

Outline of lectures :
refresher in many-body theory

André-Marie Tremblay

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0.1 Prologue

Below, I make reference to the following free lecture notes. If you feel you are missing some prerequisites, everything is in these lecture notes.

<http://www.physique.usherbrooke.ca/tremblay/cours/phy-892/N-corps.pdf>

Many of these lectures are on YouTube

https://www.youtube.com/playlist?list=PL9IKDS79pLpNJS9KLRrAZU0zw_Tin4E6ed

0.2 Lecture 1 (45 minutes) the formalism

Chapter 87 : Handling many-interacting particles : Second quantization

87.1 Fock space : Creation-annihilation operators

Number operator

87.2 Change of basis

87.2.1 Position and momentum basis

87.2.2 Wave functions

87.3 One-body operators

87.4 Two-body operators

0.3 Lecture 2 (45 minutes) Hubbard model, Green functions

Chapter 82 The Hubbard model to illustrate some of the concepts

82.1 The Hubbard model

$$H = \sum_{\sigma} \sum_{i,j} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + \sum_i U n_{i\downarrow} n_{i\uparrow} \quad (1)$$

Chapter 83 Perturbation theory (interaction representation)

$$e^{-\beta \hat{K}} = e^{-\beta \hat{K}_0} \hat{U}(\beta) \quad (2)$$

$$\hat{U}(\beta) \equiv T_{\tau} \left[e^{-\int_0^{\beta} \hat{K}_1(\tau) d\tau} \right] \quad (3)$$

$$\hat{K}_1(\tau) \equiv e^{\hat{K}_0 \tau} \hat{K}_1 e^{-\hat{K}_0 \tau}. \quad (4)$$

Chapter 84 Green Functions contain useful information

84.1 Photoemission and fermion correlation functions

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \propto \sum_{mn} e^{-\beta K_m} \langle m | c_{\mathbf{k}_{\parallel}}^{\dagger} | n \rangle \langle n | c_{\mathbf{k}_{\parallel}} | m \rangle \delta(\omega - (K_m - K_n)) \quad (5)$$

84.2 Definition of the Matsubara Green function

$$\mathcal{G}_{\alpha\beta}(\tau) = - \left\langle T_{\tau} c_{\alpha}(\tau) c_{\beta}^{\dagger}(0) \right\rangle \quad (6)$$

$$= - \left\langle c_{\alpha}(\tau) c_{\beta}^{\dagger}(0) \right\rangle \theta(\tau) + \left\langle c_{\beta}^{\dagger}(0) c_{\alpha}(\tau) \right\rangle \theta(-\tau). \quad (7)$$

84.3 The Matsubara frequency representation is convenient

$$\mathcal{G}_{\alpha\beta}(ik_n) = \int_0^\beta d\tau e^{ik_n\tau} \mathcal{G}_{\alpha\beta}(\tau) \quad (8)$$

84.5 Green function for $U = 0$

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \frac{1}{ik_n - \zeta_{\mathbf{k}}} \quad (9)$$

0.4 Lecture 3 (90 minutes) Spectral weight, Self-energy

84.4 Spectral weight and how it is related to $\mathcal{G}_{\mathbf{k}}(ik_n)$ and to photoemission

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \propto A_{\mathbf{k}}(\omega) f(\omega) \quad (10)$$

84.6 Obtaining the spectral weight from $\mathcal{G}_{\mathbf{k}}(ik_n)$, the problem of analytic continuation

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \int \frac{d\omega'}{2\pi} \frac{A_{\mathbf{k}}(\omega')}{ik_n - \omega'} \quad (11)$$

$$G_{\mathbf{k}}^R(\omega) = \int \frac{d\omega'}{2\pi} \frac{A_{\mathbf{k}}(\omega')}{\omega + i\eta - \omega'} \quad (12)$$

