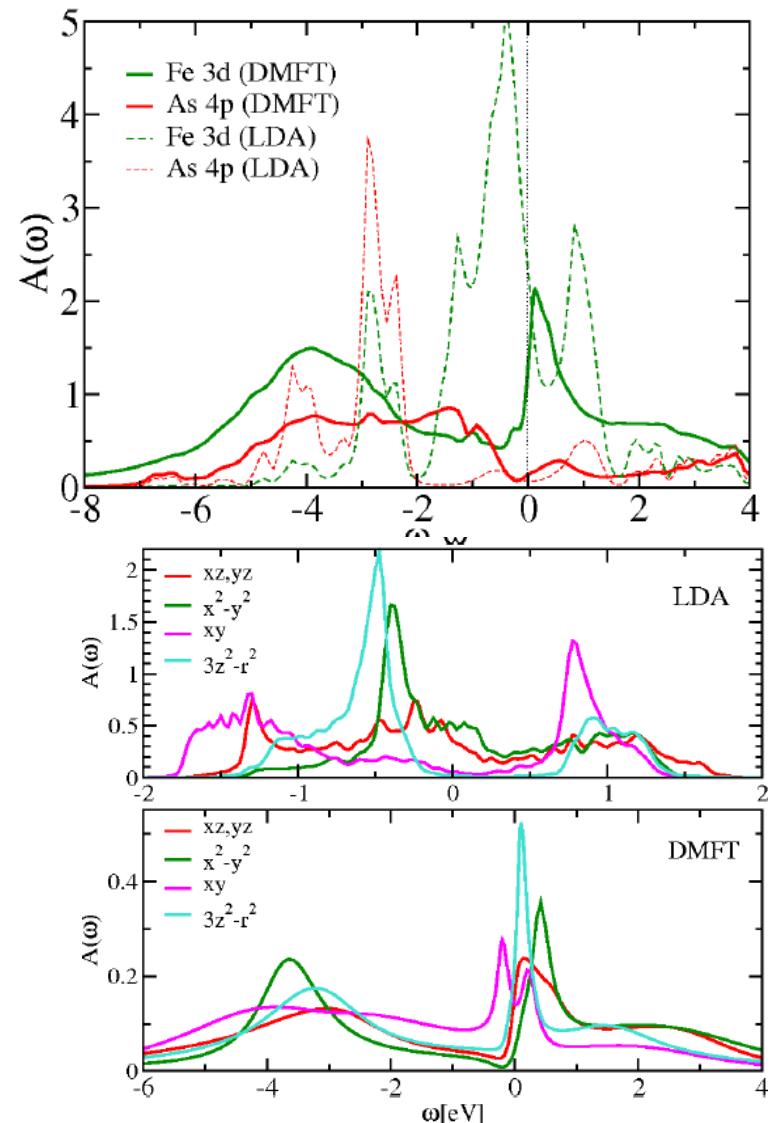
Smaller  $\text{c}$   
Higher  $T_c$

$\text{LaOFeP}$	<b>3.2K</b> , JACS-2006 $a=3.964\text{\AA}$ , $\text{c}=8.512\text{\AA}$
$\text{SmF}_x\text{O}_{1-x}\text{FeAs}$ c)	<b>55K</b> , cm/0803.3603 $a=3.940\text{\AA}$ , $\text{c}=8.496\text{\AA}$
$\text{PrF}_x\text{O}_{1-x}\text{FeAs}$ d)	<b>52K</b> , unpublished $a=3.985\text{\AA}$ , $\text{c}=8.595\text{\AA}$
$\text{CeF}_x\text{O}_{1-x}\text{FeAs}$ b)	<b>41 K</b> , cm/0803.3790 $a=3.996\text{\AA}$ , $\text{c}=8.648\text{\AA}$
$\text{LaF}_x\text{O}_{1-x}\text{FeAs}$ a)	<b>26 K</b> , JACS-2008 $a=4.036\text{\AA}$ , $\text{c}=8.739\text{\AA}$
$\text{La}_{1-x}\text{Sr}_x\text{OFeAs}$	<b>25K</b> , cm/0803.3021, $a=4.035\text{\AA}$ , $\text{c} = 8.771\text{\AA}$
$\text{LaCa}_x\text{O}_{1+x}\text{FeAs}$	<b>0 K</b>
$\text{LaF}_x\text{O}_{1-x}\text{NiAs}$	<b>2.75K</b> , cm/0803.2572a $=4.119\text{\AA}$ , $\text{c}=8.180\text{\AA}$
$\text{La}_{1-x}\text{Sr}_x\text{ONiAs}$	<b>3.7K</b> , cm/0803.3978 $a=4.045\text{\AA}$ , $\text{c}=8.747\text{\AA}$

# DMFT for $\text{LaF}_x\text{O}_{1-x}\text{FeAs}$

LDA+DMFT: LaOFeAs is at the verge  
of the metal-insulator transition  
(for realistic  $U=4\text{eV}$ ,  $J=0.7\text{eV}$ )  
For a larger ( $U=4.5$ ,  $J=0.7\text{eV}$ ) Mott-Slater insulator

Not a one band model: all 5 bands important  
(for  $J>0.3$ )



# Iron Pnictides vs Cuprates

- Both are strongly correlated high temperature superconductors.
- In both cases the superconductor is proximate to a Mott insulator and is not well described by Fermi Liquid at high  $t$ .

## DIFFERENCES

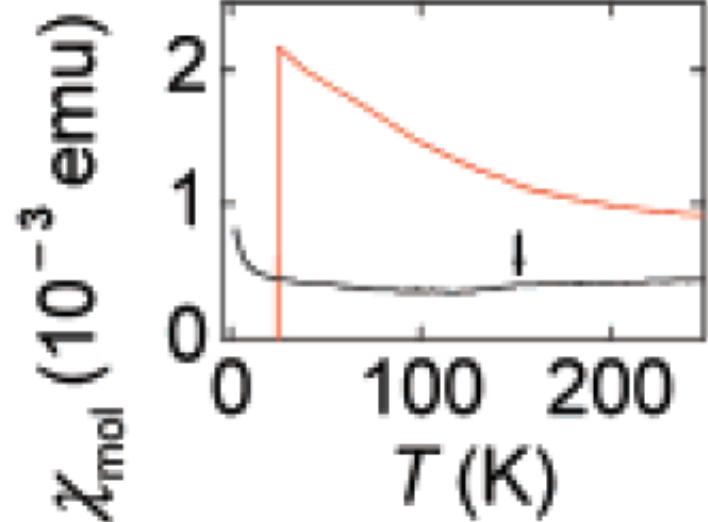
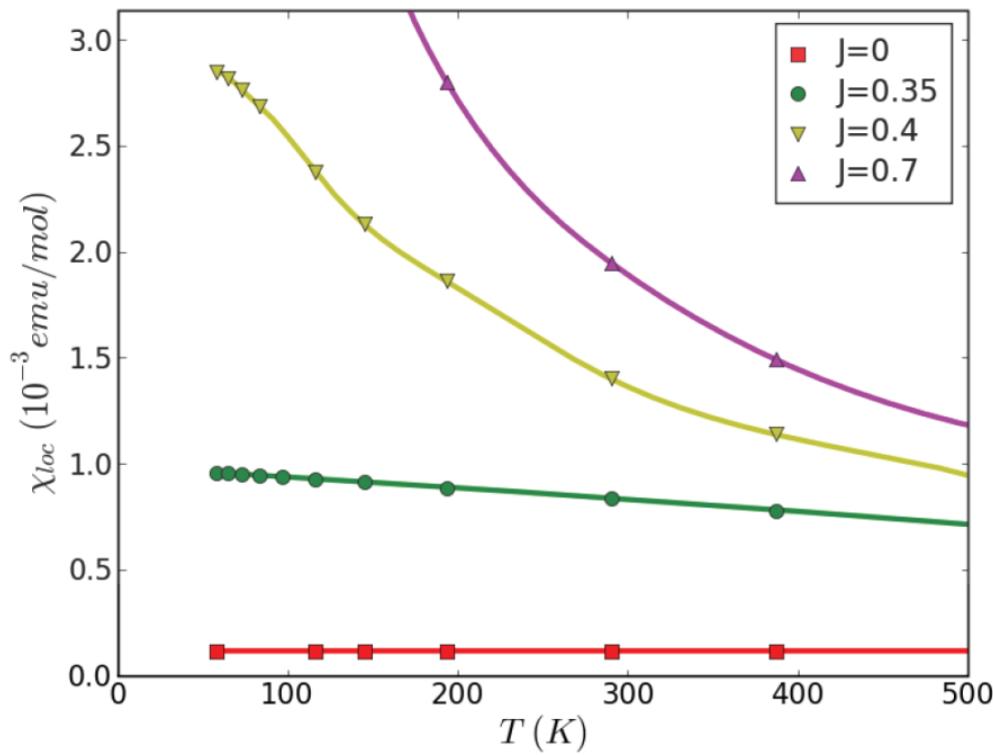
### Pnictides

- $U < U_c/2$
- Intrinsically Multiorbital Multiband
- Important role of Jhunds
- Frustrated Magnetism
- Single Site DMFT ?

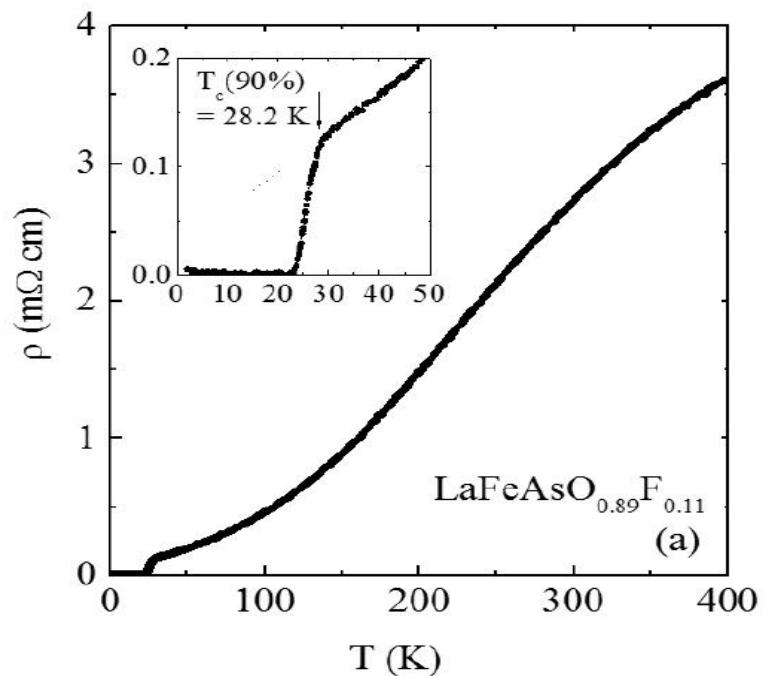
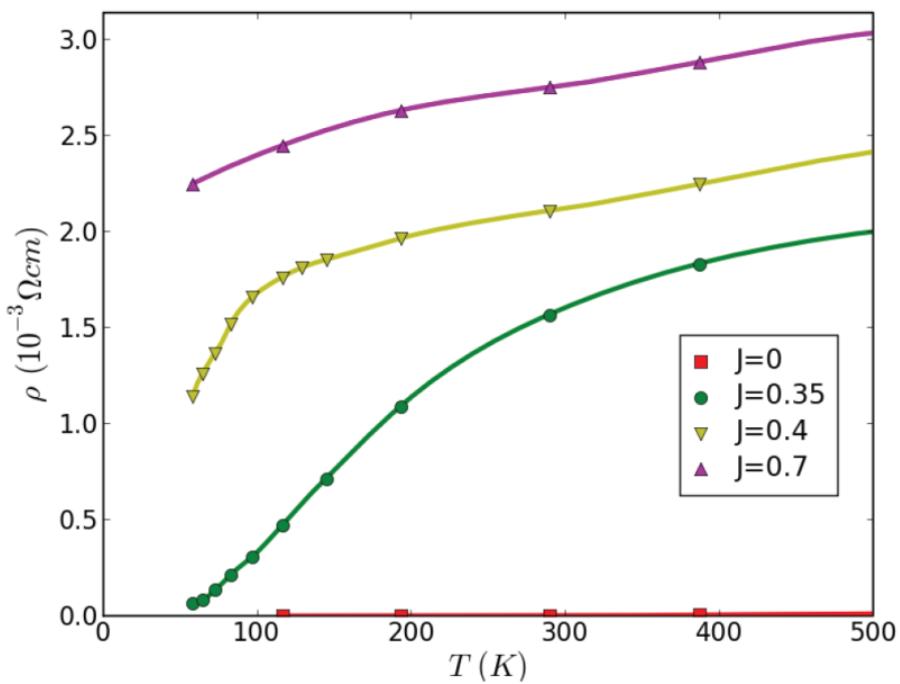
### Cuprates

- $U > U_c/2$ , doped Mott insulator
- One band model
- Importance of Superexchange
- Non frustrated magnetism
- Cluster DMFT ?

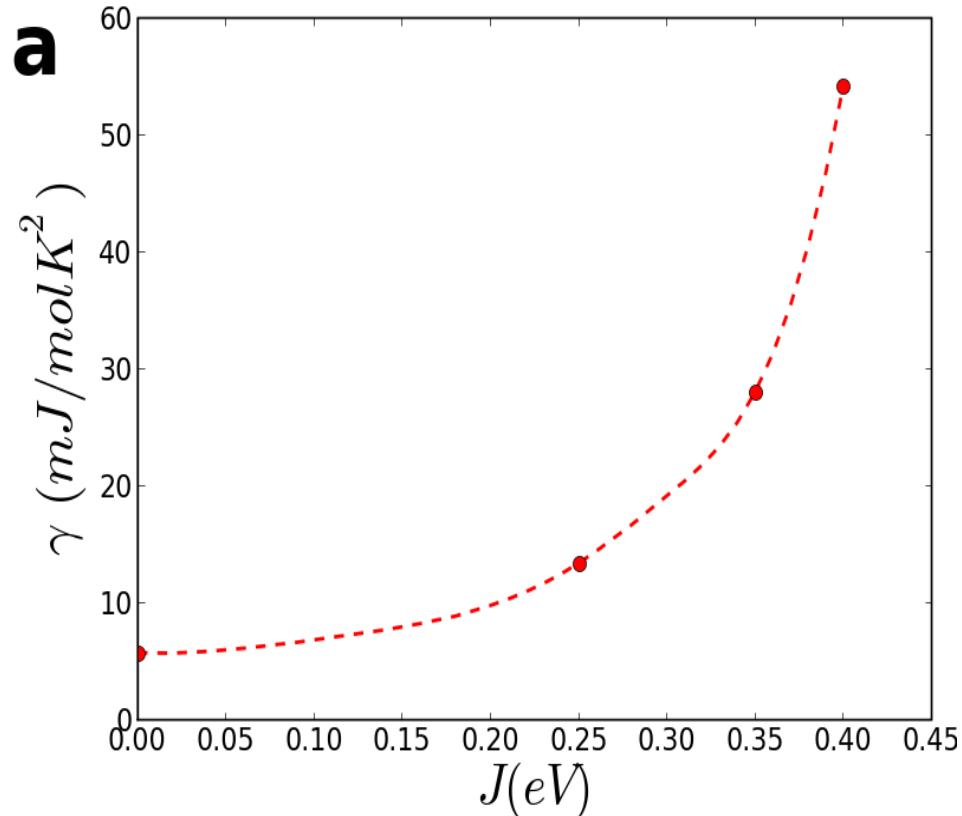
# Coherence-incoherence crossover in DMFT : crucial role played by J