Chapter 85 Self-energy and the effect of interactions

85.1 The atomic limit $t = 0$

$$G_{\mathbf{k}\uparrow}^R(\omega) = \left[\frac{1 - \langle n_{\downarrow} \rangle}{\omega + i\eta + \mu} + \frac{\langle n_{\downarrow} \rangle}{\omega + i\eta + \mu - U} \right] \quad (13)$$

85.2 The self-energy and the atomic limit example (Mott insulators)

Dyson's equation

$$G_{\mathbf{k}\uparrow}^R(\omega)^{-1} = G_{\mathbf{k}\uparrow}^{(0)R}(\omega)^{-1} - \Sigma_{\mathbf{k}\uparrow}^R(\omega) \quad (14)$$

85.3 A few properties of the self-energy

$$\text{Im} \Sigma_{\mathbf{k}\uparrow}^R(\omega) < 0 \quad (15)$$

85.4 Anderson Impurity problem, hybridization function

$$G_{\uparrow}^R(\omega)^{-1} = G_{\uparrow}^{(0)R}(\omega)^{-1} - \Delta_{\uparrow}^R(\omega) - \Sigma_{\uparrow}^R(\omega). \quad (16)$$

0.5 Lecture 4 (90 minutes) Coherent states for fermions

Chapter 79 Coherent states for fermions

79.1 Grassmann variables for fermions

$$c|\eta\rangle = \eta|\eta\rangle ; |\eta\rangle = e^{-\eta c^\dagger}|0\rangle \quad (17)$$

79.2 Grassmann integrals

$$\int d\eta = 0 ; \int d\eta \eta = 1 \quad (18)$$

79.3 Change of variables in Grassmann integrals

$$\psi_i = \sum_{j=1}^N U_{ij} \eta_j ; \prod_{i=1}^N \int d\psi_i = \det[U] \prod_{k=1}^N \int d\eta_k \quad (19)$$

79.4 Grassmann Gaussian Integrals

$$\int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta e^{-\eta^\dagger \mathbf{A} \eta - \eta^\dagger \mathbf{J} - \mathbf{J}^\dagger \eta} = \det(A) \exp(\mathbf{J}^\dagger \mathbf{A}^{-1} \mathbf{J}) \quad (20)$$

79.5 Closure, overcompleteness, trace formula

$$\text{Tr}[O] = \int d\eta^\dagger \int d\eta e^{-\eta^\dagger \eta} \langle -\eta | O | \eta \rangle \quad (21)$$

Chapter 80 The coherent-state functional integral for fermions

80.1 and 80.2 A simple example with a single fermion

$$Z = \int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta \exp(-S) \quad (22)$$

$$S = \int_0^\beta d\tau \left(\eta^\dagger(\tau) \frac{\partial}{\partial \tau} \eta(\tau) + \varepsilon(\tau) \eta^\dagger(\tau) \eta(\tau) \right) \quad (23)$$

80.3 Wick's theorem

$$\begin{aligned} & (-1)^n \langle T_\tau c(\tau_n) c^\dagger(\tau'_n) \cdots c(\tau_2) c^\dagger(\tau'_2) c(\tau_1) c^\dagger(\tau'_1) \rangle \quad (24) \\ = & (-1)^n \frac{1}{Z} \int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta e^{-\mathcal{G}^{-1} \eta} \eta(\tau_n) \eta^\dagger(\tau'_n) \cdots \eta(\tau_2) \eta^\dagger(\tau'_2) \eta(\tau_1) \eta^\dagger(\tau'_1) \\ = & \det \begin{bmatrix} \mathcal{G}(\tau_1, \tau'_1) & \mathcal{G}(\tau_1, \tau'_2) & \cdots & \mathcal{G}(\tau_1, \tau'_n) \\ \mathcal{G}(\tau_2, \tau'_1) & \mathcal{G}(\tau_2, \tau'_2) & \cdots & \mathcal{G}(\tau_2, \tau'_n) \\ \cdots & \cdots & \cdots & \cdots \\ \mathcal{G}(\tau_n, \tau'_1) & \mathcal{G}(\tau_n, \tau'_2) & \cdots & \mathcal{G}(\tau_n, \tau'_n) \end{bmatrix}. \quad (25) \end{aligned}$$