# Coherence-incoherence crossover in DMFT : crucial role played by J



# Experimental predictions



*Functional formulation. Chitra and Kotliar (2001), Ambladah et. al. (1999) Savrasov and Kotliarcond- matt0308053 (2003).*

$$S = \int dx \psi^+(x) [\partial_\tau - \nabla^2 + V_{ext}(x)] \psi(x)$$

$$+ \frac{1}{2} \int dxdx' \psi^+(x) \psi^+(x') v_C(x-x') \psi(x) \psi(x')$$

$$S = \int dx \psi^+(x) [\partial_\tau - \nabla^2 + V_{ext}(x)] \psi(x)$$

$$+ \frac{1}{2} \int \int \phi(x) V_C^{-1}(x, x') \phi(x') + \int i\phi(x) \psi^\dagger(x) \psi(x)$$

$$|r> = |R, \rho>$$

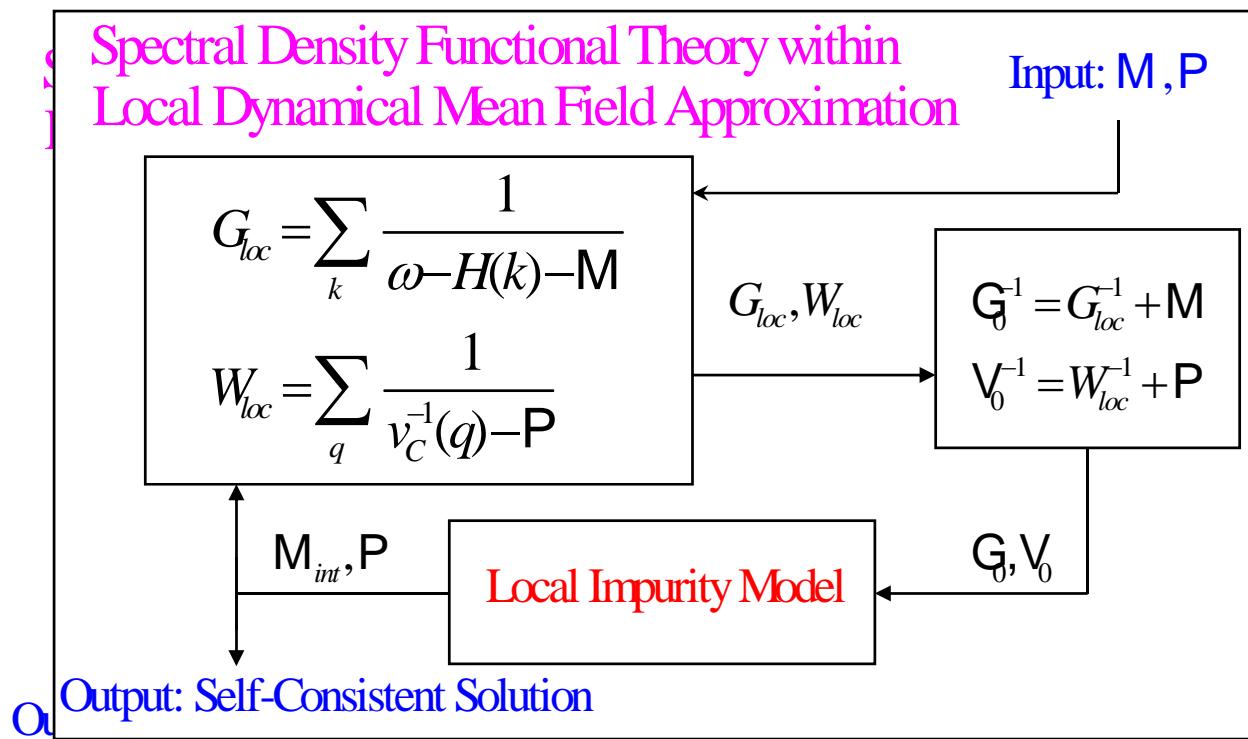
$$G = - <\psi(R\rho') \psi^\dagger(R\rho')>$$

$$<\phi(R\rho') \phi(R\rho)> - <\phi(R\rho')><\phi(R\rho)> = W$$

$$\Gamma[G, W] = TrLnG - Tr[G_0^{-1} - G^{-1}]G - \frac{1}{2}TrLnW + \frac{1}{2}Tr[V_C^{-1} - W^{-1}]W + E_{hartree} + \Phi[G, W]$$

$$\Phi[G, W] \square \Phi_{EDMFT}[G_{loc}, W_{loc}, G_{nonloc} = 0, W_{nonloc} = 0]$$

Double loop in  $G_{loc}$  and  $W_{loc}$



- Full implementation in the context of a one orbital model. P Sun and G. Kotliar Phys. Rev. B 66, 85120 (2002).
- After finishing the loop treat the graphs involving  $G_{nonloc}$   $W_{nonloc}$  in perturbation theory. P.Sun and GK PRL (2004). Related work, Biermann Aersetian and Georges PRL 90,086402 (2003) .

THE END

Thanks for your attention!!!!

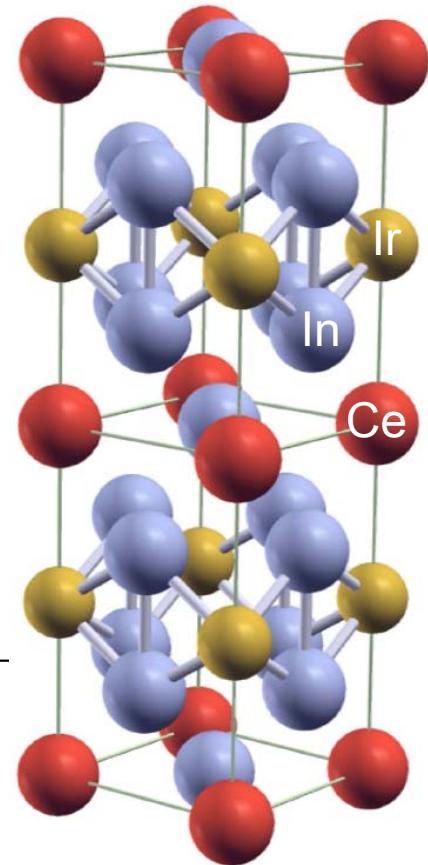
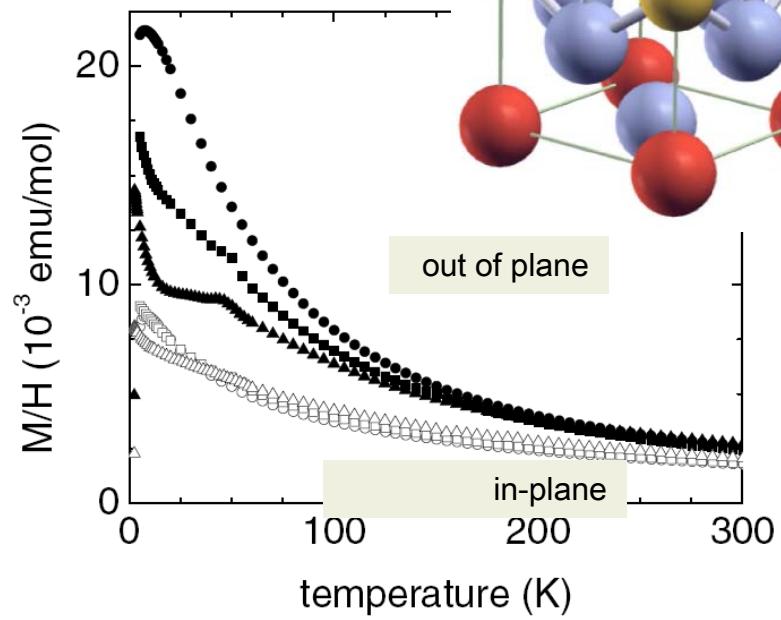
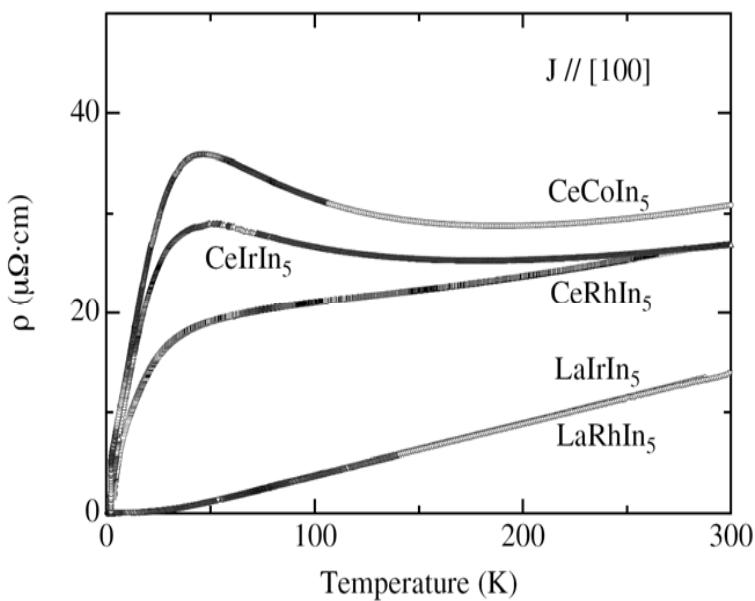
Hope it raised your interest and you want to contribute  
so that we can have a predictive theory of correlated  
materials in the very near future.....

[kotliar@physics.rutgers.edu](mailto:kotliar@physics.rutgers.edu)

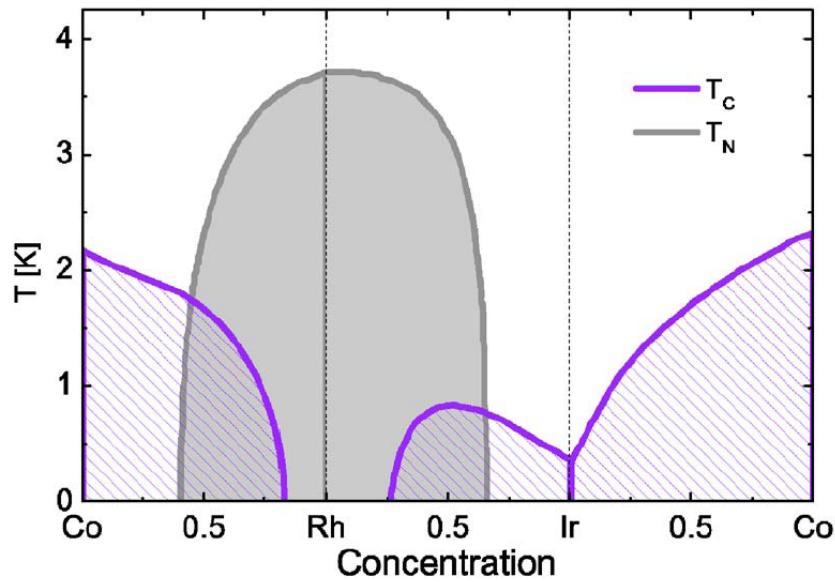


# CeMIn<sub>5</sub> M=Co, Ir, Rh

- CeRhIn<sub>5</sub>: TN=3.8 K;  $\gamma \approx 450 \text{ mJ/molK}^2$
- CeCoIn<sub>5</sub>: Tc=2.3 K;  $\gamma \approx 1000 \text{ mJ/molK}^2$ ; • CeIrIn<sub>5</sub>: Tc=0.4 K;  $\gamma \approx 750 \text{ mJ/molK}^2$

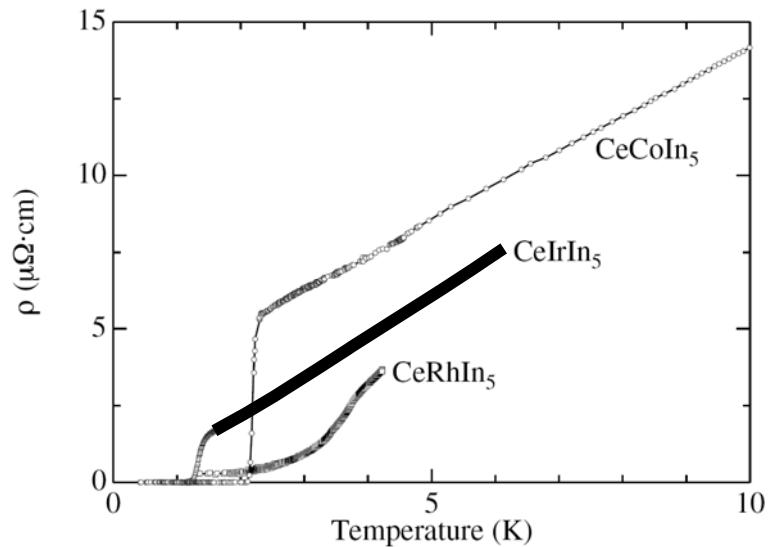


# Phase diagram of 115's



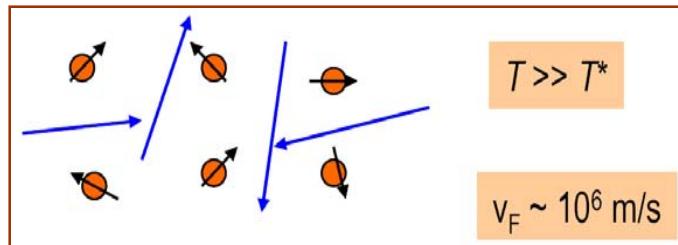
Why CeIrIn<sub>5</sub>?

- Ir atom is less correlated than Co or Rh (5d / 3d or 4d)
- CeIrIn<sub>5</sub> is more itinerant(coherent) than Co (further away from QCP)

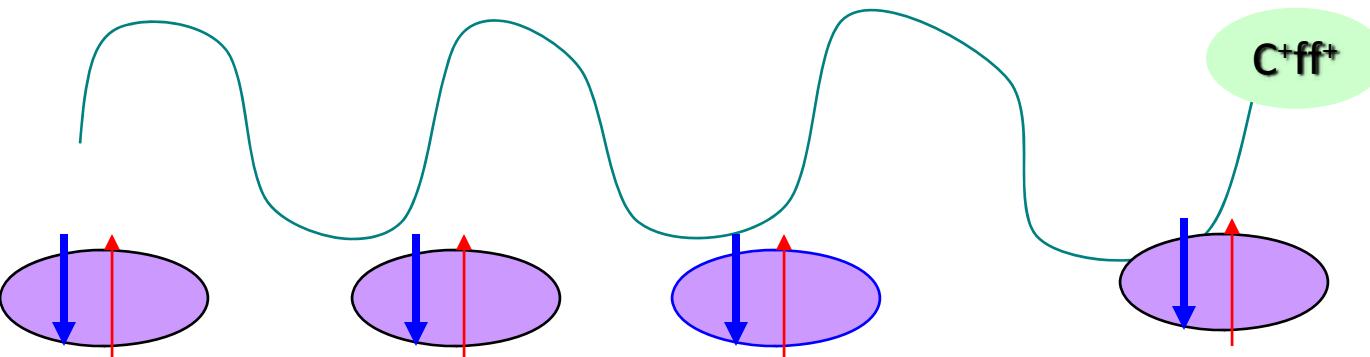


# Generalized Anderson Lattice Model

$$\sum_{i,\sigma} \epsilon_f f_{i\sigma}^\dagger f_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} - \sum_{<i,j>,\sigma} (t_{ij} + \mu \delta_{ij}) (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) \\ + \sum_{<i,j>,\sigma} V_{ij} f_{i\sigma}^\dagger c_{j\sigma} + c.c. = H_{ALM}$$