80.5 Interactions and quantum impurities

$$\Delta(ik_n) \equiv \sum_{\mathbf{k}} V_{i\mathbf{k}}^* \frac{1}{ik_n - \varepsilon(\mathbf{k})} V_{\mathbf{k}i} \quad (26)$$

0.6 Lecture 5 (90 minutes) Many-body perturbation theory

Chapter 87 Source fields for Many-Body Green's function

87.1 A simple example in classical statistical mechanics

$$\frac{\delta^2 \ln Z[h]}{\beta^2 \delta h(\mathbf{x}_1) \delta h(\mathbf{x}_2)} = \langle M(\mathbf{x}_1) M(\mathbf{x}_2) \rangle_h - \langle M(\mathbf{x}_1) \rangle_h \langle M(\mathbf{x}_2) \rangle_h \quad (27)$$

87.2 Green's functions and higher order correlation functions

$$Z[\phi] = \text{Tr} \left[e^{-\beta K} T_\tau \exp \left(-\psi^\dagger(\bar{1}) \phi(\bar{1}, \bar{2}) \psi(\bar{2}) \right) \right] ; \mathcal{G}(1, 2)_\phi = -\frac{\delta \ln Z[\phi]}{\delta \phi(2, 1)} \quad (28)$$

Chapter 88 Equations of motion to find $\mathcal{G}(1, 2)_\phi$ and $\Sigma(1, 2)_\phi$

88.1 Equation of motion for $\psi(1)$

$$\frac{\partial \psi(1)}{\partial \tau_1} = \frac{\nabla_1^2}{2m} \psi(1) + \mu \psi(1) - \psi^\dagger(\bar{2}) \psi(\bar{2}) V(\bar{2} - 1) \psi(1) \quad (29)$$

88.2 Equation of motion for $\mathcal{G}(1, 2)_\phi$ and definition of $\Sigma(1, 2)_\phi$

$$\left(\mathcal{G}_0^{-1}(1, \bar{2}) - \phi(1, \bar{2}) - \Sigma(1, \bar{2})_\phi \right) \mathcal{G}(\bar{2}, 2)_\phi = \delta(1 - 2) \quad (30)$$

$$\Sigma(\bar{1}, \bar{1}') \mathcal{G}(\bar{1}', \bar{1}^+) = 2 \langle V \rangle \beta = \left\langle T_\tau \left[\psi^\dagger(\bar{1}^+) \psi^\dagger(\bar{1}^+) V(\bar{1}' - 1) \psi(\bar{1}') \psi(\bar{1}) \right] \right\rangle \quad (31)$$

Chapter 72 Luttinger Ward Functional

72.3 Luttinger Ward functional and the Legendre transform of $-T \ln Z[\phi]$

$$\Omega[\mathcal{G}] = F[\phi] - \text{Tr}[\phi \mathcal{G}] ; \frac{1}{T} \frac{\delta \Omega[\mathcal{G}]}{\delta \mathcal{G}(1, 2)} = 0 \text{ in equilibrium} \quad (32)$$

Chapter 76 The constraining-field method

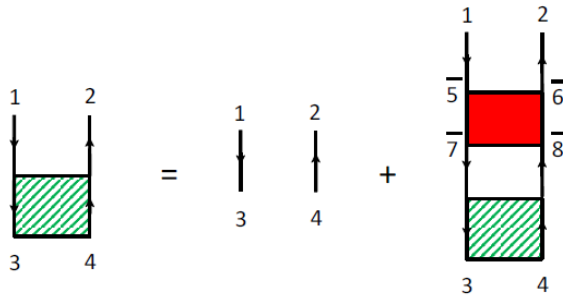
76.1 Another derivation of the Baym-Kadanoff functional

$$\Omega[\mathcal{G}] = \Phi[\mathcal{G}] - \text{Tr}[(\mathcal{G}_0^{-1} - \mathcal{G}^{-1}) \mathcal{G}] + \text{Tr} \left[\ln \left(\frac{-\mathcal{G}}{-\mathcal{G}_\infty} \right) \right] \quad (33)$$