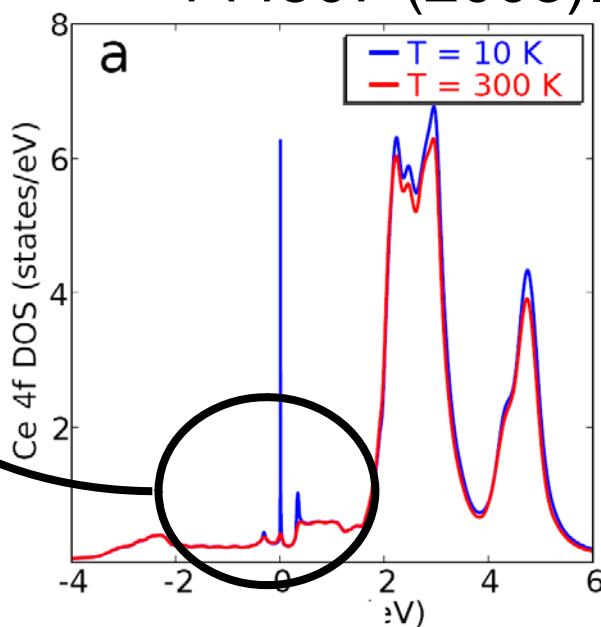
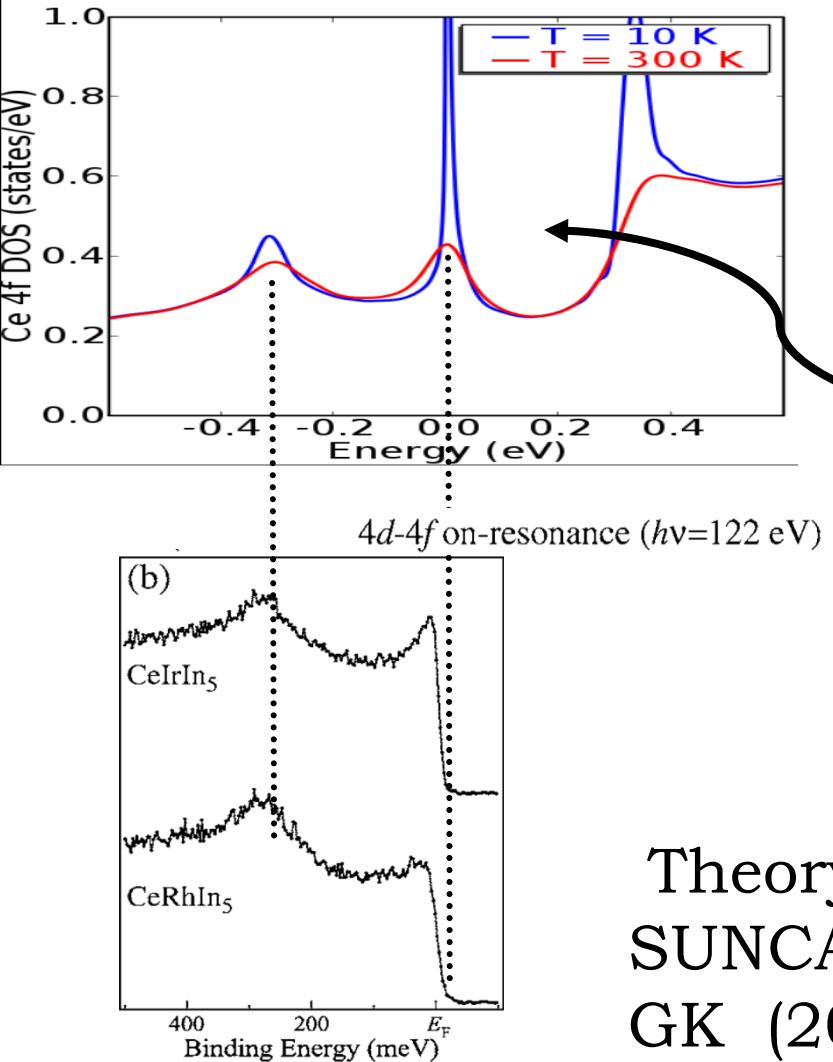
- High temperature  
Ce-4f local moments



- Low temperature –  
Itinerant heavy bands

# Angle integrated photoemission

Expt Fujimori et al., PRB 73, 224517 (2006) P.R.B 67, 144507 (2003).

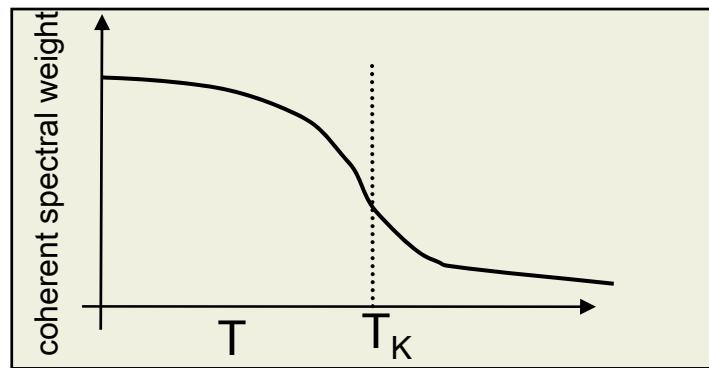


Experimental resolution ~30meV  
Surface sensitivity at 122 ev ,  
theory predicts 3meV broad band

Theory: LDA+DMFT, impurity solvers  
SUNCA and CTQMC Shim Haule and  
GK (2007)