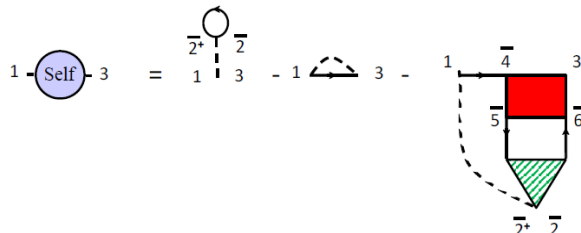
$$\frac{1}{T} \frac{\delta \Phi[\mathcal{G}]}{\delta \mathcal{G}(1, 2)} = \Sigma(2, 1) ; \Phi_{\lambda=1}[\mathcal{G}] = \int_0^1 d\lambda \frac{1}{\lambda} \langle \lambda \hat{V} \rangle_\lambda \quad (34)$$

Chapter 36 Equations of motion for \mathcal{G} in the presence of source fields

36.3 An integral equation for the 4-point function

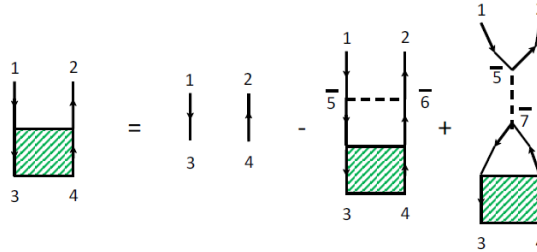


36.4 Self-energy from functional derivative

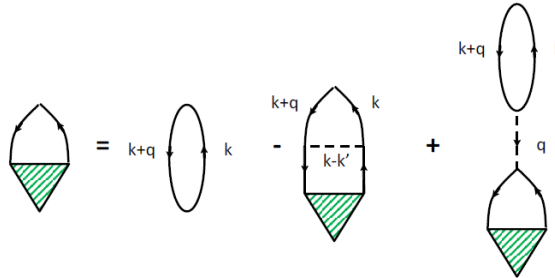


0.7 Lecture 6 (90 minutes) GW + TPSC

Chapter 37 First steps with functional derivatives, Hartree-Fock and RPA
 37.2 Hartree-Fock and RPA in space-time



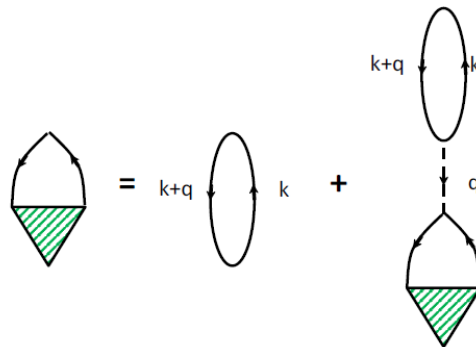
37.3 Hartree-Fock and RPA in momentum-Matsubara space