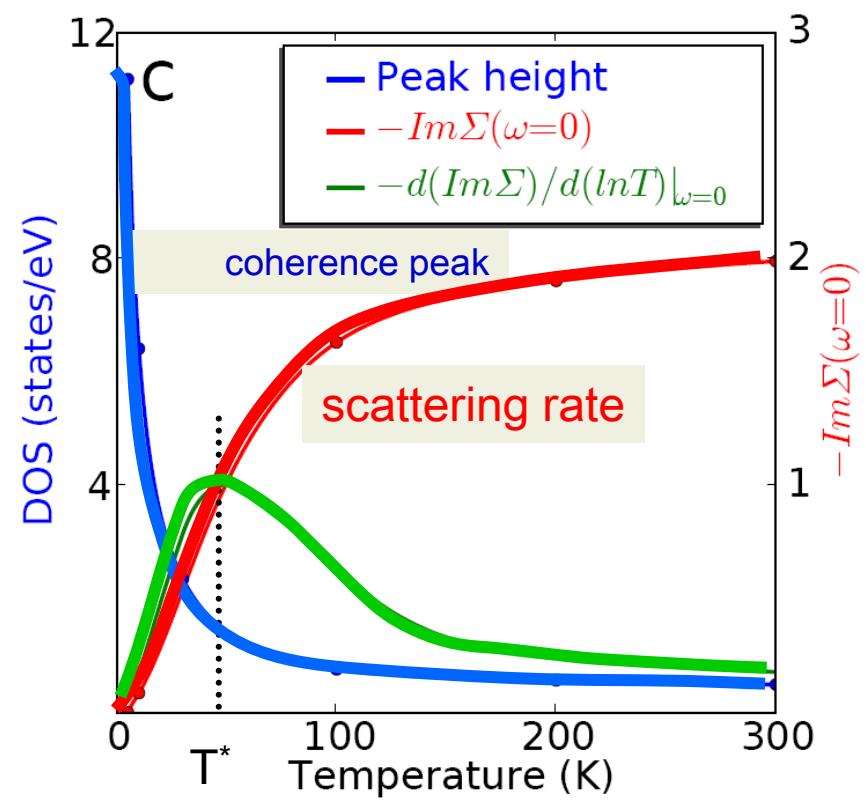
# Buildup of coherence

Buildup of coherence in single impurity case



Slow crossover pointed out by NPF 2004

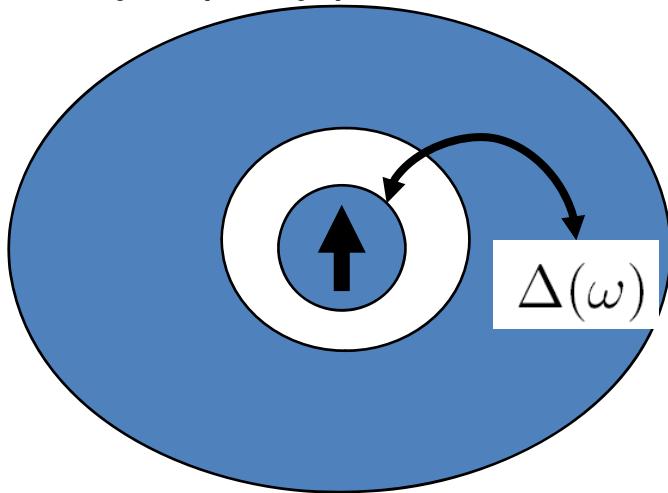
Very slow crossover!



Crossover around 50K

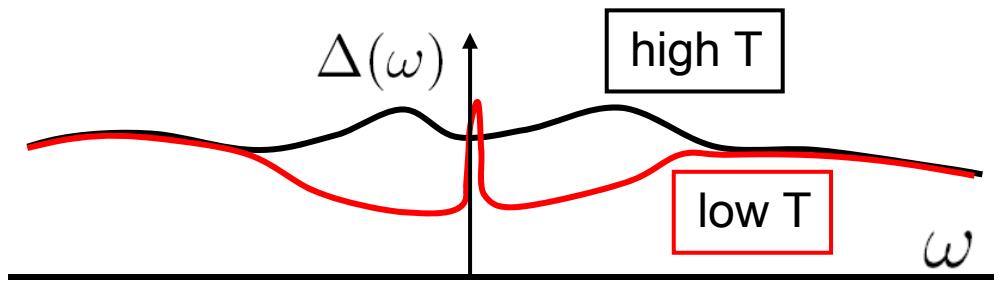
# DMFT is not a single impurity calculation

Auxiliary impurity problem:



Weiss field  $\Delta(\omega)$  temperature dependent:

High-temperature  $\Delta$  given mostly by LDA  
low T: Impurity hybridization affected by the emerging coherence of the lattice (collective phenomena)

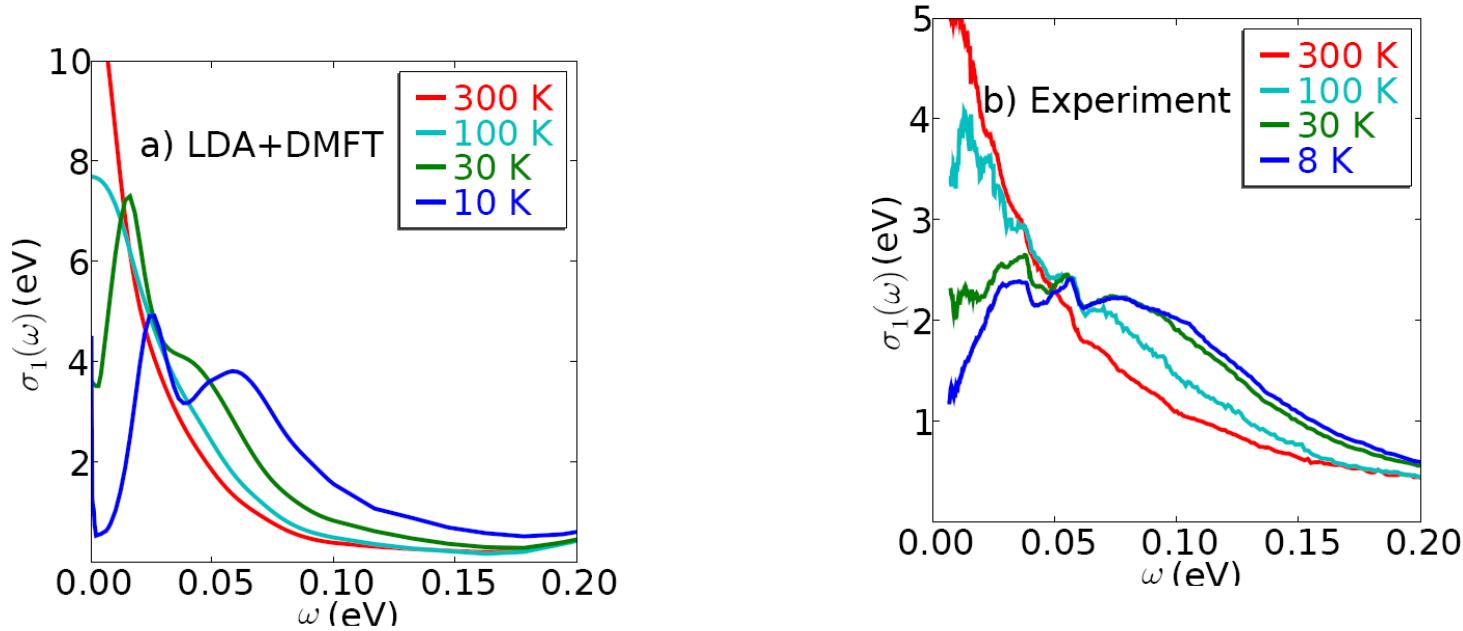


DMFT SCC:

$$\Delta(\omega) = \omega - E_{imp} - \Sigma_\omega - \left( \sum_k \left( \frac{1}{\omega + \mu - H_k^{ff} - H_k^{fc} \frac{1}{\omega + \mu - H_k^{cc}} H_k^{cf} - \Sigma_f(\omega)} \right)^{-1} \right)^{-1}$$

*Feedback effect on  $\Delta$  makes the crossover from incoherent to coherent state very slow!*