39.3 Density response in the non-interacting limit: Lindhard function

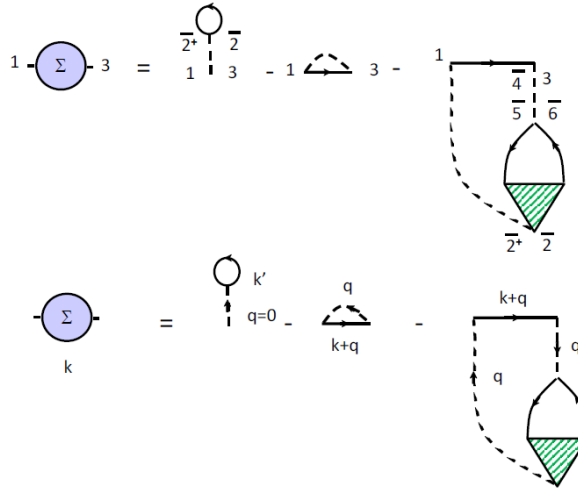
$$\chi_{nn}^{0R}(\mathbf{q}, \omega) = -2 \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{f(\zeta_{\mathbf{k}}) - f(\zeta_{\mathbf{k}+\mathbf{q}})}{\omega + i\eta + \zeta_{\mathbf{k}} - \zeta_{\mathbf{k}+\mathbf{q}}} \quad (35)$$

41.1.2 RPA



$$\chi_{nn}(q) = \frac{\chi_{nn}^0(q)}{1 + V_{\mathbf{q}}\chi_{nn}^0(q)} \quad (36)$$

Chapter 44 Second step of the approximation, GW curing Hartree-Fock
 44.2 Self-energy and screening, GW



Chapter 56 Hubbard model in the footsteps of the electron gas

56.2 Response functions

$$U_{sp} = \frac{\delta \Sigma_{\uparrow}}{\delta \mathcal{G}_{\downarrow}} - \frac{\delta \Sigma_{\uparrow}}{\delta \mathcal{G}_{\uparrow}} \quad (37)$$

$$U_{ch} = \frac{\delta \Sigma_{\uparrow}}{\delta \mathcal{G}_{\downarrow}} + \frac{\delta \Sigma_{\uparrow}}{\delta \mathcal{G}_{\uparrow}} \quad (38)$$

56.3 Hartree-Fock and RPA

$$\chi_{sp}(q) = \frac{\chi_0(q)}{1 - \frac{1}{2}U\chi_0(q)} \quad (39)$$

$$\chi_{ch}(q) = \frac{\chi_0(q)}{1 + \frac{1}{2}U\chi_0(q)} \quad (40)$$

56.4 RPA and violation of the Pauli exclusion principle

$$\frac{T}{N} \sum_q \left(\frac{\chi_0(q)}{1 - \frac{1}{2}U\chi_0(q)} + \frac{\chi_0(q)}{1 + \frac{1}{2}U\chi_0(q)} \right) \neq 2n - n^2 \quad (41)$$

56.6 RPA, phase transitions and the Mermin-Wagner theorem

$$\mathbf{q}^2 \langle \phi_{\mathbf{q}} \phi_{-\mathbf{q}} \rangle = \frac{T}{2}; \quad \langle \phi^2 \rangle = \int_0^{\infty} \frac{d^2 q}{q^2} \frac{T}{2} = \infty \quad (42)$$

Chapter 57 The two-particle self-consistent approach TPSC

57.1 TPSC first step, spin and charge fluctuations

$$U_{sp} = U \frac{\langle n_{\uparrow} n_{\downarrow} \rangle}{\langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle}; \quad U_{ch} \text{ from Pauli} \quad (43)$$

57.2 An improved self-energy

$$\Sigma_{\sigma}^{(2)}(k) = U n_{-\sigma} + \frac{U T}{8 N} \sum_q [3U_{sp} \chi_{sp}(q) + U_{ch} \chi_{ch}(q)] \mathcal{G}_{\sigma}^{(1)}(k+q) \quad (44)$$

57.3 An internal consistency check

$$\Sigma_{\sigma}(1, \bar{1}) \mathcal{G}_{\sigma}(\bar{1}, 1^+) \equiv \frac{1}{2} \text{Tr}(\Sigma \mathcal{G}) = U \langle n_{\uparrow} n_{\downarrow} \rangle \quad (45)$$

$$\frac{1}{2} \text{Tr}(\Sigma^{(2)} \mathcal{G}^{(1)}) = U \langle n_{\uparrow} n_{\downarrow} \rangle \quad (46)$$