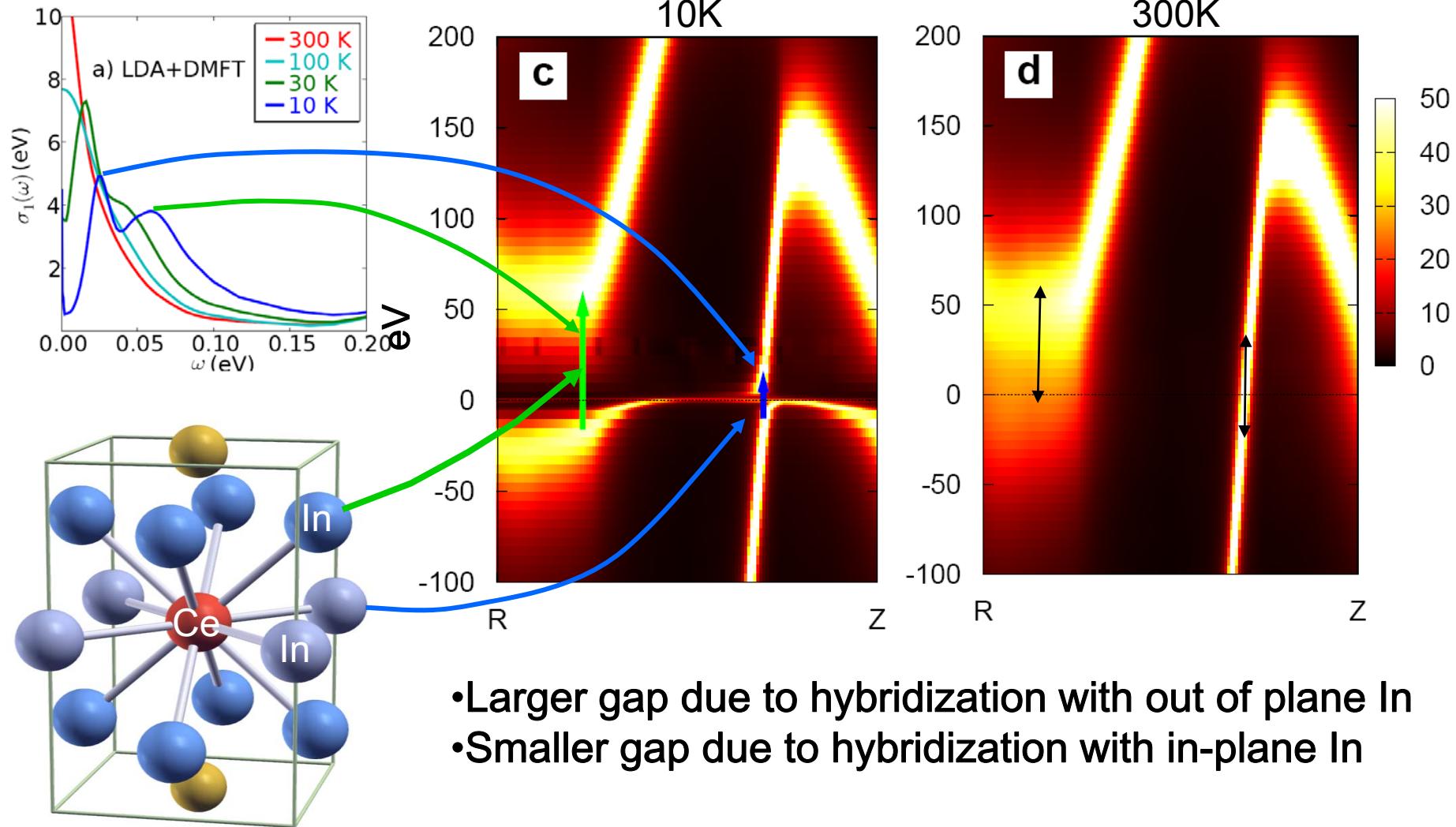
# Optical conductivity in LDA+DMFT



- Expts: F. P. Mena, D. van der Marel, J. L. Sarrao, *PRB* **72**, 045119 (2005).  
16. K. S. Burch *et al.*, *PRB* **75**, 054523 (2007).  
17. E. J. Singley, D. N. Basov, E. D. Bauer, M. B. Maple, *PRB* **65**, 161101(R) (2002).

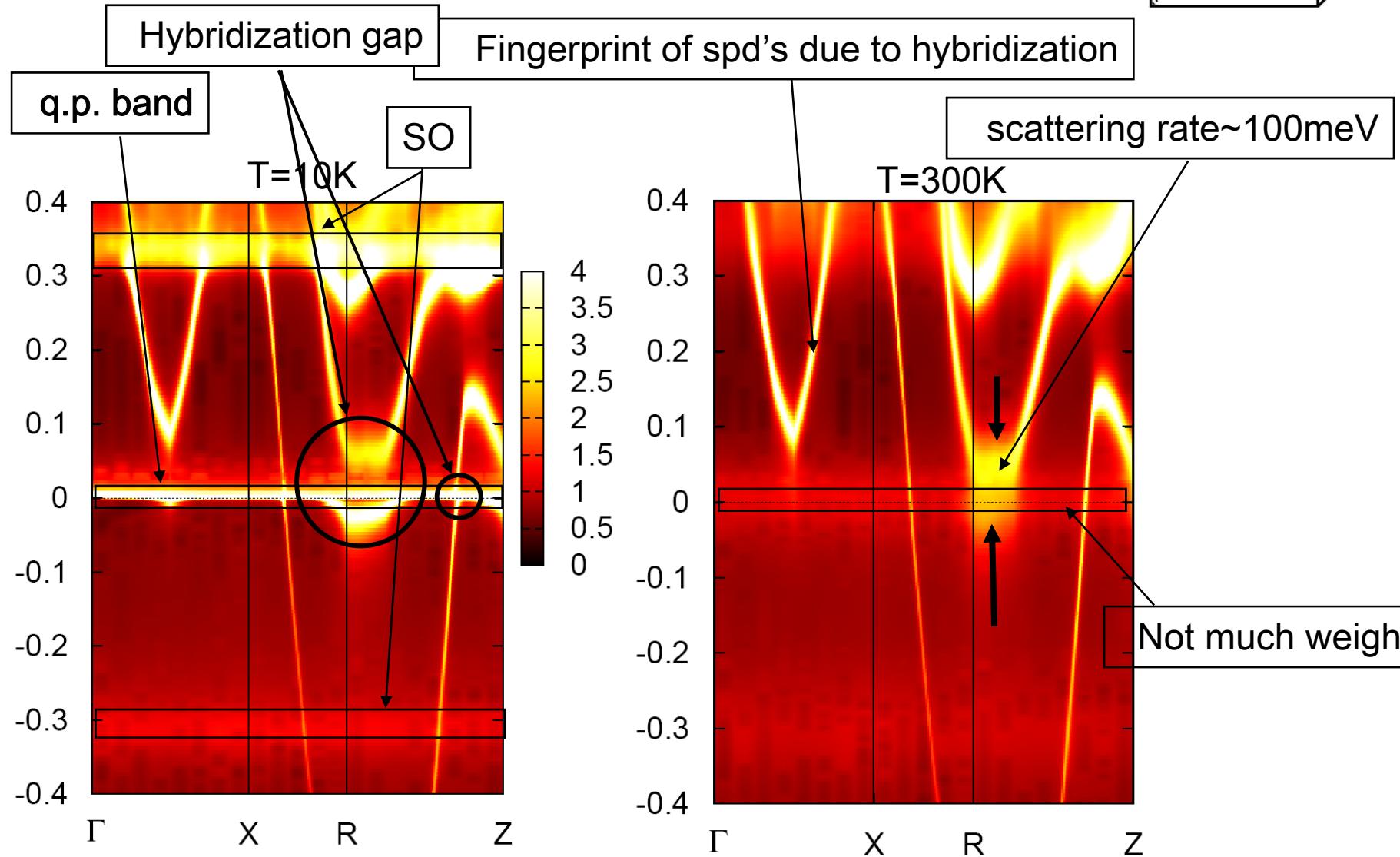
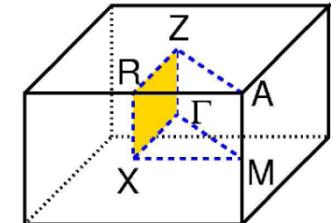
- At 300K very broad Drude peak (e-e scattering, spd lifetime~0.1eV)
- At 10K:
  - very narrow Drude peak
  - First MI peak at 0.03eV~250cm<sup>-1</sup>
  - Second MI peak at 0.07eV~600cm<sup>-1</sup>

# Multiple hybridization gaps



- Larger gap due to hybridization with out of plane In
- Smaller gap due to hybridization with in-plane In

# DMFT -Momentum resolved Ce-4f spectra



# Momentum resolved total spectra $\text{tr}A(\omega, \mathbf{k})$

Most of weight transferred into the UHB

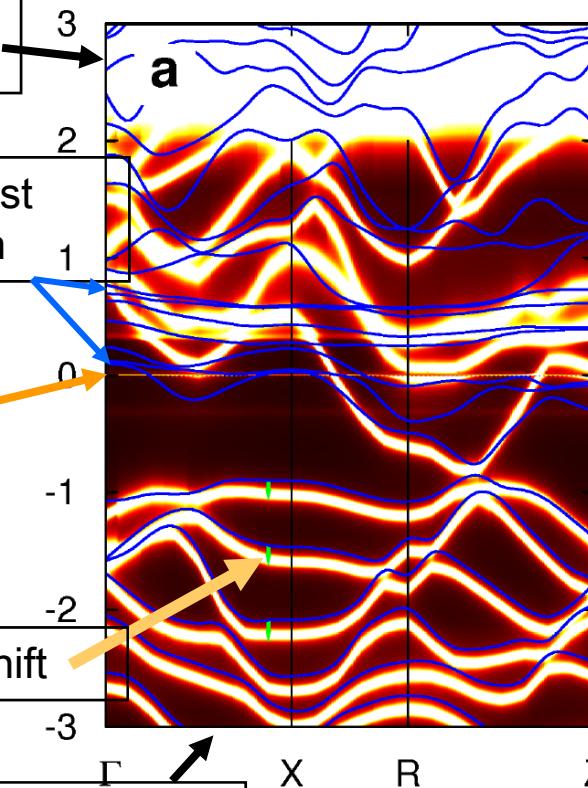
LDA f-bands [-0.5eV, 0.8eV] almost disappear, only In-p bands remain

Very heavy qp at  $E_f$ , hard to see in total spectra

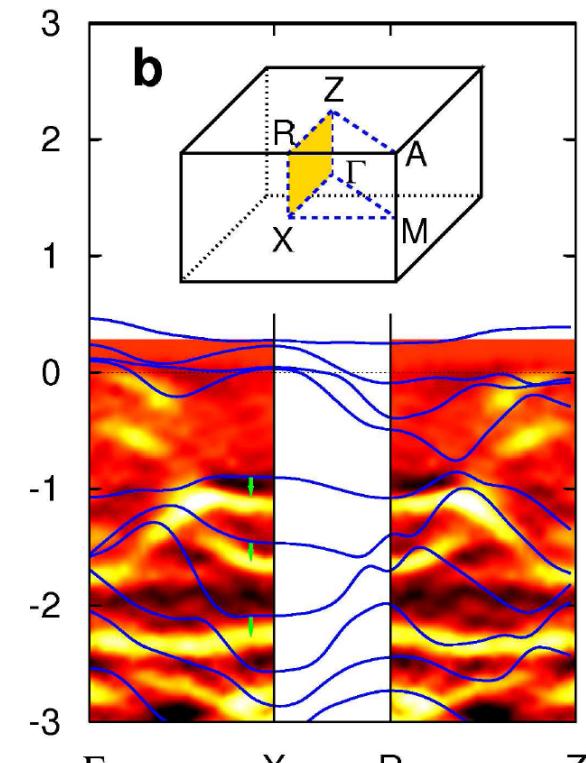
Below -0.5eV: almost rigid downshift

Unlike in LDA+U, no new band at -2.5eV

LDA+DMFT at 10K



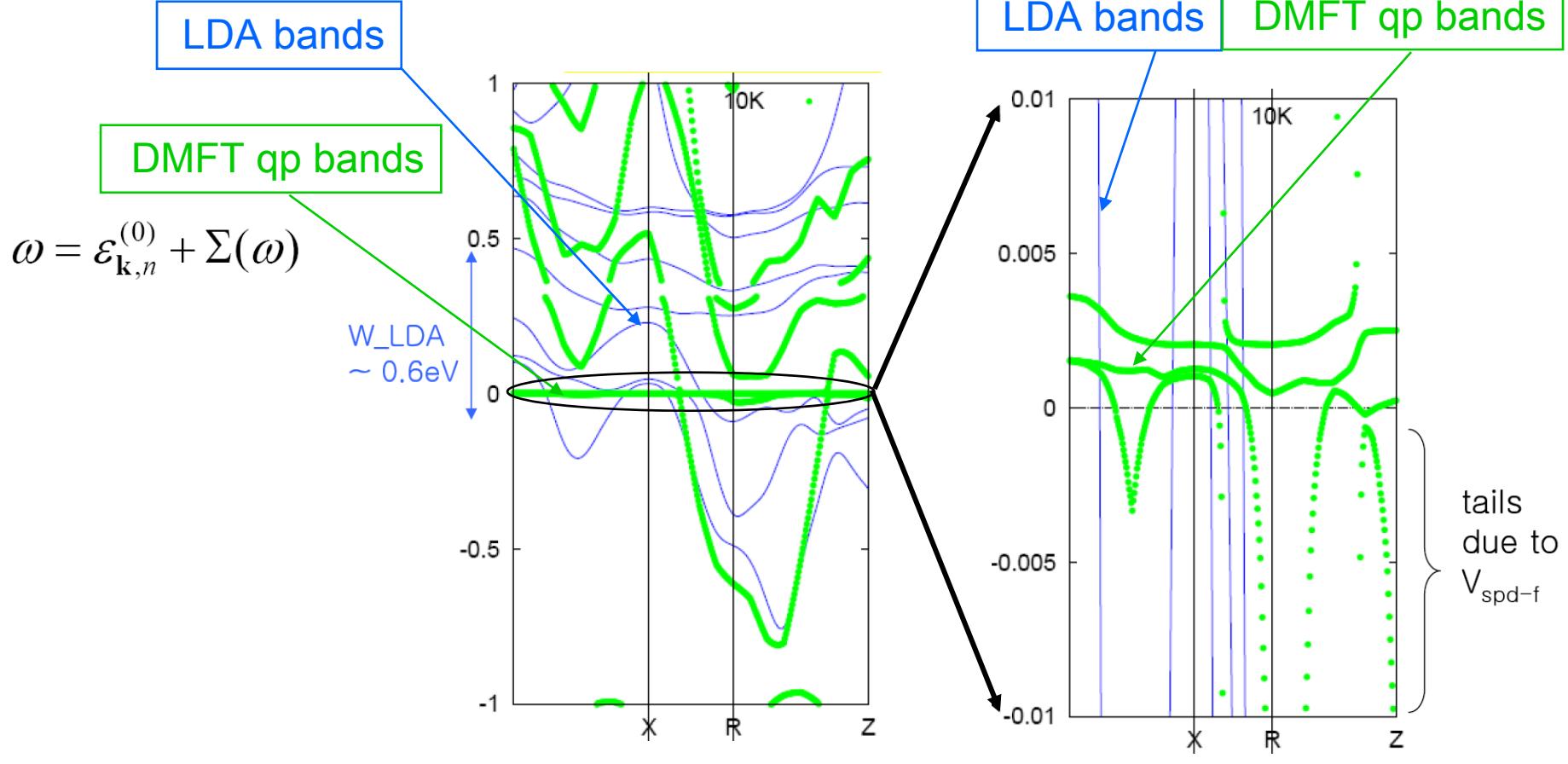
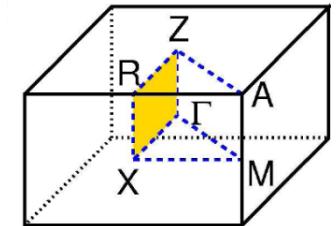
ARPES, HE I, 15K



Fujimori, PRB

Short lifetime of HBs → similar to LDA(f-core)  
rather than LDA or LDA+U

# Quasiparticle bands



three bands,  $Z_{j=5/2} \sim 1/200$